



REGULATIONS
OF THE

Inter-Tribal Marijuana Commission

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2021**

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interpreted.**

1.000 Title. These regulations may be known and cited as Tribal Cannabis Compliance Regulations, or abbreviated T.C.C.R. or TCCR.

1.010 Promulgation, amendment, modification and repeal. The following regulations are issued pursuant to the Tribe's ordinance addressing cannabis/marijuana production, sales, and the licensing and regulation of marijuana establishments and medical marijuana establishments (referred to herein as the "Tribe's Cannabis Ordinance"). The Tribe's Cannabis Compliance Board ("Board") will, from time to time, promulgate, amend and repeal such regulations, consistent with the policy, objectives and purposes for the regulation of cannabis, as it may deem necessary or desirable in carrying out the policy and provisions of the the Tribe's cannabis/marijuana ordinance. The TCCR becomes effective upon passage and approval by the Tribe.

1.020 Construction. Nothing contained in these regulations shall be so construed as to conflict with any provision of the Tribe's Cannabis Ordinance, or of any other applicable Tribal law.

1.030 Severability. If any provision of these regulations be held invalid, it shall not be construed to invalidate any of the other provisions of these regulations.

1.040 Definitions. As used in these regulations, unless the context otherwise requires, the words and terms defined in the Tribe's Cannabis Ordinance have the meanings ascribed to them in that ordinance.

1.050 "Act" defined. "Act" means the Tribe's Cannabis Ordinance.

1.052 "Advertise" and "advertising" defined. "Advertise" and "advertising" mean an attempt by written, electronic or graphic representation to promote a company and/or company products or services. The terms include, without limitation, such representations made:

1. On radio, television, and/or the internet.
2. In newspapers, magazines, directories, in-store signs and menus, circulars, brochures, or other printed matters.
3. On outdoor advertising or other signage consisting of signs, posters, displays, billboards, or any other stationary or permanently affixed advertisement promoting the sale of cannabis or cannabis products.

Signage of the business name, company logos, devices, lights, figures, paintings, drawings, branding, or plaques that are used in the design of the cannabis establishment are not subject to the requirements of TCCR 12.070(1)-(3), however, each of the foregoing items must comply with the requirements detailed in TCCR 12.070(4).

1.053 "Analyte" defined. "Analyte" means any compound, element, contaminant organism, species or other substance for which a cannabis sample is tested by a cannabis independent testing laboratory.

1.055 "Analytical portion" defined. "Analytical Portion" means the portion of a test sample that is being processed for a particular laboratory test.

1.057 "Applicant" defined. "Applicant" means any person who has applied for the licensing of a cannabis establishment, for the issuance of a license to a business entity, or for issuance of an agent card.

1.058 “Application” defined. “Application” means a request for the issuance of a license for a cannabis establishment, for the issuance of a license to a business entity, or for issuance of an agent card, or for approval of any act or transaction for which Board and/or Department approval is required or permitted.

1.060 “Batch” defined. “Batch” means the usable flower and trim contained within one or more specific lots of cannabis grown by a cannabis cultivation facility from one or more seeds or cuttings of the same strain of cannabis and harvested on or before a specified final date of harvest.

1.065 “Batch number” defined. “Batch number” means a unique numeric or alphanumeric identifier assigned to a batch by a cannabis establishment when the batch is planted.

1.067 “Board” defined. “Board” means the entity designated by the Tribal Council to take certain designated actions stated herein and in the Tribe’s Cannabis Ordinance.

1.068 “Board Agent” defined. “Board Agent” means employee of the Board, including, but not limited to, inspectors, auditors and investigators.

1.070 “CBD” defined. “CBD” means cannabidiol, which is a primary phytocannabinoid compound found in cannabis.

1.073 “Chief Medical Officer” defined. “Chief Medical Officer” means the head medical officer designated by the Tribe..

1.075 “Combined cannabis establishment” defined. “Combined cannabis establishment” means a group of cannabis establishments of different types which:

1. Each share identical ownership; and
2. Are located on the same parcel of real estate.

1.080 “Component cannabis establishment” defined. “Component cannabis establishment” means an individual cannabis establishment which is part of a combined cannabis establishment.

1.083 “Department” defined. “Department” means the Department responsible for the oversight and enforcement of the rules that govern the cannabis programs as adopted by the Tribe. At the time the Tribe adopted these regulations, the Department is the Inter-Tribal Cannabis Commission (also referred to as the Inter-Tribal Marijuana Commission), which is more specifically addressed in Regulation 2.

1.085 “Excise tax on cannabis” defined. “Excise tax on cannabis” means any excise tax imposed by Tribal law.

1.090 “Extraction” defined. “Extraction” means the process of extracting THC or CBD from cannabis, including without limitation, pushing, pulling or drawing out THC or CBD from cannabis.

1.095 “Fair market value” defined. “Fair market value” shall be determined as stated in Section 23.870 of the Law and Order Code.

1.100 “Foreign matter” defined. “Foreign matter” means:

1. Stems which are 3 millimeters or more in diameter and constitute more than 5 percent of the cannabis product; or
2. Any physical contaminant which is included in the cannabis product, including but not limited to powdery mildew or insects.

1.105 “Growing unit” defined. “Growing unit” means an area within a cannabis cultivation facility in which growing operations are performed at all stages of growth. The term includes, without limitation, multiple rooms or areas that collectively are used to perform growing operations at all stages of growth regardless of whether each individual room or area has the capability to perform growing operations at all stages of growth.

1.110 “Imminent health hazard” defined. “Imminent health hazard” means a situation that requires immediate correction or cessation of operations to prevent injury or serious illness as determined by the Board or Department.

1.115 “Label” defined. “Label” means written or printed material affixed to or included with cannabis or a cannabis product to provide identification or other information.

1.120 “Letter of approval” defined.

1. “Letter of approval” means a document issued by the Department to a minor applicant that provides that the minor is not subject to prosecution for medical use of marijuana if in compliance with applicable Tribal laws.

2. The term does not include:

- (a) A letter accepting an application for a registry identification card;
- (b) A recommendation or referral letter issued by a physician;
- (c) A letter issued by another state or jurisdiction approving the medical use of cannabis;
- or
- (d) Any other document which the Board or Department determines does not meet the definition set forth in subsection 1.

1.125 “Lot” defined. “Lot” means:

1. The flowers from one or more cannabis plants of the same batch, in a quantity that weighs 10 pounds (4,536 grams) or less;
2. The leaves or other plant matter from one or more cannabis plants of the same batch, other than full female flowers, in a quantity that weighs 15 pounds (6,804 grams) or less; or
3. The wet flower, leaves or other plant matter from one or more cannabis plants of the same batch used only for extraction, in a quantity that weighs 125 pounds (56,700 grams) or less within 2 hours of harvest.

1.130 “Multiple-serving edible cannabis product” defined. “Multiple-serving edible cannabis product” means an edible cannabis product which is offered for sale to a consumer and contains, within a variance of 15 percent of the potency allowable, more than 10 milligrams and not more than 100 milligrams of THC. The term includes an edible cannabis product which contains multiple pieces, each of which contains 10 milligrams or less of THC, if the edible cannabis product offered for sale contains a total of more than 10 milligrams of THC.

1.135 “Packaging” defined. “Packaging” means the immediate consumer-facing wrapper or container in which usable cannabis or cannabis products are packaged.

1.137 “Person” defined. “Person” means natural persons, applicant, limited partnerships, limited-liability companies, corporations, publicly traded corporations, private investment companies, trusts, holding companies, or other forms of business organization such as defined by the Board.

1.140 “Pesticide” defined. “Pesticide” includes, but is not limited to:

1. Any substance or mixture of substances, including any living organisms, any product derived therefrom and any fungicide, herbicide, insecticide, nematocide and rodenticide, intended to prevent, destroy, control, repel, attract or mitigate any insect, rodent, nematode, snail, slug, fungus, weed and any other form of plant or animal life or virus, except a virus on or in living humans or other animals, which is normally considered to be a pest or which the Tribe declares to be a pest.

2. Any substance or mixture of substances intended to be used as a plant regulator, defoliant, desiccant, and any other substance intended for that use as named by the Tribe by regulation..

1.145 “Physician” defined. “Physician” has the same meaning ascribed to it “attending physician” in the Tribe’s Cannabis Ordinance.

1.150 “Potential total THC” defined. “Potential total THC” means the sum of the percentage by weight of tetrahydrocannabinolic acid multiplied by 0.877 plus the percentage by weight of Delta-9 tetrahydrocannabinol and Delta-8 tetrahydrocannabinol.

1.155 “Potentially hazardous cannabis products and ingredients” defined.

1. “Potentially hazardous cannabis products and ingredients” means an edible item that is natural or synthetic and that requires temperature control because the item is in a form capable of supporting:

- (a) The rapid and progressive growth of infectious or toxigenic microorganisms;
- (b) The growth and toxin production of *Clostridium botulinum*, or
- (c) In raw shell eggs, the growth of *Salmonella enteritidis*.

2. The term includes, without limitation:

- (a) An animal item that is raw or heat-treated;
- (b) An item of plant origin that is heat-treated or consists of raw seed sprouts;
- (c) Cut melons and tomatoes;
- (d) Garlic-in-oil mixtures that are not modified in a way that results in mixtures which prohibit growth; and
- (e) Whipped and/or infused butter.

3. The term does not include:

- (a) An ingredient with a value of water activity of not more than 0.85;
- (b) An ingredient with a pH level of not more than 4.6 when measured at 75°+/- 4°F (24°+/- 2.2°C); or
- (c) An ingredient, in a hermetically sealed and unopened container, that is commercially processed to achieve and maintain commercial sterility under conditions of non refrigerated storage and distribution.

1.160 “Premises” defined. “Premises” means:

1. Any temporary or permanent structure, including, without limitation, any building, house, room, apartment, tenement, shed, carport, garage, shop, warehouse, store, mill, barn, stable, outhouse or tent; or
2. Any conveyance, including, without limitation, any vessel, boat, vehicle, airplane, glider, house trailer, travel trailer, motorhome or railroad car, whether located above ground or underground and whether inhabited or not.

1.163 “Private Residence” defined. “Private Residence” means any building, buildings, or part of a building owned or leased by a public or private entity which serves as a private, non-transient residential dwelling unit. Private residences include, but are not limited to, single family homes, town houses, duplexes, condominiums, mobile homes, and apartments. Private residences do not include hotels, weekly hotels, monthly hotels, motels, camps, campers, motor homes, and/or other vehicles and industrial and commercial facilities that do not also serve as residences. Private residences also do not include universities, colleges, and/or schools, as well as their housing, residences, and/or dormitories.

1.165 “Production run” defined. “Production run” means:

1. For the extraction of concentrated cannabis by a cannabis establishment, the combination of one or more lots used to make the same product in one homogenous mixture produced using the same method which results in not more than 2.2 pounds (997.90 grams) of concentrated cannabis.
2. For the production of cannabis products by a cannabis production facility, one homogenous mixture produced using the same method, and which may include a combination of concentrated cannabis and other materials for the production of cannabis products.

1.170 “Production run number” defined. “Production run number” means a unique numeric or alphanumeric identifier assigned to a production run by a cannabis product facility which accounts for each batch or lot or any concentrated cannabis used in the production run.

1.175 “Proficiency testing” defined. “Proficiency testing” means the evaluation, relative to a given set of criteria, of the performance, under controlled conditions, of a cannabis independent testing laboratory in analyzing unknown samples provided by an external source.

1.180 “Proficiency testing program” defined. “Proficiency testing program” means the program established by the Board to evaluate the proficiency of a cannabis independent testing laboratory.

1.185 “Proficiency testing provider” defined. “Proficiency testing provider” means a person or organization operating a proficiency testing program which has been certified as meeting the requirements of standard ISO/IEC 17043 of the International Organization for Standardization.

1.190 “Proficiency testing sample” defined. “Proficiency testing sample” means a sample, the composition of which is unknown to the cannabis independent testing laboratory, provided to a cannabis independent testing laboratory to test whether the cannabis independent testing laboratory can produce analytical results within certain criteria.

1.195 “Public transportation” defined. “Public transportation” means:

1. Buses;

2. Trains;
3. Subways;
4. Other forms of transportation which charge a fare and are available to the public; and
5. Public transportation does not include a taxicab or rideshares such as Uber or Lyft.

1.197 “Ready-to-consume cannabis product” defined. “Ready-to-consume cannabis product” means an adult-use edible cannabis product that is:

1. Prepared and/or infused on the premises of a cannabis consumption lounge;
2. Presented in the form of a foodstuff or beverage;
3. Sold in a heated or unheated state; and
4. Intended for immediate consumption.

Ready-to-consume cannabis products include, but are not limited to, adult-use edible cannabis products that have been prewashed, precooked, or otherwise prepared for consumption and do not require additional cooking or preparation, including portioning. Each serving of a ready-to-consume cannabis product must be individually dosed not to exceed 10mg THC. Menu items offered must be submitted to the appropriate CCB agent for approval. Food items under the jurisdiction of the USDA are subject to inspection, seizure, and destruction by the USDA. Each ready-to-consume cannabis product must include the notice “THC may not be evenly distributed throughout this product.”

1.200 “Sample protocols” defined. “Sample protocols” means the procedures specified by the Board or Department that are required to be used to obtain samples of cannabis for quality assurance testing.

1.205 “Security equipment” defined. “Security equipment” means a system of video cameras, monitors, recorders, video printers, motion detectors, exterior lighting, electronic monitoring and other ancillary equipment used for surveillance of a cannabis establishment.

1.210 “Seed-to-sale tracking system” defined. “Seed-to-sale tracking system” means an electronic database which is used to monitor the current chain of custody of cannabis from the point of acquisition or planting to the end consumer and which is accessible by the Board, Department and by cannabis establishments.

1.215 “Separate operations” defined. “Separate operations” means any area in which a component cannabis establishment must maintain legal and operational separation from all other component cannabis establishments within a combined cannabis establishment.

1.220 “Single-serving edible cannabis product” defined. “Single-serving edible cannabis product” means an edible cannabis product that is offered for sale in a dispensary, to a consumer, and contains not more than 10 milligrams of THC. “Single-serving edible cannabis product” also means an edible cannabis product that is offered for sale in an onsite consumption location, to a consumer, and contains not more than 100 milligrams of THC.

1.222 “Single-use cannabis product” defined. “Single-use cannabis product” means a type of cannabis or adult-use cannabis product, other than a ready-to-consume cannabis product, that the Board determined appropriate for consumption in a cannabis consumption lounge.

1. Single-use cannabis products include, but are not limited to, items that are designed for use in a single sitting.
2. Single use cannabis products may be provided in bulk to a cannabis consumption lounge for later portioning prior to sale to consumers.
3. All Single-use cannabis products must be prepared at a licensed cannabis establishment and may not exceed the allowable serving size.
4. All items must be sold as a single serving and labeled in accordance with TCCR 12.040 or 12.045.
5. Edible cannabis products in liquid form must be homogenized prior to portioning and must be dispensed and sold to consumers utilizing a commercial weighing or dispensing device certified pursuant to any tribal regulations.
6. Single-use cannabis products must not contain more than:
 - (a) 3.5 grams of usable cannabis.
 - (1) If the single-use cannabis product contains more than 1 gram of usable cannabis, it must include a written warning: "Caution- This product is very potent and is not recommended for inexperienced users".
 - (b) 100 milligrams of THC for an edible, capsule, or tincture.
 - (c) 300 milligrams THC for inhalable extracted cannabis products.
 - (1) All single-use cannabis products sold as an inhalable extracted cannabis product must include a warning, "Caution- This product is very potent and is not recommended for inexperienced users".
 - (d) 400 milligrams of THC for a topical.
 - (e) 100 milligrams of THC for transdermal patches or other adult-use cannabis products not mentioned in this section. If the single-use cannabis product contains more than 10 milligrams of THC, it must include a written warning: "Caution- This product is very potent and is not recommended for inexperienced users".

1.225 "Surveillance" defined. "Surveillance" means the capability to observe and record activities being conducted outside and inside a cannabis establishment.

1.230 "Taxpayer" defined. "Taxpayer" means cannabis establishments as required.

1.235 "Vending Machine" defined. "Vending Machine" is an automated machine that provides items to consumers.

1.240 "Cannabis" is interpreted to exclude industrial hemp. For the purpose of the Tribe's Cannabis Ordinance, the Board and Department will interpret "cannabis" to exclude industrial hemp.

1.245 "Immature cannabis plant" and "mature cannabis plant" interpreted. For the purposes of the Tribe's Cannabis Ordinance and these regulations:

1. "Immature cannabis plant" means a cannabis plant with no observable flowers or buds.
2. "Mature cannabis plant" means a cannabis plant which has flowers or buds that are readily observable by an unaided visual examination.

REGULATION 2

INTER-TRIBAL CANNABIS COMMISSION ORGANIZATION AND ADMINISTRATION

- 2.010 **Definitions.**
- 2.020 **Delegation to Chair.**
- 2.025 **Commission meetings.**
- 2.040 **Appearances.**
- 2.045 **Recessed meetings.**
- 2.050 **Service of notices in general.**
- 2.055 **Subpoenas.**
- 2.060 **Employee records.**
- 2.065 **Procedure for control of evidence.**
- 2.070 **Other employees of the ITCC: Employment and discharge;
 conditions and limitations regarding hearing officers.**

2.010 Definitions.

1. **“Chair”** means the Chair of the Inter-Tribal Cannabis Commission or the Chair’s designee.
2. **“Meeting”** means the gathering of members of the Commission at which a quorum is present, for the purpose of deliberating toward a decision or making a decision. The term includes, but is not limited to, the consideration of license applications, transfers of interest, and disciplinary proceedings and giving directions to the departments, divisions and agents of the ITCC.

2.020 Delegation to Chair.

1. The Commission hereby delegates to the Chair the authority to issue preliminary rulings on scheduling, procedural, and evidentiary matters, and other matters provided by these regulations, that may be presented to the Commission during the course of conducting a meeting, or that may arise when the Commission is not meeting.
2. The Commission may, upon a majority vote in a specific case, temporarily abrogate the general delegation granted pursuant to subsection 1 of this section.
3. Any specific ruling or decision of the Chair pursuant to subsection 1 of this section is subject to consideration by the entire Commission upon the request of any Commission Member, Advisory Committee member, or upon timely motion of a person affected by the ruling or decision.
4. The Commission shall be deemed to have ratified an action of the Chair taken pursuant to subsection 1, under the following circumstances:

- (a) If the Chair's action occurred during a Commission meeting, the Chair's action is ratified if the Commission does not overturn or address the action at that meeting.
- (b) If the Chair's action occurred at a time other than during a meeting, if the Commission is notified of the action and does not overturn or address the Chair's action at the next meeting concerning that particular matter or 180 days have passed since the action and all commission members have received notice of the action at least 3 times, the last time being within 30 days of the end of the 180 day expiration period..
- 5. The Chair may sign all orders on behalf of the Commission.
- 6. Where the Commission is a party to civil litigation, the Chair may give guidance regarding the course of the litigation to the attorney for the Commission.

2.025 Commission meetings.

- 1. Except as otherwise specifically provided by these regulations, any member of the Commission may place an item on an ITCC agenda for consideration by the entire Commission.
- 2. The Chair, or in the Chair's absence, the remaining Board members, may alter the order in which matters on the ITCC agenda are heard.
- 3. Requests for special meetings will be granted only upon a showing of exceptional circumstances. The Commission may require that a person requesting a special meeting pay the costs associated with such meeting, in addition to those costs usually assessed against an applicant, licensee, or registrant.
- 4. Any ITCC commissioner may call a special meeting but may be required to pay the costs associated with such a meeting, in addition to those costs usually assessed.
- 5. Unless otherwise ordered by the Chair, requests for continuances of any matter on the ITCC agenda must be in writing, must set forth in detail the reasons a continuance is necessary, and must be received by the Chair no later than eight calendar days before the meeting.
- 6. Unless otherwise ordered by the Chair, the original of any documentation supplementing an application or disciplinary action as required by the Commission must be received by the administrative director no later than eight calendar days before the meeting. Documentation not timely received will not be considered by the Commission unless the Commission, in its discretion, otherwise consents. The Chair may defer to another meeting any matter with respect to which documentation has not been timely submitted. The applicant and its enrolled attorney or agent, if any, must appear at the meeting to which the matter is deferred, unless the Commission Chair waives their appearances.

2.040 Appearances.

- 1. Except as provided in subsection 2 or unless an appearance is waived by the Chair, all persons, and their attorneys and agents, if any, must appear at the Commission meeting at which their matter is to be heard. Requests for waivers of appearances must be in writing, must be received by the administration director no later than eight business days before the meeting, and must explain in detail the reasons for requesting the waiver. If at the time of its meeting the Commission has any questions of an applicant, licensee, or registrant who has been granted a waiver and is not present, the matter may be deferred to another meeting of the Commission.
- 2. Where the Commission is to consider a stipulation between the Commission and a licensee, or registrant settling a disciplinary action and revoking, suspending or conditioning a license, the licensee or registrant shall be prepared to respond on the record to questions regarding the terms of the stipulation and the licensee's or registrant's voluntariness in entering into the stipulation.

2.045 Recessed meetings. Any meeting of the Commission may be recessed to consider matters which were duly noticed as items on the agenda of that meeting, to such time and place as the Commission may designate. Notice of a recessed meeting to consider matters which were duly noticed as items on the agenda may be given by announcement at the meeting, but where any other matters are to be considered at a recessed meeting, such matters must be duly noticed as required by TCCR 2.050 of these regulations or as otherwise required by statute or by these regulations.

2.050 Service of notices in general.

1. Each licensee and applicant shall provide a point of contact to the Commission from the administration division for the purpose of sending notices and other communications from the Commission. The point of contact should be the same person designated in TCCR 5.010. Each licensee and applicant is required to update this point of contact, including the contact's electronic mail address, immediately as often as is otherwise necessary. The original provision and subsequent updates of the point of contact shall be made to the Commission's administration division by means designated by the Chair. Immediately means no later than 24 hours.

2. Except as otherwise provided by law or in these regulations, notices and other communications will be sent to an applicant or licensee through the point of contact by electronic mail as provided to the Commission for the purpose of sending notices and other communications. Except as otherwise provided by law or in these regulations, notices and other communications sent to the point of contact by electronic mail shall satisfy any requirement to mail a notice or other communication.

3. Notices shall be deemed to have been served on the date the Commission sent such notices to the point of contact's electronic mail address as provided to the Commission by a licensee or applicant, and the time specified in any such notice shall commence to run from the date of such mailing.

4. Any applicant or licensee who desires to have notices or other communications mailed to a physical address shall file with the Commission a specific request for that purpose, and notices and other communications will, in such case, be sent to the applicant or licensee at such address.

5. An applicant or licensee will be addressed under the name or style designated in the application or license, and separate notices or communications will not be sent to individuals named in such application or license unless a specific request for that purpose is filed with the Commission. In the absence of such specific request, a notice addressed under the name or style designated in the application or license shall be deemed to be notice to all individuals named in such application or license.

2.055 Subpoenas. The Commission or the Department's designated staff shall have the authority to issue subpoenas and subpoenas duces tecum as provided by these regulations.

2.060 Employee records.

1. All records concerning Commission or Department employees maintained by the Commission or Department are confidential.

2. Access to employee records declared confidential by this section shall be allowed only by Commission approval.

2.065 Procedure for control of evidence.

When a Department Agent seizes any article of property, the custodian of evidence for the Department shall place the evidence in a secure facility and enter in a suitable system sufficient

information to establish a chain of custody. A failure to comply with this subsection shall not render evidence inadmissible in any proceeding before the Commission or a hearing officer.

2.070 Other employees of the Commission: Employment and discharge; conditions and limitations regarding hearing officers.

1. The Commission may employ hearing officers, experts, administrators, attorneys, investigators, consultants and clerical personnel necessary to the discharge of its duties.

2. A hearing officer employed by the Commission shall not act in any other capacity for the Commission or occupy any other position of employment with the Commission or the member Tribe involved in the issue before the hearing officer, and the Commission shall not assign the hearing officer any duties which are unrelated to the duties of a hearing officer.

3. Each employee of the Commission hired under this regulation is an at-will employee who serves at the pleasure of the Commission. The Commission may discharge an employee of the Commission for any reason that does not violate public policy, including, without limitation, making a false representation to the Commission.

REGULATION 3

CANNABIS ADVISORY COMMITTEE: ORGANIZATION AND ADMINISTRATION

3.010 Definitions.

3.020 Delegation to Committee Chair.

3.025 Committee meetings.

3.030 Subcommittees

3.010 Definitions.

1. **“Committee Chair”** means the Chair of the Cannabis Advisory Committee or the Chair’s designee.

2. **“Committee Meeting”** means the gathering of members of the Committee.

3.020 Delegation to Committee Chair.

1. The Committee Chair may schedule quarterly meetings of the Committee, or as needed as determined by the Committee Chair.

2. The Committee Chair, or in the Committee Chair’s absence, the remaining Committee members, may alter the order in which matters on the Committee agenda are heard.

3.025 Committee meetings.

1. The Committee shall consider all matters submitted to it by the Board or Commission.

2. Except as otherwise specifically provided by these regulations, any member of the Committee may request an item be placed on a Committee agenda for consideration by the entire Committee. Requests made pursuant to this section will be transmitted to the Board by the Chair of

the Committee for approval. If approved, the item will be placed on the next scheduled committee meeting.

3.030 Subcommittees.

1. The Committee Chair may establish subcommittees to study issues and make recommendations to be considered by the Board.

REGULATION 4

DISCIPLINARY AND OTHER PROCEEDINGS BEFORE THE BOARD

4.010	Applicability.
4.020	Grounds for disciplinary action.
4.030	Imposition of civil penalty; revocation or suspension of license or cannabis establishment agent registration card; corrective action.
4.035	Category I Violations.
4.040	Category II Violations.
4.050	Category III Violations.
4.055	Category IV Violations.
4.060	Category V Violations.
4.065	Imminent health hazard.
4.070	Complaint.
4.075	Service of complaint.
4.080	Prohibition of ex parte communications.
4.085	Hearing Officer
4.090	Appearance through counsel.
4.095	Answer and early case conference and hearing.
4.098	Hearings: Procedure; use of affidavit.
4.100	Reinstatement of license or cannabis establishment agent registration card: Application; conditions, limitations or restrictions upon reinstatement; denial.
4.105	Grounds for summary suspension; notice; request for hearing.
4.110	Discovery: exchanges.

4.115	Continuances and recesses.
4.120	Burden and standard of proof.
4.125	Motions.
4.135	Disposition of charges: Adjudication by Hearing Officer.
4.140	Appeals

4.010 Applicability. TCCR 4 shall apply to disciplinary proceedings governed by the Tribe's Cannabis Ordinance. Unless otherwise ordered by the Chair, this regulation shall apply to all such proceedings that are pending on the effective date of this regulation.

4.020 Grounds and procedure for disciplinary action.

1. A violation of any of the provisions of the Tribe's Cannabis Ordinance or TCCR is grounds for disciplinary action by the Board or Department, including, without limitation, immediate revocation of a license for a cannabis establishment.
2. A violation of any of the provisions of the Tribe's Cannabis Ordinance or TCCR is grounds for disciplinary action by the Board or Department, including, without limitation, immediate revocation of a cannabis establishment agent registration card.
3. The Department may impose disciplinary action as stated herein, subject to review through a hearing as stated herein. The Board may impose disciplinary action on its own motion or following a hearing initiated by a complaint.
4. The Commission shall appoint a hearing officer to hear and make final decisions on disciplinary actions initiated by the Department, and those initiated by a Board complaint.

4.030 Imposition of civil penalty; revocation or suspension of license or cannabis establishment agent registration card; corrective action.

1. The Board/Department may:
 - (a) Subject to the provisions of TCCR 4, impose a civil penalty of not more than \$5,000 per violation on any person who fails to comply with or violates any provision of the TCCR and the Tribe's Cannabis Ordinance. Such a civil penalty must be paid to the Tribe for deposit in the Tribe's General Fund;
 - (b) Except as otherwise provided in paragraph (c), suspend or revoke a license or cannabis establishment agent registration card. If the Board/Department orders the suspension of a license or cannabis establishment agent registration card, the Board/Department shall prescribe the time period of the suspension in the written decision. If the Board/Department orders the revocation of a license or cannabis establishment agent registration card, the Board/Department shall prescribe a period of not less than 1 year and not more than 10 years during which the person may not apply for reinstatement of the license or cannabis establishment agent registration card; and
 - (c) If corrective action approved by the Board/Department will cure the noncompliance or violation but will not be completed within 30 days after issuance of the order, suspend for more than 30 days the license of a cannabis establishment or the cannabis establishment agent registration card of a person who fails to comply with or violates the provisions of the TCCR and the Tribe's Cannabis Ordinance.
2. To determine the amount of a civil penalty assessed pursuant to this section, the Board/Department will consider the gravity of the violation, the economic benefit or savings, if any, resulting from the violation, the size of the business of the violator, the history of compliance with the TCCR and the Tribe's Cannabis Ordinance by the violator, action taken to remedy the violation, the effect of the penalty on the ability of the violator to continue in business and any other matter as justice may require.

4.035 Category I Violations.

1. The Board/Department will determine a category I violation of the TCCR and the Tribe's Cannabis Ordinance as follows:

(a) Category I violations are of a severity that make a person ineligible to receive, renew, or maintain a license, including, without limitation:

- (1) Conviction of an excluded felony offense;
- (2) Operating without all required permits, certificates, registrations and/or licenses;
- (3) Making an intentionally false statement to the Board, Department or their agents;
- (4) Intentionally destroying or concealing evidence;
- (5) Intentionally failing to pay taxes to the Tribe;
- (6) Allowing noisy, disorderly or unlawful activity that results in death or serious physical injury, that involves the unlawful use or attempted use of a deadly weapon against another person or that results in a sexual offense which is a category A felony;
- (7) Operating a cannabis establishment while the license for the cannabis establishment is suspended or revoked;
- (8) Transporting cannabis outside of the boundaries of the Reservation or State of Nevada, except where authorized by an agreement between the Nevada Governor and the Tribe or another participating tribal government;
- (9) Making verbal or physical threats to a Board or Department agent or Board member;
- (10) Failing to immediately admit regulatory or law enforcement personnel into the premises of a cannabis establishment;
- (11) Refusing to allow an inspection or obstructing regulatory personnel or law enforcement officer from performing his or her official duties;
- (12) Purchasing or selling cannabis that has not passed the analysis required by a cannabis independent testing laboratory without written approval from the Board or Department;
- (13) Purchasing or selling cannabis not found in the seed-to-sale tracking system;
- (14) Failure to properly collect taxes; or
- (15) Transporting or storing cannabis from an unlicensed source, other than patient or consumer samples stored at a cannabis independent testing laboratory, or diversion of cannabis or cannabis products.

2. Before consideration of the factors described in subsection 1(a), the Board/Department will presume that the following are appropriate penalties for violations of the TCCR and the Tribe's Cannabis Ordinance:

- (a) For a category I violation which is the:
 - (1) First violation in the immediately preceding 3 years, a civil penalty of not more than \$5,000 and a suspension for not more than 30 days or revocation of a license or cannabis establishment agent registration card.
 - (2) Second or subsequent violation in the immediately preceding 3 years, revocation of a license or cannabis establishment agent registration card.
- (b) Notwithstanding the foregoing, a single violation of TCCR 4.035(1)(a)(15) for diversion of cannabis or cannabis products requires revocation of a license, certificate, and/or cannabis establishment agent registration card.

4.040 Category II Violations.

1. The Board/Department will determine a category II violation of the TCCR and the Tribe's Cannabis Ordinance as follows:

(a) Category II violations are violations of a severity that create a present threat to public health or safety, including, without limitation:

- (1) Making an unintentional false statement or representation of fact to the Board or Department or their Agents;
- (2) Unintentionally destroying or concealing evidence;
- (3) Failing to verify the age of, or selling or otherwise providing cannabis or cannabis paraphernalia to, a person who is less than 21 years of age;
- (4) Allowing a person who is less than 21 years of age to enter or remain in a cannabis establishment or transport vehicle unless the person holds a registry identification card or letter of approval;
- (5) Permitting sales by a person without a cannabis establishment agent registration card unless that person is deemed to be temporarily registered;
- (6) Effecting a change in ownership and/or ownership interest without complying with all the requirements of TCCR and/or any additional Board guidance and orders regarding transfers of interest;
- (7) Allowing noisy, disorderly or unlawful activity that involves use of a dangerous weapon against another person with intent to cause death or serious physical injury;
- (8) Allowing a person who is less than 21 years of age to work or volunteer at the cannabis establishment;
- (9) Failing to cease operation and notify the Board, Department or their Agents during an imminent health hazard;
- (10) Purchasing, cultivate, produce or otherwise use cannabis from an unapproved source;
- (11) Not properly segregating medical patient retail sales from adult use retail sales;
- (12) Operating an unapproved extraction unit;
- (13) Selling an amount of cannabis in excess of transaction limits;
- (14) Failing to maintain required security alarm and surveillance systems;
- (15) Any intentional variance from approved procedures in a laboratory;
- (16) Failing to notify the Board, Department or their Agents of a loss of possession or control of a cannabis facility within 24 hours;
- (17) Transferring, moving, or disturbing cannabis or cannabis product which has been quarantined by the Board or Department without Board or Department approval;
- (18) Failing to renew the cannabis establishment license on time; or
- (19) Any violation of TCCR 11.070.

2. Before consideration of the factors described in subsection 1(a), the Board/Department will presume that the following are appropriate penalties for violations of the TCCR and the Tribe's Cannabis Ordinance:

- (a) For a category II violation which is the:
 - (1) First violation in the immediately preceding 3 years, a civil penalty of not more than \$2,500 and a suspension for not more than 20 days of a license or cannabis establishment agent registration card.
 - (2) Second violation in the immediately preceding 3 years, a civil penalty of not more than \$5,000 and a suspension for not more than 30 days of a license or cannabis establishment agent registration card.
 - (3) Third or subsequent violation in the immediately preceding 3 years, revocation of a license or cannabis establishment agent registration card.

4.050 Category III Violations.

1. The Board/Department will determine a category III violation of the TCCR and the Tribe's Cannabis Ordinance as follows:

(a) Category III violations are violations of a severity that create a potential threat to public health or safety, including, without limitation:

- (1) Transporting cannabis in an unauthorized vehicle;
- (2) Allowing consumption by any person of alcohol, cannabis (except at a consumption lounge) or other intoxicants on the premises of the cannabis establishment or in areas adjacent to the premises of the cannabis establishment which are under the licensee's control, including, without limitation, a parking lot;
- (3) Failing to keep any required records, including seed-to-sale tracking requirements;
- (4) Failing to tag plants as required;
- (5) Failing to follow an approved security plan;
- (6) Allowing disorderly activity;
- (7) Allowing any activity which violates applicable laws;
- (8) Failing to notify the Board, Department or their agents within 24 hours after discovery of a serious incident or criminal activity on the premises of the cannabis establishment;
- (9) Unintentionally failing to pay taxes to the Tribe;
- (10) Selling unauthorized products or using unauthorized ingredients;
- (11) Failing to notify the Board, Department or their agents of a modification or expansion of the facilities of the cannabis establishment or a change in equipment or menu of the cannabis establishment;
- (12) Violating packaging or labeling requirements including seed-to-sale tracking system requirements;
- (13) Storing or delivering an unapproved cannabis product or a cannabis product outside the seed-to-sale tracking system;
- (14) Failing to meet requirements for the disposal of cannabis waste;
- (15) Using unauthorized pesticides, soil amendments, fertilizers or other crop production aids;
- (16) Exceeding the maximum serving requirements for cannabis products;
- (17) Exceeding a reasonable time frame for delivery without approval from the Board, Department or their agents;
- (18) Picking up, unloading or delivering cannabis at an unauthorized location;
- (19) Failing to comply with requirements for hand washing and employee hygiene, including, without limitation, using a bare hand on a cannabis product;
- (20) Failing to maintain proper temperature of potentially hazardous food or cannabis products;
- (21) Selling or failing to dispose of cannabis, cannabis products or food items that are spoiled or contaminated;
- (22) Failing to tag cannabis or a cannabis product as required;
- (23) Failing to follow seed-to-sale tracking system requirements while transporting or delivering cannabis or cannabis products
- (24) Failing to properly update the licensee's point of contact with the Board or Department;
- (25) Failure to maintain quality assurance/quality control program in a laboratory; or
- (26) Failure to maintain updated standard operating procedures.

- (27) Allowing sales of any products at a cannabis consumption lounge that are not permitted to be sold at a cannabis consumption lounge;
 - (28) Allowing the removal of any single-use cannabis products or ready-to-consume cannabis products from a cannabis consumption lounge;
 - (29) Permitting the use or consumption of cannabis by any person displaying any visible signs of overconsumption at a cannabis consumption lounge;
 - (30) Failing to develop, implement, and/or maintain a plan to mitigate the risk of impaired driving at a cannabis consumption lounge; or
 - (31) Failing to maintain a separate room in a cannabis consumption lounge for cannabis smoking, vaping, and inhalation in a cannabis consumption lounge, unless all such activities are prohibited in the cannabis consumption lounge.
2. Before consideration of the factors described in subsection 1(a), the Board/Department will presume that the following are appropriate penalties for violations of the TCCR and the Tribe's Cannabis Ordinance:
- (a) For a category III violation which is the:
 - (1) First violation in the immediately preceding 3 years, a civil penalty of not more than \$1,000.
 - (2) Second violation in the immediately preceding 3 years, a civil penalty of not more than \$2,000 and/or a suspension for not more than 10 days of a license or cannabis establishment agent registration card.
 - (3) Third violation in the immediately preceding 3 years, a civil penalty of not more than \$3,000 and/or a suspension for not more than 20 days of a license or cannabis establishment agent registration card.
 - (4) Fourth violation in the immediately preceding 3 years, a civil penalty of not more than \$5,000 and a suspension for not more than 60 days of a license or cannabis establishment agent registration card.
 - (5) Fifth or subsequent violation in the immediately preceding 3 years, revocation of a license or cannabis establishment agent registration card.

4.055 Category IV Violations.

1. The Board/Department will determine a category IV violation of the TCCR and the Tribe's Cannabis Ordinance as follows:
- (a) Category IV violations create a climate which is conducive to abuses associated with the sale or production of cannabis or cannabis products, including, without limitation:
 - (1) Failing to display or have in the immediate possession of each cannabis establishment agent a cannabis establishment agent registration card or proof of temporary registration;
 - (2) Removing, altering or covering a notice of suspension of a license or any other required notice or sign;
 - (3) Violating advertising requirements;
 - (4) Displaying products in a manner visible to the general public from a public right of way;
 - (5) Failing to respond to an administrative notice of a violation or failing to pay fines;
 - (6) Violating restrictions on sampling;
 - (7) Failing to maintain a standardized scale as required;
 - (8) Improper storing of cannabis, cannabis products or other foods;

- (9) Failing to properly wash, rinse and sanitize product contact surfaces as required;
- (10) Failing to maintain hand-washing facilities that are stocked, accessible and limited to hand washing only;
- (11) Infestation by pests that are not multigenerational or on contact surfaces;
- (12) Failing to properly use sanitizer as required;
- (13) Violating any transportation or delivery requirements not described in another category of violations;
- (14) Failing to properly respond to a Board or Board Agent's request for documentation, information, video, or other records; or
- (15) Any violation of TCCR 11.015(2).
- (16) Failing to comply with required employee training;
- (17) Failing to offer required consumer education, support materials, warnings, and/or notices to a cannabis consumption lounge consumer;
- (18) Failing to comply with any laws or regulations related to on-site food preparation at a cannabis consumption lounge; or
- (19) Failing to comply with ventilation requirements at a cannabis consumption lounge.

2. Before consideration of the factors described in subsection 1(a), the Board/Department will presume that the following are appropriate penalties for violations of the TCCR and the Tribe's Cannabis Ordinance:

- (a) For a category IV violation which is the:
 - (1) First violation in the immediately preceding 3 years, a civil penalty of not more than \$500.
 - (2) Second violation in the immediately preceding 3 years, a civil penalty of not more than \$1,000 and/or a suspension for not more than 7 days of a license or cannabis establishment agent registration card.
 - (3) Third violation in the immediately preceding 3 years, a civil penalty of not more than \$2,000 and/or a suspension for not more than 10 days of a license or cannabis establishment agent registration card.
 - (4) Fourth violation in the immediately preceding 3 years, a civil penalty of not more than \$4,000 and/or a suspension for not more than 20 days of a license or cannabis establishment agent registration card.
 - (5) Fifth violation in the immediately preceding 3 years, a civil penalty of not more than \$5,000 and a suspension for not more than 30 days of a license or cannabis establishment agent registration card.
 - (6) Sixth or subsequent violation in the immediately preceding 3 years, revocation of a license or cannabis establishment agent registration card.

4.060 Category V Violations.

1. The Board/Department will determine a category V violation of the TCCR and the Tribe's Cannabis Ordinance as follows:

- (a) Category V violations are inconsistent with the orderly regulation of the sale or production of cannabis or cannabis products, including, without limitation:
 - (1) Failing to submit monthly tax or sales reports or payments;
 - (2) Failing to notify the Board, Department or their agents of a temporary closure of the cannabis establishment within 24 hours of the closure;

- (3) Failing to post any required signs;
- (4) Failing to notify the Board or Department of a change in the name of the cannabis establishment;
- (5) Making a payment with a check returned for insufficient funds;
- (6) Failing to comply with any other requirements not described in another category of violations;
- (7) Failing to properly submit quarterly inventory reports, monthly sales reports, or other reports required by the Board or Department; or
- (8) Failure to pay for all costs involved in screening or testing related to quality assurance compliance checks within 30 days.
- (9) Operating a cannabis consumption lounge, or cannabis sales facility, outside of its designated hours of operation or failing to properly post the hours of operation of a cannabis consumption lounge, or cannabis sales facility;
- (10) Failing to provide required water service at a cannabis consumption lounge; or
- (11) Failing to comply with requirements regarding visibility of consumption from the public at a cannabis consumption lounge.

2. Before consideration of the factors described in subsection 1(a), the Board/Department will presume that the following are appropriate penalties for violations of the TCCR and the Tribe's Cannabis Ordinance:

- (a) For a category V violation which is the:
 - (1) First violation in the immediately preceding 3 years, a warning.
 - (2) Second violation in the immediately preceding 3 years, a civil penalty of not more than \$2,000.
 - (3) Third violation in the immediately preceding 3 years, a civil penalty of not more than \$3,000 and/or a suspension for not more than 3 days of a license or cannabis establishment agent registration card.
 - (4) Fourth violation in the immediately preceding 3 years, a civil penalty of not more than \$4,000 and/or a suspension for not more than 7 days of a license or cannabis establishment agent registration card.
 - (5) Fifth violation in the immediately preceding 3 years, a civil penalty of not more than \$5,000 and/or a suspension for not more than 10 days of a license or cannabis establishment agent registration card.
 - (6) Sixth or subsequent violations in the immediately preceding 3 years, a civil penalty of not more than \$6,000 and/or a suspension for not more than 20 days of a license or cannabis establishment agent registration card.

4.065 Imminent health hazard.

1. The Board or Department, through their Agents, will determine whether an event is an imminent health hazard that requires immediate correction or cessation of operations to prevent injury or serious illness based on the nature, severity and duration of any anticipated injury, illness or disease and the number of injuries or illnesses to members of the public which may occur. Events that are presumed to be imminent health hazards include, without limitation:

- (a) Interruption of electrical service;
- (b) Lack of potable water or hot water;

- (c) Grossly unsanitary occurrences or conditions including, without limitation, pest infestation or sewage or liquid waste not being disposed of in an approved manner;
 - (d) Lack of adequate refrigeration;
 - (e) Lack of adequate toilet and hand-washing facilities for employees;
 - (f) Misuse of poisonous or toxic materials;
 - (g) A suspected outbreak of foodborne illness;
 - (h) A fire or flood;
 - (i) Tribal emergency directives; or
 - (j) Any other condition or circumstance which endangers public health.
2. If a cannabis facility becomes aware of any such condition listed above, independently and not through the Board's or Department's agent, it must report said hazard to the Board, Department or their agents within two hours of the hazard's discovery.

4.070 Complaint. The Department shall and the Board may initiate a disciplinary matter by preparing a complaint. The complaint must contain the following information:

- 1. The date of the violation or, if the date of the violation is unknown, the date that the violation was identified;
- 2. The address or description of the location where the violation occurred;
- 3. The section of the TCCR and the Tribe's Cannabis Ordinance that was violated and a description of the violation;
- 4. The amount of the civil penalty that the Board/Department will impose or a description of the action the Board/Department will take for the violation;
- 5. A description of the payment process, including a description of the time within which and the place to which any civil penalty must be paid if the respondent does not wish to dispute the complaint;
- 6. An order prohibiting the continuation or repeated occurrence of the violation described in the complaint;
- 7. A brief description of the complaint process, including, without limitation, the time within which respondent must serve an answer to the complaint and the place to which the answer must be served; and
- 8. The name of the Board or Department agent who performed the investigation.

4.075 Service of complaint. The Board/Department shall serve the complaint upon the respondent either personally, by registered or certified mail at the respondent's address on file with the Board or Department, or by referring the complaint to a Board or Department agent or law enforcement officer for personal service. Proof of service may be provided by a certificate or affidavit of service, which shall be signed by the person effecting service and which shall specify the date and manner of service.

4.080 Prohibition of ex parte communications.

- 1. Unless required for the disposition of ex parte matters authorized by law:
 - (a) A party or the party's representative shall not communicate, directly or indirectly, in connection with any issue of fact or law related to a proceeding under this regulation, with the Hearing Officer or any member of the Board, except upon notice and opportunity to all parties to participate; and
 - (b) The Hearing Officer and each member of the Board shall not communicate, directly or indirectly, in connection with any issue of fact or law related to a proceeding under

- this regulation, with any party or any party's representative, except upon notice and opportunity to all parties to participate.
2. This section shall not preclude:
 - (a) The Hearing Officer or any member of the Board from consulting with Board counsel or supervisory counsel concerning any matter before the Board; or
 - (b) A party or a party's representative from conferring with the Hearing Officer or Board counsel concerning procedural matters that do not involve issues of fact or law related to the proceeding.
 3. A party or a party's representative may discuss, with counsel only, issues of fact or law in conjunction with potential case settlement.

4.085 Hearing Officer.

1. The Hearing Officer may issue rulings on discovery matters, scheduling matters, protective orders, the admissibility of evidence, and other procedural or pre hearing matters that are not dispositive of the case or any portion thereof.
2. The Hearing Officer may alter any of the time periods provided by this regulation, upon the Hearing Officer's own initiative or upon motion by a party or other person affected, for good cause shown.

4.090 Appearance through counsel.

1. Parties to proceedings governed by this regulation may appear personally or through an attorney, except that the parties must personally attend any hearing on the merits unless such attendance has been waived by the Hearing Officer.
2. When a party has appeared through an attorney, service of all notices, motions, orders, decisions, and other papers shall thereafter be made upon the attorney.
3. When a party is represented by an attorney, the attorney shall sign all motions, oppositions, notices, requests, and other papers on behalf of the party, including requests for subpoenas.
4. An attorney may withdraw from representing a person upon notice to the person or licensee, the Hearing Officer, the Board and Department.
5. If the Hearing Officer finds that an attorney has violated any provision of this section, the Hearing Officer may bar the attorney from participating in the case or may impose such other sanctions as the Hearing Officer deems appropriate.
6. A person or licensee subject to a hearing pursuant to this chapter is responsible for all costs related to the presentation of the defense.

4.095 Answer, early case conference and hearing.

1. Unless granted an extension, the respondent must answer within 20 days after the service of the complaint. In the answer, the respondent must:
 - (a) Must state in short and plain terms the defenses to each claim asserted;
 - (b) Must admit or deny the facts alleged in the complaint;
 - (c) Must state which allegations the respondent is without knowledge or information to form a belief as to their truth. Such allegations shall be deemed denied.
 - (d) Must affirmatively set forth any matter which constitutes an avoidance or affirmative defense.
 - (e) May demand a hearing. Failure to demand a hearing constitutes a waiver of the right to a hearing and to judicial review of any decision or order of the Hearing Officer, but

- the Hearing Officer may order a hearing even if the respondent so waives his or her right.
2. Failure to answer or to appear at the hearing constitutes an admission by the respondent of all facts alleged in the complaint. The Hearing Officer may take action based on such an admission and on other evidence without further notice to the respondent. If the Hearing Officer takes action based on such an admission, the Hearing Officer shall include in the record which evidence was the basis of the action. The Hearing Officer may revise the penalty or other action stated in the complaint.
 3. The Hearing Officer shall determine the time and place of the hearing as soon as is reasonably practicable after receiving the respondent's answer. The Hearing Officer shall deliver or send by registered or certified mail a notice of hearing to all parties at least ten days before the hearing. The hearing must be held within 60 days after the respondent's answer, except if the Hearing Officer extends this deadline for reasonable cause.
 4. If a hearing will be held, the Hearing Officer shall have the option to hold a pre-hearing conference to address any matters that should be resolved prior to the hearing, including discovery issues, if any.
 5. The hearing will be conducted as set forth in TCCR 4.098. The hearing officer shall issue, within 30 days of the last date of the hearing, findings of fact and conclusions of law.

4.098 Hearings: Procedure; use of affidavit.

1. At all hearings, formal rules of civil procedure and the formal rules of evidence shall not apply, but the hearing shall be conducted in a manner to provide the decision maker with sufficient information to make a decision on the matter.
 - (a) Oral evidence may be taken only upon oath or affirmation by the witness.
 - (b) Every party has the right to:
 - (1) Call and examine witnesses;
 - (2) Introduce exhibits relevant to the issues of the case;
 - (3) Cross-examine opposing witnesses on any matters relevant to the issues of the case, even though the matter was not covered in a direct examination;
 - (4) Impeach any witness regardless of which party first called the witness to testify; and
 - (5) Offer rebuttal evidence.
 - (c) If the respondent does not testify in his or her own behalf, the respondent may be called and examined as if under cross-examination.
 - (d) The hearing need not be conducted according to technical rules relating to evidence and witnesses. Any relevant evidence may be admitted and is sufficient in itself to support a finding if it is the sort of evidence on which responsible persons are accustomed to rely in the conduct of serious affairs, regardless of the existence of any common law or statutory rule which might make improper the admission of such evidence over objection in a civil action.
 - (e) The parties or their counsel may by written stipulation agree that certain specified evidence may be admitted even though such evidence might otherwise be subject to objection.
2. The Hearing Officer may take official notice of any generally accepted information or technical or scientific matter within the field of cannabis, and of any other fact which may be judicially noticed by the Tribal Court. The parties must be informed of any information, matters or facts so noticed, and must be given a reasonable opportunity, on request, to refute

such information, matters or facts by evidence or by written or oral presentation of authorities, the manner of such refutation to be determined by the Hearing Officer.

3. Affidavits may be received in evidence at any hearing of the Hearing Officer in accordance with the following:

(a) The party wishing to use an affidavit must, not less than 10 days before the day set for hearing, serve upon the opposing party or counsel, either personally or by registered or certified mail, a copy of the affidavit which the party proposes to introduce in evidence together with a notice as provided in paragraph (c).

(b) Unless the opposing party, within 7 days after such service, mails or delivers to the proponent a request to cross-examine the affiant, the opposing party's right to cross-examine the affiant is waived and the affidavit, if introduced in evidence, must be given the same effect as if the affiant had testified orally. If an opportunity to cross-examine an affiant is not afforded after request therefore is made in accordance with this paragraph, the affidavit may be introduced in evidence, but must be given only the same effect as other hearsay evidence.

(c) The notice referred to in paragraph (a) must be substantially in the following form:

The accompanying affidavit of (here insert name of affiant) will be introduced as evidence at the hearing set for the day of the month of of the year (Here insert name of affiant) will not be called to testify orally and you will not be entitled to question (here insert name of affiant) unless you notify the undersigned that you wish to cross-examine (here insert name of affiant). To be effective your request must be mailed or delivered to the undersigned on or before 7 days from the date this notice and the enclosed affidavit are served upon you.

.....
(Party or Counsel)

.....
(Address)

4.100 Reinstatement of license or cannabis establishment agent registration card: Application; conditions, limitations or restrictions upon reinstatement; denial.

1. If a person applies for reinstatement of a license or cannabis establishment agent registration card that has been revoked pursuant to this chapter, the person shall:

(a) Submit an application on a form supplied by the Board or Department.

(b) Satisfy all the current requirements for the issuance of an initial license or cannabis establishment agent registration card.

(c) Attest that, in this State or any other jurisdiction:

(1) The person has not, during the period of revocation, violated any tribal, state or federal law relating to cannabis, and no criminal or civil action involving such a violation is pending against the person; and

(2) No other regulatory body has, during the period of revocation, taken disciplinary action against the person, and no such disciplinary action is pending against the person.

(d) Satisfy any additional requirements for reinstatement of the license or cannabis establishment agent registration card prescribed by the Board or Department.

2. The Board will consider each application for reinstatement of a license or cannabis establishment agent registration card submitted pursuant to this section. In determining whether to reinstate the license or cannabis establishment agent registration card, the Board will consider the following criteria:

- (a) The severity of the act resulting in the revocation of the license or cannabis establishment agent registration card.
 - (b) The conduct of the person after the revocation of the license or cannabis establishment agent registration card.
 - (c) The amount of time elapsed since the revocation of the license or cannabis establishment agent registration card.
 - (d) The veracity of the attestations made by the person pursuant to subsection 1.
 - (e) The degree of compliance by the person with any additional requirements for reinstatement of the license or cannabis establishment agent registration card prescribed by the Board.
 - (f) The degree of rehabilitation demonstrated by the person.
3. If the Board reinstates the license or cannabis establishment agent registration card, the Board may place any conditions, limitations or restrictions on the license or cannabis establishment agent registration card as it deems necessary.
 4. The Board may deny reinstatement of the license or cannabis establishment agent registration card if the person fails to comply with any provisions of this section.
 5. This section shall not be interpreted to give any party or other person a right to reinstatement of the license or cannabis establishment agent registration card.

4.105 Grounds for summary suspension; notice; request for hearing.

1. If, due to the actions of a cannabis establishment, there could be an impairment of the health and safety of the public, and the Board Chairman or the Department finds that the public health, safety or welfare imperatively requires emergency action, the Board Chairman or Department may issue an order of summary suspension of the license of a cannabis establishment or a cannabis establishment agent registration card pending proceedings for revocation or other action. An order of summary suspension issued by the Board Chairman or Department must contain findings of the exigent circumstances which warrant the issuance of the order of summary suspension, and a suspension under such an order is effective immediately.
2. The Board Chairman or Department will give notice to a licensee or person that is subject to an order of summary suspension of the facts or conduct that warrant the order and the deficiencies that must be corrected to lift the order. A cannabis establishment whose license has been suspended pursuant to section 2 shall develop a plan of correction for each deficiency and submit the plan to the Board Chairman or Department (whichever issued the suspension) for approval within 10 business days after receipt of the order of summary suspension. The plan of correction must include specific requirements for corrective action, which must include times within which the deficiencies are to be corrected. A licensee or person that is subject to an order of summary suspension shall not operate until the Board Chairman or Department has confirmed that the deficiencies identified in the order have been corrected.
3. If the plan submitted pursuant to section 3 is not acceptable to the Board Chairman or Department, either may direct the cannabis establishment to resubmit a plan of correction or the Board Chairman or Department may develop a directed plan of correction with which the cannabis establishment must comply. The Board Chairman's or Department's acceptance of a plan of correction does not preclude the Board Chairman or Department from assessing fines and/or pursuing disciplinary action against the licensee for any violations connected with the suspension.

4. A licensee or person that is subject to an order of summary suspension may request a hearing regarding the order within 10 business days after the order is issued. A hearing on the summary suspension must be held within 30 days after that request for hearing.

4.110 Discovery: exchanges.

1. Except as stated herein, any party may request discovery from the opposing side only upon approval of the requested discovery by the Hearing Officer, and such request must be made in a timely manner so as not to delay any scheduled hearing.
2. Any party may request a copy of all documents and other evidence then reasonably available to another party which are then intended to be offered as evidence in support of the other party's case in chief, and a written list of persons the other party then intends to call as a witness in support of that party's case in chief. Each witness shall be identified by name, if known, position, business or home address, and a brief description of the purpose for which the witness will be called.
3. The investigative file for a case is not discoverable except for those documents that will be used as evidence in support of its case.
4. A party may take the deposition of a material witness only upon approval by the Hearing Officer after providing justification for needing a deposition.
5. It shall be a continuing obligation of the parties to produce documents, witness lists, and other matters governed by this section as such become identified by and available to the parties. A party may amend its responses to the requirements of this section by informing the adverse party that documents previously produced or witnesses previously listed, will not be introduced in that party's case in chief.

4.115 Continuances and recesses. The Hearing Officer may, for good cause shown, either before or during a hearing, grant continuances or recesses and may consider a stipulation by the parties to a continuance of the hearing.

4.120 Burden and standard of proof. The Board or Department that filed the complaint has the burden of proof, and the standard of proof is a preponderance of the evidence, which is evidence that enables a trier of fact to determine that the existence of the contested fact is more probable than the nonexistence of the contested fact. If a licensee fails to create and/or maintain any documents, records, surveillance video, and/or any other items required pursuant to these regulations and the Tribe's Cannabis Ordinance, that failure shall create a rebuttal presumption that such items would be harmful to that licensee's case at any disciplinary proceeding against the licensee.

4.125 Motions.

1. All motions shall be in writing, unless made during a hearing.
2. A motion shall state with particularity the grounds therefore, shall be supported by a memorandum of points and authorities, and shall set forth the relief or order sought.
3. Every written motion shall be filed with the Hearing Officer and served by the moving party upon the adverse party or as the Hearing Officer directs.
4. An opposing party shall have 10 calendar days after service of the motion within which to file and serve a memorandum of points and authorities in opposition to the motion.

5. The moving party shall have 5 calendar days after service of the opposing memorandum to serve and file a reply memorandum of points and authorities if the moving party so desires.
6. If a motion or opposition is served by mail, 3 calendar days shall be added to the time periods specified herein for response.
7. The failure of a moving party to file a memorandum of points and authorities in support of a motion shall constitute consent to the denial of the motion. The failure of an opposing party to file a memorandum of points and authorities in opposition to any motion shall constitute consent to the granting of the motion.

4.135 Disposition of charges: Adjudication by Hearing Officer.

1. At the conclusion of the presentations of the parties, the Hearing Officer shall deliberate and may impose discipline based upon the evidence, findings of fact and conclusions of law and the presentations of the parties.
2. If the Hearing Officer finds that a violation has occurred, he/she shall order any and all discipline authorized by this Chapter and the Tribe's Cannabis Ordinance.
3. Within 30 days after the conclusion of the adjudication, the Hearing Officer shall issue a final order, that imposes discipline and incorporates the findings of fact and conclusions of law obtained from the hearing. An order that imposes discipline and the findings of fact and conclusions of law supporting that order are public records.
4. The Hearing Officer shall have the power to waive any deviation from the adjudication and hearing procedure rules if the Hearing Officer finds that the licensee was provided sufficient due process to address and contest the complaint.

4.140 Appeal. The party receiving discipline may appeal the hearing officer's decision to the Tribal Court only for the Tribal Court to determine if the party received proper due process. If proper due process was not provided, the Tribal Court shall remand the matter back to the hearing officer with directions to correct the due process violations.

REGULATION 5

LICENSING, BACKGROUND CHECKS, AND REGISTRATION CARDS

5.000	Application process.
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5.015	Qualifications for licensure.
5.020	Request for applications to operate establishment.
5.040	Licensing of cannabis establishments: Criteria of merit; relative weight.
5.070	Inspections.

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5.085	Surrender of license if cannabis establishment has not received final inspection; extension of time for final inspection; fee not refundable.
5.090	Notification to Board and Department if cannabis establishment is closing; immediate surrender of license upon permanent closure.
5.095	Renewal of license.
5.100	Grounds for denial of issuance or renewal of license; grounds for revocation of license; notice; opportunity to correct situation.
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5.115	Contracts or agreements with certain unsuitable or unlicensed persons prohibited; termination of contract or agreement.
5.120	Submission of information by cannabis establishment to obtain or renew registration card for person employed by or contracted with establishment or for volunteer; fingerprinting and application fee; issuance of registration card; temporary registration.
5.125	Policies and procedures for waiving requirement to obtain a cannabis agent registration card for any owner, officer and board member.
5.130	Submission of information by cannabis establishment to obtain or renew a registration card for a cannabis executive; temporary

	registration; registration card for a cannabis executive required for officer, board member and person holding ownership interest in cannabis establishment.
5.135	Submission of applications.
5.140	Registration cards: Requirements for requesting replacement card.
5.145	Registration cards: Requirements for changing name or address.
5.150	Categories of registration cards.
5.155	Legal status as a separate entity; issuance of license; shared secured storage area; requirements for buildings and location; inspection and other requirements to commence operations; expansion of operations; powers and duties in operation; request for exception from inspection.
5.160	Notification of subsequent events.

5.000 Application process.

1. It is declared policy of the Tribe that all cannabis establishments are licensed and regulated so as to better protect the public health, safety, morals and customs, good order and welfare of inhabitants, and to preserve the competitive economy on Tribal lands, and the policies of free competition of the Tribe. Any cannabis establishment license, agent card, or approval by the Board or Department pursuant to the provisions of Title 23 of the Tribal Law and Order Code is a revocable privilege, and no holder acquires any vested right therein or thereunder. No applicant for a license or other affirmative Board or Department approval has any right to a license or the granting of the approval sought.
2. An application for a cannabis establishment license, agent card, or approval by the Board or Department (whichever is required by Tribal law) is seeking the granting of a privilege, and the burden of proving the applicant's qualification to receive that privilege is at all times on the applicant. An applicant must accept any risk of adverse public notice, embarrassment, criticism, or other action or financial loss which may result from action with respect to an application and expressly waive any claim for damages as a result thereof.
3. An application for a cannabis establishment license, business license, agent card, or approval by the Board or Department (whichever is required by Tribal law), shall constitute a request to the Board/Department for a decision upon the applicant's general suitability, character, integrity, and ability to participate or engage in, or be associated with, the cannabis industry in the manner or position sought by the application; and, by filing an application with the Board/Department, the applicant specifically consents to the making of such a decision by the Board/Department at their election when the application, after filing, becomes moot for any reason other than death.
4. A request for withdrawal of an application may be made at any time prior to final action upon the application by the Board/Department by filing a written request to withdraw with the Board or Department. Final action by the Board/Department upon an application occurs when the Board/Department adopts its conclusion regarding the application. Unless any Board or Department member directs a request for withdrawal be placed on an agenda for action, the Board or Department Chair may, in the Chair's discretion, grant the request for withdrawal without prejudice. The Board or Department may, in its discretion, deny the request, or grant the request with or without prejudice. If a request for withdrawal is granted with prejudice, the applicant is not eligible to apply again for licensing or approval until after expiration of 1 year from the date of such withdrawal.
5. After completion of its investigation and proceedings respecting an application, the Board or Department will issue the approval or denial of the application. If the Board or Department denies an application, the denial will be accompanied by written reasons upon which the denial is based. All such denials and reasons will be made public, and no denial will be secret. Any person whose application has been denied is not eligible to apply again for licensing or approval until after expiration of 1 year from the date of such denial, unless the Board determines in its discretion otherwise.

5.010 Designation of persons responsible for providing information, signing documents and ensuring certain actions are taken.

1. When a cannabis establishment is required to provide information, sign documents, accept service of complaints or notification of violations, or ensure actions are taken, the persons identified in this subsection shall comply with the requirement on behalf of the cannabis establishment:

- (a) If a natural person is applying for a license for a cannabis establishment, the natural person;
 - (b) If a corporation is applying for a license for a cannabis establishment, a natural person who is an officer of the corporation;
 - (c) If a limited partnership is applying for a license for a cannabis establishment, a natural person who is a partner;
 - (d) If a limited-liability company is applying for a license for a cannabis establishment, a manager or, if the limited-liability company does not have a manager, a natural person who is a member of the limited-liability company;
 - (e) If an association or cooperative is applying for a license for a cannabis establishment, a natural person who is a member of the governing board of the association or cooperative;
 - (f) If a joint venture is applying for a license for a cannabis establishment, a natural person who signed the joint venture agreement;
 - (g) If a trust is applying for a license for a cannabis establishment, a natural person who is a trustee of the trust; and
 - (h) If a business organization other than those described in paragraphs (b) to (g), inclusive, is applying for a license for a cannabis establishment, a natural person who is a member of the business organization.
2. For the purposes of this Rule, the following persons must comply with the provisions governing owners, officers and board members of a cannabis establishment:
- (a) If a corporation is applying for a license for a cannabis establishment, the shareholders, officers, and board members of the corporation;
 - (b) If a limited partnership is applying for a license for a cannabis establishment, the partners;
 - (c) If a limited-liability company is applying for a license for a cannabis establishment, the members and managers of the limited-liability company;
 - (d) If an association or cooperative is applying for a license for a cannabis establishment, the members of the association or cooperative;
 - (e) If a joint venture is applying for a license for a cannabis establishment, the natural persons who signed the joint venture agreement;
 - (f) If a trust is applying for a license for a cannabis establishment, the trustees of the trust, and
 - (g) If a business organization other than those described in paragraphs (a) to (f), inclusive, is applying for a license for a cannabis establishment, the members of the business organization.

5.015 Qualifications for licensure.

1. In addition to the considerations in Subsection 3 of TCCR 5.000, the Department may consider the following in determining whether any person qualifies to receive a license:
- (a) The adequacy of the person's business competence and experience for the role or position for which application is made;
 - (b) The unsuitable affiliates of the person applying for the license even if the person is found suitable by the Department, but associates with, or controls, or is controlled by, or is under common control with, an unsuitable person;
 - (c) The adequacy of the proposed funding for the nature of the proposed operations; and

(d) The suitability of the source of funding unless the person satisfies the Department that the source of funding:

(1) Is a person of good character, honesty, and integrity;

(2) Is a person whose background, reputation and associations will not result in adverse publicity for the Tribe and its cannabis industry; and

(e) The Department may consider any other qualifications or behavior of the person that the Department determines is inconsistent with the declared policy of the Tribe.

5.020 Request for applications to operate establishment. The Tribal Council has determined that only Tribally owned or Tribal majority owned cannabis establishments shall exist on the Reservation. If the Tribal Council determines that additional cannabis establishments are necessary, the Tribal Council will take all actions necessary to amend the Tribe's laws and regulations (if necessary) and issue a request for applications to operate a cannabis establishment, following procedures stated by the Tribal Council.

5.040 Licensing of cannabis establishments: Criteria of merit; relative weight. Prior to requesting applications pursuant to TCRR 5.020, the Board will promulgate regulations on how the Board will determine the ranking of the applications made in response to a request by the Tribal Council pursuant to 5.020.

5.070 Inspections.

1. Department Agents may, at any time they determine an inspection is needed, conduct an investigation into the premises, facilities, qualifications of personnel, methods of operation, policies and procedures of any cannabis establishment and of any person proposing to engage in the operation of a cannabis establishment. An inspection of a facility may include, without limitation, investigation of standards for safety from fire on behalf of the Department by the local fire protection agency or Fire Marshall.

2. No license shall be issued for a cannabis establishment until Department Agents complete an inspection of the cannabis establishment. Such an inspection may require more than one visit to the cannabis establishment.

3. Department Agents may conduct a preliminary walk-through of a cannabis establishment, upon request and subject to the availability of inspectors, to assist with questions and identify issues for correction before the inspection of the cannabis establishment. Before requesting a preliminary walk-through, a cannabis establishment must complete all construction and be near completion of all other applicable requirements of the laws and regulations. If a Department Agent conducts a preliminary walk-through at the request of a cannabis establishment, the Department will issue an invoice to the cannabis establishment for the costs of the preliminary walk-through, including, without limitation, travel and inspection activities.

4. In addition to complying with the provisions of Title 23 of the Law and Order Code and TCCR governing the imposition of an excise tax on cannabis establishments, a cannabis establishment may not operate until it has been issued a license from the Tribe.

5. The Tribal Council will not issue a license for a cannabis establishment until the Board and Department have received a satisfactory report of full compliance with and completion of all applicable public safety inspections required by state and local jurisdictions, including, without limitation, fire, building, health and air quality inspections.

5.075 Authority of Board and Department relating to inspections and investigations, summoning of witnesses and issuance of subpoenas, administration of oaths and administration of provisions of chapter.

1. Submission of an application for a license for a cannabis establishment constitutes permission for entry to and reasonable inspection of the cannabis establishment by the Board, Department or their Agents, with or without notice. An inspector conducting an inspection pursuant to this section does not need to be accompanied during the inspection.
2. The Department may, upon receipt of a complaint against a cannabis establishment, except for a complaint concerning the cost of services, a complaint concerning the efficacy of cannabis or a complaint related to consumer service issues, conduct an investigation during the operating hours of the cannabis establishment, with or without notice, into the premises, facilities, qualifications of personnel, methods of operation, policies, procedures and records of that cannabis establishment or any other cannabis establishment which may have information pertinent to the complaint.
3. Board or Department Agents may enter and inspect any building or premises at any time, with or without notice, to:
 - (a) Secure compliance with any provision of Title 23 of the Tribe's Law and Order Code, or the TCCR;
 - (b) Prevent a violation of any provision of Title 23 of the Tribe's Law and Order Code, or the TCCR; or
 - (c) Conduct an unannounced inspection of a cannabis establishment in response to an allegation of noncompliance with Title 23 of the Tribe's Law and Order Code or the TCCR.
4. The Board or Department may:
 - (a) Summon witnesses to appear and testify on any subject material to its responsibilities under Title 23 of the Tribe's Law and Order Code or the TCCR. Such summons may be served by personal service by the Board, Department or their agents.
 - (b) Except as otherwise provided in this paragraph, issue subpoenas to compel the attendance of witnesses and the production of books and papers and may seek to enforce the subpoenas by petition to any court of competent jurisdiction in the manner provided by law. The Board and Department will not issue a subpoena to compel the production of books and papers that contain individually identifiable health information.
5. Any member of the Board or Department employee may administer oaths to witnesses.
6. The Board, Department and their Agents may:
 - (a) Inspect and examine all premises wherein cannabis is manufactured, sold or distributed;
 - (b) Inspect all equipment and supplies in, upon or about such premises;
 - (c) Summarily seize and remove from such premises any cannabis or cannabis products and impound any equipment, supplies, documents or records for the purpose of examination and inspection;
 - (d) Demand access to and inspect, examine, photocopy and audit all papers, books and records of any applicant or licensee, on his or her premises, or elsewhere as practicable, and in the presence of the applicant or licensee, or his or her agent, relating to the gross income produced by any cannabis establishment, and require verification of income, and all other matters affecting the enforcement of the policy or any of the provisions of Title 23 of the Tribe's Law and Order Code or the TCCR; and
 - (e) Demand access to and inspect, examine, photocopy and audit all papers, books and records of any affiliate of a licensee whom the Board knows or reasonably suspects is

involved in the financing, operation or management of the licensee. The inspection, examination, photocopying and audit may take place on the premises of the affiliate or another location, as practicable, and in the presence of the affiliate or its agent.

7. Department Agents will enter and inspect at least annually, with or without notice, each building or the premises of a cannabis establishment to ensure compliance with the provisions of Title 23 of the Tribe's Law and Order Code or the TCCR.

8. Board or Department Agents will enter and inspect, with or without notice, any building or premises operated by a cannabis establishment within 72 hours after the Board or Department is notified that the cannabis establishment is operating without a license for the cannabis establishment.

9. Board or Department Agents will inspect the medical cannabis establishment and the cannabis establishment of a dual licensee at the same time using the same inspection team to ensure consistency and efficiency. Board or Department Agents will conduct such an inspection in a manner which is not unduly burdensome for the dual licensee.

10. The Board, Department or their Agents may consult with any person or entity, as needed, in any of the Board's or Department's audits, inspections, and/or investigations. This includes, but is not limited to, allowing such persons or staff from said entities to accompany Board or Department Agents during inspections, and/or investigations.

11. The Board and Department will administer the provisions of Title 23 of the Tribe's Law and Order Code or the TCCR for the protection of the public and in the public interest in accordance with the policy of the Tribe.

12. As used in this section, "individually identifiable health information" means information which identifies a natural person, or from which the identity of a natural person may reasonably be ascertained, and which relates to:

- (a) The past, present or future physical or mental health or condition of the person; or
- (b) The provision of health care to the person.

5.085 Surrender of license if cannabis establishment has not received final inspection; extension of time for final inspection; fee not refundable.

1. If a cannabis establishment has not received a final inspection within 12 months after the date on which the Board or Department issued a license to the cannabis establishment, the cannabis establishment must surrender the license to the Board. The Board may extend the period specified in this subsection if the Board, in its discretion, determines that extenuating circumstances prevented the cannabis establishment from receiving a final inspection within the period specified in this subsection.

2. If a cannabis establishment surrenders a license to the Board pursuant to this section, the applicable licensing fee paid by the cannabis establishment is not refundable.

5.090 Notification to Board and Department if cannabis establishment is closing; immediate surrender of license upon permanent closure. If a cannabis establishment is closing, the person identified in subsection 2.050.1 for the cannabis establishment must notify the Board and Department of the closing at least 15 days before the cannabis establishment is closed. If the intent is to permanently close the cannabis establishment it must surrender its license to the Board immediately upon closing.

5.095 Renewal of license. A person or entity that wishes to renew a license for a cannabis establishment must annually submit to the Department:

1. Payment of the annual licensing fee for the renewal of the license. Payment must include the identification numbers of the establishment and the name of the entity applying to renew the license.
2. Any such other information required by the Department upon request.
3. If a person or entity fails to renew its license by the expiration date, then the licensee shall cease operations until its license is renewed. If the person or entity fails to renew its license within ninety (90) days of the expiration date, then the license shall be deemed voluntarily surrendered.

5.100 Grounds for denial of issuance or renewal of license; grounds for revocation of license; notice; opportunity to correct situation.

1. The Department will deny an application for the issuance or renewal of a license for a cannabis establishment if:
 - (a) The application or the cannabis establishment is not in compliance with any provision of the Tribe's Law and Order Code or the TCCR; or
 - (b) An owner, officer or board member of the cannabis establishment:
 - (1) Intentionally provides information that the Board determines is false or misleading.
2. The Board or Department may revoke a license for a cannabis establishment if:
 - (a) The cannabis establishment engages in a category I violation pursuant to 4.035;
 - (b) An owner, officer or board member of the cannabis establishment has been convicted of a felony offense; or
 - (c) An owner, officer or board member of the cannabis establishment unintentionally provides information that the Board or Department determines is false or misleading.
3. The Department may deny an application for the issuance or renewal of a license for a cannabis establishment or may suspend or revoke any license issued under the provisions of Title 23 of the Tribe's Law and Order Code or the TCCR upon any of the following grounds:
 - (a) Violation by the applicant or the cannabis establishment of any of the provisions of Title 23 of the Tribe's Law and Order Code or the TCCR.
 - (b) The failure or refusal of an applicant or cannabis establishment to comply with any of the provisions of Title 23 of the Tribe's Law and Order Code or the TCCR
 - (c) The failure or refusal of a cannabis establishment to carry out the policies and procedures or comply with the statements provided to the Board or Department in the application of the cannabis establishment.
 - (d) Operating a cannabis establishment without a license.
 - (e) The failure or refusal to return an adequate plan of correction to the Board and Department within 10 business days after receipt of a statement of deficiencies pursuant to 5.080.
 - (f) The failure or refusal to correct any deficiency specified by the Board or Department within the period specified in a plan of correction developed pursuant to 5.080.
 - (g) The failure or refusal to cooperate fully with an investigation or inspection by the Board, Department or their Agents.
 - (h) The failure to comply with the provisions of the Tribe's Law and Order Code or the TCCR governing the imposition of tax on cannabis establishments.
 - (i) An owner, officer or board member of the cannabis establishment unintentionally provides information that the Board or Department determines is false or misleading.

4. If the Department denies an application for issuance or renewal of a license for a cannabis establishment or revokes such a license, the Department will provide notice to the applicant or cannabis establishment that includes, without limitation, the specific reasons for the denial or revocation.
5. Before denying an application for issuance or renewal of a license for a cannabis establishment or revoking such a license as a result of the actions of an owner, officer or board member of the cannabis establishment pursuant to paragraph (b) of subsection 1 or paragraph (b) of subsection 2, the Department may provide the cannabis establishment with an opportunity to correct the situation.
6. The Department will not deny an application to renew a license for a cannabis establishment or revoke a license based on a change in ownership of the cannabis establishment if the cannabis establishment is in compliance with the provisions of the Tribe's Law and Order Code or the TCCR, except as otherwise stated in the TCCR.

5.110 Requirements for transfer of all or a portion of ownership interest; reimbursement of costs to Board and Department; notice to Board or Department; disclosure of facts pertaining to representative capacity of certain persons to Board or Department; permission of Board or Department required for registering certain information in the books and records of the cannabis establishment; investigation.

1. A transfer of an ownership interest in any amount in a cannabis establishment is not effective until the Board has been notified on a form prescribed by the Board of the intent to transfer an ownership interest in the cannabis establishment and the Board has found that each person to whom an ownership interest is proposed to be transferred is individually qualified to be an owner of the cannabis establishment. No transfer shall be complete unless approved by the Tribal Council, which shall have full discretion to approve or disapprove of any transfer.
2. A cannabis establishment shall, in accordance with this section and upon submission of a statement signed by a person authorized to submit such a statement by the governing documents of the cannabis establishment, transfer all or any portion of its ownership to another party, and the Board shall transfer the license issued to the cannabis establishment to the party acquiring ownership, if the party who will acquire the ownership of the cannabis establishment submits:
 - (a) If the party will acquire the entirety of the ownership interest in the cannabis establishment, evidence satisfactory to the Board that the party has complied with the Tribe's Law and Order Code or the TCCR for the purpose of operating the cannabis establishment; and
 - (b) For the party and each person who is proposed to be an owner, officer or board member of the cannabis establishment, the name, address and date of birth of the person, a complete set of the fingerprints of the prospective cannabis establishment agent must be submitted by the applicant to the Department or Tribal Police for processing as required by the Tribe's Law and Order Code or the TCCR.
3. A cannabis establishment shall reimburse the Board for all costs incurred by the Board, Department and their Agents to determine whether any change in ownership or other change was made to circumvent the provisions of this section which prohibit the transfer of a license for a cannabis establishment or to otherwise review or investigate a change in ownership.
4. A person shall not sell, purchase, assign, lease, grant or foreclose a security interest or otherwise transfer, convey or acquire in any manner whatsoever any interest of any sort

whatsoever in or to any cannabis establishment or any portion thereof, whether the license for the cannabis establishment is conditional or not, or enter into or create a voting trust agreement or any other agreement of any sort in connection with any cannabis establishment or any portion thereof, except in accordance with the Tribe's Law and Order Code or the TCCR.

5. A cannabis establishment shall notify the Board, on a form prescribed by the Board, each time an ownership interest in any amount in the cannabis establishment is transferred. This form must be signed by:

- (a) All owners of the cannabis establishment; or
- (b) All officers of the cannabis establishment; or
- (c) All board members of the cannabis establishment.

6. A person without a valid cannabis establishment agent registration card for a cannabis establishment shall notify the Board prior to any:

- (a) Transfer or conveyance of any interest in or to a cannabis establishment, or any portion thereof; or
- (b) investment therein; or
- (c) exercise of a significant level of control over; or
- (d) participation in the profits thereof by or to any person acting as agent or trustee or in any other representative capacity for or on behalf of another person. Such notification must disclose all facts pertaining to such action, including, without limitation, a description of the reason for the transfer and any contract or other agreement describing the transaction. Such person must be issued a cannabis establishment agent registration card for the cannabis establishment at issue, on approval by the Board of the proposed action.

7. A cannabis establishment, or an owner, officer or board member thereof, shall not cause or permit any stock certificate or other evidence of beneficial interest in the cannabis establishment to be registered in the books or records of the cannabis establishment in the name of any person other than the true and lawful owner of the beneficial interest without the written permission of the Board.

8. If the person receiving an ownership interest is not a natural person, the recipient must disclose the percentage of the ownership interest in the cannabis establishment received by each person who has an ownership interest in the recipient.

9. A request to transfer an ownership interest in a cannabis establishment which holds a conditional license must be accompanied by a notarized attestation, signed by a person authorized to submit such an attestation by the governing documents of the cannabis establishment, declaring that the prospective owner will build and operate the cannabis establishment at standards that meet or exceed the criteria contained in the original application for the cannabis establishment.

10. The owners of a cannabis establishment may request the transfer of any portion or the entirety of the ownership interest in the cannabis establishment to any existing owner or combination of existing owners of the cannabis establishment by submitting to the Board:

- (a) A completed Transfer of Interest Form prescribed by the Board;
- (b) An affidavit by the owners of the cannabis establishment requesting the transfer affirming under oath that they are authorized to request the transfer of interest and all current owners and interested parties authorize and consent to the transfer of interest;
- (c) All contracts or other agreements which describe the ownership transaction;
- (d) Proof satisfactory to the Board that no monopoly will be created; and

(e) If such transfer shall increase an ownership interest of an owner with less than a five (5) percent interest to an interest of five (5) percent or more, and this level of interest is maintained for forty-five (45) consecutive days, whether voting or beneficial, then all statutory and regulatory requirements pertaining to owners with five (5) percent interest or more apply as of thirty (30) days after the forty-fifth (45) consecutive day. The cannabis establishment must notify the Board within five (5) business days after it becomes aware of any ownership equal to or exceeding five (5) percent for more than forty-five (45) consecutive days. At the discretion of the Board, the thirty (30) day requirement set forth in this subsection may be extended upon written request of the licensee.

11. The owners of a cannabis establishment may request the transfer of any portion or the entirety of the ownership interest in the cannabis establishment to any natural person who holds an ownership interest in another cannabis establishment or any person whose ownership interest is entirely held by natural persons who hold an ownership interest in another cannabis establishment by submitting to the Board:

- (a) A completed Transfer of Interest Form prescribed by the Board;
- (b) An affidavit by the owners of the cannabis establishment requesting the transfer affirming under oath that they are authorized to request the transfer of interest and all current owners and interested parties authorize and consent to the transfer of interest;
- (c) All contracts or other agreements which describe the ownership transaction;
- (d) Identification of each cannabis establishment in which any person who is proposed to receive an ownership interest in the cannabis establishment which is the subject of the request holds an ownership interest;
- (e) A proposed organizational chart for the cannabis establishment which is the subject of the request;
- (f) A copy of any document required to be revised as a result of the proposed transfer relating to a fictitious name, if applicable;
- (g) An updated description of all shares issued in the cannabis establishment and the shares issued per owner as a result of the proposed transfer, if applicable; and
- (h) Proof satisfactory to the Board that no monopoly will be created.

12. The owners of a cannabis establishment may request the transfer of any portion or the entirety of the ownership interest in the cannabis establishment to any natural person, regardless of whether the natural person holds an ownership interest in another cannabis establishment, or any person whose ownership interest is not entirely held by natural persons who hold an ownership interest in another cannabis establishment by submitting to the Board:

- (a) A completed Transfer of Interest Form prescribed by the Board;
- (b) An affidavit by the owners of the cannabis establishment requesting the transfer affirming under oath that they are authorized to request the transfer of interest and all current owners and interested parties authorize and consent to the transfer of interest;
- (c) All contracts or other agreements which describe the ownership transaction;
- (d) A complete set of the fingerprints of the prospective cannabis establishment agent must be submitted by the applicant to the Department or Tribal Police for processing as required by the Tribe's Law and Order Code or the TCCR;
- (e) Proof that a complete application for a cannabis establishment agent registration card has been submitted for each person who will receive an ownership interest;
- (f) A proposed organizational chart for the cannabis establishment;

- (g) A copy of any document required to be revised as a result of the proposed transfer relating to a fictitious name, if applicable;
 - (h) An updated description of all shares issued in the cannabis establishment and the shares issued per owner as a result of the proposed transfer, if applicable;
13. The Board or Department Agents will conduct such investigation of a request submitted pursuant to subsection 10, 11 or 12 and of each person proposed to receive an ownership interest in a cannabis establishment as a result of such a request as the Board or Department Agents determine is necessary. If the Board, as a result of such an investigation, determines additional information is necessary to complete the investigation, the cannabis establishment shall submit such information to the Board in a timely fashion. Upon completion of the investigation, the Board will:
- (a) If the requested change in ownership does not violate any provision of the Tribe's Law and Order Code or the TCCR or any other relevant law or regulation:
 - (1) Notify the cannabis establishment in writing that the request will be submitted to the Tribal Council for its decision; and
 - (2) If approved by the Tribal Council, update its records to reflect the new ownership of the cannabis establishment; and
 - (b) If the requested change in ownership violates any provision of the Tribe's Law and Order Code or the TCCR or any other relevant law or regulation, notify the cannabis establishment in writing that the request has been denied and state the reason for denial.

5.115 Contracts or agreements with certain unsuitable or unlicensed persons prohibited; termination of contract or agreement.

1. A person who has:
 - (a) Been denied a license or agent card;
 - (b) Had a license, agent card or other approval revoked,shall not enter or attempt to enter into any contract or agreement with a licensee, either directly or indirectly, through any business organization under such a person's control that involves the operations of a licensee without the prior approval of the Board. This provision does not prohibit any person from purchasing any goods or services for personal use from a licensee at retail prices that are available to the general public.
2. Every contract or agreement with a person that is subject to the provisions of subsection 1 shall be deemed to include a provision for its termination without liability on the part of the licensee. Failure to expressly include that condition in the contract or agreement is not a defense in any action brought pursuant to this section to terminate the agreement.

5.120 Submission of information by cannabis establishment to obtain or renew registration card for person employed by or contracted with establishment or for volunteer; fingerprinting and application fee; issuance of registration card; temporary registration.

1. A person who wishes to volunteer or work at a cannabis establishment, or a cannabis establishment that wishes to retain as a volunteer or employ such a person, shall submit to the Department an application on a form prescribed by the Department. The application must be accompanied by:
 - (a) The name, address and date of birth of the prospective cannabis establishment agent;

- (b) A statement signed by the prospective cannabis establishment agent pledging not to dispense or otherwise divert cannabis to any person who is not authorized to possess cannabis in accordance with the provisions of this title;
 - (c) A statement signed by the prospective cannabis establishment agent asserting that he or she has not previously had a cannabis establishment agent registration card revoked;
 - (d) A complete set of the fingerprints of the prospective cannabis establishment agent must be submitted by the applicant to Department for processing for its report;
 - (e) A statement as required by Law and Order Code, if still effective. The statement must be completed and signed by the applicant;
 - (f) The application fee;
 - (g) A list and description of each of the following which has not been previously reported to the Department:
 - (1) A conviction of any felony offense in the past ten years;
 - (2) A civil penalty or judgment entered against the agent card holder; and
 - (3) The initiation by a federal, state or local government of an investigation or proceeding against the agent card holder.
 - (h) Any such other information required by the Board or Department upon request.
2. A person who wishes to contract to provide labor to or be employed by an independent contractor to provide labor to a cannabis establishment, or a cannabis establishment that wishes to contract with such a person, shall submit to the Department an application on a form prescribed by the Department for the registration of the independent contractor and each employee of the independent contractor who will provide labor as a cannabis establishment agent. The application must be accompanied by:
- (a) The name, address and, if the prospective cannabis establishment agent has a Tribal business license, the business identification number assigned by the Federal Government and the Tribal Government upon compliance with the provisions of the tribal law and order code;
 - (b) The name, address and date of birth of each employee of the prospective cannabis establishment agent who will provide labor as a cannabis establishment agent;
 - (c) A statement signed by the prospective cannabis establishment agent pledging not to dispense or otherwise divert cannabis to, or allow any of its employees to dispense or otherwise divert cannabis to, any person who is not authorized to possess cannabis in accordance with the provisions of this title;
 - (d) A statement signed by the prospective cannabis establishment agent asserting that it has not previously had a cannabis establishment agent registration card revoked and that none of its employees who will provide labor as a cannabis establishment agent have previously had a cannabis establishment agent registration card revoked;
 - (e) A complete set of the fingerprints of each employee of the prospective cannabis establishment agent who will provide labor as a cannabis establishment agent and written permission of the prospective cannabis establishment agent and each employee of the prospective cannabis establishment must be submitted by the applicant to the Department for processing for its report;
 - (f) A statement prescribed by Law and Order Code. The statement must be completed and signed by the applicant.
 - (g) The application fee;
 - (h) A list and description of each of the following which has not been previously reported to the Department:
 - (1) A conviction of any felony offense in the past ten years;

- (2) A civil penalty or judgment entered against the agent card holder; and
 - (3) The initiation by a federal, state or local government of an investigation or proceeding against the agent card holder.
- (i) Any such other information required by the Board or Department upon request.
- 3. Any person who wishes to hold an ownership interest in a cannabis establishment of less than 5 percent shall submit to the Board an application identical to persons seeking more than a 5 percent ownership interest.
- 4. A cannabis establishment shall notify the Board and Department within 10 business days after a cannabis establishment agent ceases to hold an ownership interest in the cannabis establishment, be employed by, volunteer at or provide labor as a cannabis establishment agent to the cannabis establishment.
- 5. A person who:
 - (a) Has been convicted of a felony offense in the past ten years; or
 - (b) Is less than 21 years of age;
- 6. If an applicant for registration as a cannabis establishment agent satisfies the requirements of this section, is found to be qualified by the Department pursuant to applicable law and is not disqualified from serving as such an agent pursuant to this section or any other applicable law, the Department shall issue to the person and, for an independent contractor, to each person identified in the independent contractor's application for registration as an employee who will provide labor as a cannabis establishment agent, a cannabis establishment agent registration card.
- 7. A person to whom a cannabis establishment agent registration card is issued or for whom such a registration card is renewed shall submit to the Department on the date of the first anniversary of the issuance or renewal an affidavit attesting that in the preceding year there has been no change in the information previously provided to the Department which would subject the person to disciplinary action by the Department.
- 8. A cannabis establishment agent registration card issued pursuant to this section to an independent contractor, or an employee of an independent contractor authorizes the independent contractor or employee to provide labor to any cannabis establishment licensed by the Tribe.
- 9. A cannabis establishment agent registration card issued pursuant to this section to a person who wishes to volunteer or work at a cannabis establishment authorizes the person to volunteer or work at any cannabis establishment licensed by the Tribe for which the category of the cannabis establishment agent registration card authorizes the person to volunteer or work.
- 10. Except as otherwise prescribed by regulation of the Board, an applicant for registration or renewal of registration as a cannabis establishment agent is deemed temporarily registered as a cannabis establishment agent on the date on which a complete application for registration or renewal of registration is submitted to the Department. A temporary registration as a cannabis establishment agent expires 45 days after the date upon which the application is received.
- 11. A cannabis establishment agent registration card will expire 2 years after the date of issuance.
- 12. If a cannabis establishment agent registration cardholder wishes to remain a cardholder they must, prior to the expiration date of the card:
 - (a) Resubmit the information set forth in this section; and
 - (b) Pay the renewal fee set forth in applicable law.

5.125 Policies and procedures for waiving requirement to obtain a cannabis agent registration card for any owner, officer and board member who holds an ownership interest of less than 5 percent. The Board and Department may not waive the requirement to obtain a cannabis agent registration card for any person who holds an ownership interest of less than 5 percent in a cannabis establishment.

5.130 Submission of information by cannabis establishment to obtain or renew a registration card for a cannabis executive; temporary registration; registration card for a cannabis executive required for officer, board member and person holding ownership interest in cannabis establishment.

1. Each person who holds an ownership interest in a cannabis establishment, or is an officer, managing member or board member, shall obtain a cannabis establishment agent registration card for a cannabis executive.
2. A person who wishes to hold an ownership interest in a cannabis establishment, or is an officer, managing member or board member, shall submit to the Department an application on a form prescribed by the Department for a cannabis establishment agent registration card for a cannabis executive. The application must be accompanied by:
 - (a) The name, address and date of birth of the applicant;
 - (b) A statement signed by the applicant asserting that he or she has not previously had a cannabis establishment agent registration card for a cannabis executive revoked;
 - (c) A complete set of the fingerprints of the prospective cannabis establishment executive agent must be submitted by the applicant to the the Department for processing for its report;
 - (d) Any information required by the Board or Department to complete an investigation into the background of the applicant, including, without limitation, financial records and other information relating to the business affairs of the applicant;
 - (e) The application fee;
 - (f) A list and description of each of the following which has not been previously reported to the Department:
 - (1) A conviction of any felony offense in the past ten years;
 - (2) A civil penalty or judgment entered against the agent card holder; and
 - (3) The initiation by a federal, state or local government of an investigation or proceeding against the agent card holder.
 - (g) Any such other information required by the Board or Department upon request.
3. If the Department determines the applicant is qualified to receive a cannabis establishment agent registration card for a cannabis executive, the Department shall issue to the person a cannabis establishment agent registration card for a cannabis executive.
4. A cannabis establishment agent registration card for a cannabis executive will expire 2 years after the date of issuance.
5. If a cannabis establishment agent registration cardholder for a cannabis executive wishes to remain a cardholder they must, prior to the expiration date of the card:
 - (a) Resubmit the information set forth in this section; and
 - (b) Pay the applicable renewal fee.
6. A person to whom a cannabis establishment agent registration card for a cannabis executive is issued or for whom such a registration card is renewed shall submit to the Department on the date of the first anniversary of the issuance or renewal an affidavit attesting that in the preceding year there has been no change in the information previously

provided to the Department which would subject the person to disciplinary action by the Board or Department.

7. A cannabis establishment shall notify the Board and Department within 10 business days after becoming aware a cannabis executive ceases to hold an ownership interest in the cannabis establishment.

8. A person who:

(a) Has been convicted of a felony offense in the past ten years; or

(b) Is less than 21 years of age,

shall not serve as a cannabis executive

9. If an applicant for registration as a cannabis executive satisfies the requirements of this section and is not disqualified from serving as a cannabis executive pursuant to this section or any other applicable law or regulation, the Department will issue to the person a cannabis establishment agent registration card for a cannabis executive.

5.135 Submission of applications. An applicant submitting an application for a cannabis establishment agent registration card or cannabis establishment agent registration card for a cannabis executive, or renewing, amending, changing or replacing a cannabis establishment agent registration card or cannabis establishment agent registration card for a cannabis executive shall submit the application in the format prescribed by the Department, which may be electronically.

5.140 Registration cards: Requirements for requesting replacement card. To request a replacement cannabis establishment agent registration card or cannabis establishment agent registration card for a cannabis executive that has been lost, stolen or destroyed, the cannabis establishment agent shall submit to the Department, within 3 working days after the card was lost, stolen or destroyed, a request for a replacement card which must include:

1. The name and date of birth of the cardholder;

2. If known, the number of the lost, stolen or destroyed cannabis establishment agent registration card or cannabis establishment agent registration card for a cannabis executive;

3. If the cardholder cannot provide the number of the lost, stolen or destroyed cannabis establishment agent registration card or cannabis establishment agent registration card for a cannabis executive, a copy of:

(a) Any valid government-issued identification card of the cardholder which includes a photograph of the person; or

(b) A cannabis establishment agent registration card or cannabis establishment agent registration card for a cannabis executive previously issued to the person.

4. An application fee of \$75.

5.145 Registration cards: Requirements for changing name or address. To make a change to the name or address on a cannabis establishment agent registration card or cannabis establishment agent registration card for a cannabis executive, the cannabis establishment agent must submit to the Department a request for the change, which must include:

1. The name on and the number of the current cannabis establishment agent registration card or cannabis establishment agent registration card for a cannabis executive of the cardholder;

2. The new name or address of the cardholder;

3. The effective date of the new name or address of the cardholder;

4. For a change of the address of the cardholder, the county and state in which the new address is located; and
5. For a change of the name of the cardholder, a copy of any valid government-issued identification card of the cardholder which includes a photograph of the person and the new name and address of the cardholder.
6. Failure to update your name or address within forty-five days constitutes a category V violation pursuant to 4.060.

5.150 Categories of registration cards.

1. The Department will issue cannabis establishment agent registration cards for each of the following categories:
 - (a) A cannabis cultivation facility;
 - (b) A cannabis distributor;
 - (c) A cannabis production facility;
 - (d) A cannabis independent testing laboratory;
 - (e) A cannabis sales facility; or
 - (f) An independent contractor who provides labor to a cannabis establishment or an employee of such an independent contractor;
 - (g) A cannabis consumption lounge.
2. Each cannabis establishment agent registration card issued pursuant to Section 5.120 must indicate the applicable category. A person who is employed by or volunteers at a cannabis establishment and to whom a cannabis establishment agent registration card is issued may only be employed by or volunteer at the type of cannabis establishment for which he or she is registered. Such a person may hold more than one category of cannabis establishment agent registration card and may volunteer or work at any cannabis establishment for which the category of the cannabis establishment agent registration card authorizes the person to volunteer or work.
3. If a cannabis establishment agent also holds a valid medical cannabis establishment agent registration card, the cannabis establishment agent is authorized to work in any cannabis establishment or dual licensee for which the category of the cannabis establishment agent registration card and medical cannabis establishment agent registration card authorizes the person to volunteer or work.

5.155 Legal status as separate entity; issuance of license; shared secured storage area; requirements for buildings and location; inspection and other requirements to commence operations; expansion of operations; powers and duties in operation; request for exception from inspection.

1. Each component cannabis establishment retains its individual legal status as a separate entity from the combined cannabis establishment of which it is a part and each other component cannabis establishment which is a part of the same combined cannabis establishment.
2. The Department will not issue to a combined cannabis establishment a license for a cannabis establishment, but the combined cannabis establishment will instead be deemed to exist for the efficient operation and regulation of the component cannabis establishments which are a part of the combined cannabis establishment and will be issued a license by the Department upon a determination by the Department that the combined cannabis establishment has complied with the provisions of this section.

3. The component cannabis establishments of a combined cannabis establishment may share a single, secured storage area if the inventory from each component cannabis establishment is securely segregated within the secured storage area apart from the inventory of all other component cannabis establishments.
4. The building infrastructure, security systems and other facilities, including, without limitation, common entrances, exits, break rooms, locker rooms, loading docks and other areas determined by the Board or Department to be expedient for business and appropriate for the site, may be combined and shared among the component cannabis establishments of a combined cannabis establishment.
5. Each component cannabis establishment within a combined cannabis establishment must be inspected before commencing operations. A component cannabis establishment need not actually commence or intend to immediately commence operations to satisfy the requirements of this subsection.
6. For the purposes of subsection 5, a component cannabis establishment is ready to commence operations if the component cannabis establishment:
 - (a) Is a cannabis cultivation facility and has demonstrated the successful installation and operation of lights, plumbing, heating, ventilation and air-conditioning systems, humidity control systems, carbon dioxide control systems and all other growing technical facilities, including all related control systems, for at least one growing unit. A growing unit must:
 - (1) Be serviced by all building facilities and technology and have all other features described to perform growing operations at all stages of growth in the application for a medical cannabis licenser license for the cultivation facility or cannabis cultivation facility;
 - (2) Have the capacity to nourish clones, germinate seedlings, attain vegetative growth, flower plants to maturity, dry and cure cut plants, trim and package finished plants and store finished cannabis product in compliance with applicable law; and
 - (3) Consist of one or more growing tables, enclosed pods or rooms.
 - (b) Is a facility for the production of edible cannabis products or cannabis-infused products, as defined in Tribal law or cannabis production facility and has demonstrated the proper, safe installation of all extraction, cooking or other equipment and all plumbing, ventilation, solvent lines, electricity, electrical lines, refrigerators and all other production equipment.
7. A component cannabis establishment which has demonstrated that it is ready to commence operations pursuant to subsection 6 may expand operations within a previously inspected and approved space to the level described in its application for a license for a cannabis establishment without further inspection or approval. The Board, Department or their Agents may inspect such a component cannabis establishment as often as it determines to be necessary.
8. Before the Department will issue a license for a combined cannabis establishment, all walls, ceilings, floors, electrical cabling, plumbing, general lighting for purposes other than cultivation and ducting for heating, ventilation or air-conditioning systems for each component cannabis establishment must be completed as specified in the floor plan submitted to the Department as part of the application for a license for a cannabis establishment for the component cannabis establishment at a level sufficient to obtain a license of occupancy issued by the locality.
9. Each license issued by the Department to a combined cannabis establishment must specify which types of cannabis establishments are approved to operate at the location of the combined cannabis establishment.

10. A combined cannabis establishment may:
 - (a) Allow the cannabis establishment agents or medical cannabis establishment agents of each component cannabis establishment to move between the component cannabis establishments of the combined cannabis establishment if each such cannabis establishment agent or medical cannabis establishment agent holds and carries on his or her person a cannabis establishment agent registration card or medical cannabis establishment agent registration card, as applicable, for each kind of cannabis establishment or medical cannabis establishment to be entered.
 - (b) Allow a cannabis establishment agent or medical cannabis establishment agent of any component cannabis establishment to perform work functions for any component cannabis establishment if each such cannabis establishment agent or medical cannabis establishment agent holds and carries on his or her person a cannabis establishment agent registration card or medical cannabis establishment agent registration card, as applicable, for each kind of cannabis establishment or medical cannabis establishment at which work functions are performed.
 - (c) Share equipment which is not specific to the operation of a component cannabis establishment, including, without limitation, motor vehicles, among all component cannabis establishments.
 - (d) Not allow a component cannabis establishment to share equipment which is specific to the operation of the component cannabis establishment, including, without limitation, extraction devices which are specifically used by a cannabis production facility or cultivation lights which are specifically used by a cannabis cultivation facility, with another component cannabis establishment.
11. Each component cannabis establishment shall maintain separate operations from other component cannabis establishments and the combined cannabis establishment of which the component cannabis establishment is a part by:
 - (a) Holding a license for a cannabis establishment or a medical cannabis establishment license and being individually approved, separate from all other cannabis establishments or medical cannabis establishments operating on the same parcel of real estate, to operate as a business by all relevant jurisdictions and authorities, as applicable.
 - (b) Maintaining separately from all other component cannabis establishments and being able to present financial records which comply with generally accepted accounting principles.
 - (c) Filing all financial disclosures and tax documents separately from all other component cannabis establishments.

5.160 Notification of subsequent events.

1. All cannabis establishment registration agent card holders must provide notification to the Board and Department of the following within 5 days of occurrence.
 - (a) A conviction of any felony offense;
 - (b) A civil penalty or judgment entered against the cannabis establishment registration agent card holder; and
 - (c) The initiation by a federal, state or local government of an investigation or proceeding against the cannabis establishment registration agent card holder.
2. The Point of Contact must provide notification to the Board and Department of the following within 5 days of becoming aware of:
 - (a) A civil penalty or judgment entered against a cannabis establishment registration agent card holder; or

(b) The initiation by a federal, state or local government of an investigation or proceeding against the cannabis establishment.

REGULATION 6 PRODUCTION AND DISTRIBUTION OF CANNABIS

- 6.010 **Establishment of maximum allowable quantity of cannabis products a person may possess for purposes of exemption from state, local or tribal prosecution.**
- 6.015 **Board authorized to limit cannabis production within Tribal lands.**
- 6.020 **Limitations on promoting cannabis and cannabis products.**
- 6.025 **Board authorized to collect fee for costs for oversight; hourly rate.**
- 6.030 **Confidentiality of information received by Board relating to security of cannabis establishments.**
- 6.035 **Confidentiality of name and any other identifying information of persons who facilitate or deliver services pursuant to the Tribe's Cannabis Ordinance; exceptions.**
- 6.040 **(Removed 8/2021)**
- 6.050 **Posting of licenses and other authorization to conduct business in conspicuous places.**
- 6.055 **Requirements of dual licensee.**
- 6.060 **Operation in accordance with plans and specifications included in application; deviation from plans and specifications; documentation of change to facilities; inspection or audit of change to facilities.**
- 6.065 **Written request for move to new location; issuance of new amended license upon approval of request.**
- 6.070 **Persons authorized on premises; visitor identification badge and other requirements for other persons; maintenance and availability of visitor log.**

- 6.072 Training and instruction required before an agent may begin work or service as a volunteer.
- 6.075 Development, documentation and implementation of certain policies and procedures; maintenance and availability.
- 6.080 Inventory control system; authorized sources for acquisition of cannabis and cannabis products; duties of establishment if loss incurred; maintenance and availability of documentation.
- 6.082 Use of seed-to-sale tracking system; payment of fees.
- 6.085 Required security measures, equipment and personnel; location of outdoor cultivation facility must allow for response by local law enforcement.
- 6.087 Duties relating to cannabis establishment agents.
- 6.090 Cleanliness and health of cannabis establishment agents.
- 6.092 Hand washing: Procedure.
- 6.095 Requirements for building used as cannabis establishment or by dual licensee; use of commercial weighing and measuring equipment.
- 6.100 Quality assurance testing required before sale or transfer of products.
- 6.105 Requirements for preparation or sale of edible cannabis products; cannabis production facility exempt from provisions governing food establishments.
- 6.110 Prohibition on dispensing or selling cannabis or cannabis products from vending machine.
- 6.115 Prohibition on treating or adulterating usable cannabis with chemical or other compound.
- 6.120 Restrictions on advertising; required posting of signs in cannabis sales facility.

- 6.123 **Use of packaging: Required approval by Board.**
- 6.125 **Responsibility for costs relating to clean-up, mitigation or remedy of environmental damage.**
- 6.130 **Documentation and reporting of loss or theft; maintenance of documentation.**
- 6.135 **Quarterly reporting concerning production, purchases and sales of cannabis and cannabis products.**

6.010 Establishment of maximum allowable quantity of cannabis products a person may possess for purposes of exemption from state or local prosecution.

- 1. The maximum allowable quantity of adult use cannabis products a person may possess is
 - (a) One ounce (28.35 grams) of usable cannabis.
 - (b) The equivalent to one-eighth ounce of concentrated cannabis, not to exceed 3,543 milligrams of THC;
 - (c) 3,543 milligrams of THC contained within edible cannabis products; or
 - (d) A combination of usable and concentrated cannabis not to exceed the legal limit.
- 2. The maximum allowable quantity of medical cannabis products a holder of a valid registry identification card may possess is:
 - (a) Two and one half ounces (70.875 grams) of usable cannabis.
 - (b) 10,000 milligrams of THC concentrate;
 - (c) 10,000 milligrams of THC contained within one or more edible cannabis products; or
 - (d) A combination of usable and concentrated cannabis not to exceed the legal limit.

6.015 Board authorized to limit cannabis production within Tribal lands. The Board may, upon findings made following a public hearing, that the public interest will be supported by limiting the cultivation of cannabis on Tribal lands, and/or limit the amount of cannabis in production within Tribal lands.

6.020 Limitations on promoting cannabis and cannabis products.

- 1. A cannabis establishment:
 - (a) May only promote cannabis or a cannabis product through marketing the laboratory results on the label of the cannabis or cannabis product; and
 - (b) Must not use an independent testing laboratory or other laboratory to promote any other attributes of cannabis or a cannabis product.
 - (c) Must not make any health claims including but not limited to healing, curing, treating or reducing risk of any illness or health related condition.
- 2. The provisions of this chapter governing labeling and testing of cannabis and cannabis products apply to all cannabis and cannabis products, including, without limitation, pre-rolls.

6.025 Department authorized to collect fee for costs for oversight; hourly rate.

- 1. For the ongoing activities of the Department relating to the oversight of cannabis establishments, the Department will collect an assessment from each cannabis establishment

for the time and effort attributed to the oversight of the cannabis establishment at an hourly rate established by the Department. Necessary travel accommodations accrued by Department agents, including airfare and hotel stays, will also be billed to the cannabis establishment. These activities where the hourly rate for time and effort will be charged include, but are not limited to:

- (a) Any type of routine inspection;
 - (b) Any type of routine audit;
 - (c) Hearing preparation and attendance for Department agents;
 - (d) Investigations of complaints submitted to the Department by a consumer, or any other outside individual or entity, if said complaint is substantiated;
 - (e) Investigations based on any type of requested transfer of interest;
 - (f) Investigations based on any type of requested waiver;
 - (g) Investigations based on an application for a new cannabis establishment license; and
 - (h) Any other type of inspection, audit, or investigation deemed necessary by the Board or Department.
2. The assessment for time and effort will be based upon the hourly rate established for the Department agents as determined by the budget of the Department. Licensees will be notified of any fee changes.
3. Cannabis establishments and its agents will not be billed for an investigation regarding an application for a registration card.
4. As used in this section, “substantiated” means supported or established by evidence or proof.

6.030 Confidentiality of information received by the Department relating to security of cannabis establishments. Except as otherwise provided in the Tribe’s Cannabis Ordinance and TCCR 6.035, any information received by the Department related to the security of a cannabis establishment is confidential and must not be disclosed by the Department.

6.035 Confidentiality of name and any other identifying information of persons who facilitate or deliver services pursuant to the Tribe's Cannabis Ordinance; exceptions.

1. Except as otherwise provided in this section and the Tribe’s Cannabis Ordinance, the Board, establishment agents, Department and their designees shall maintain the confidentiality of and shall not disclose the name or any other identifying information of any person who facilitates or delivers services pursuant to the Tribe's Cannabis Ordinance or TCCR. Except as otherwise provided in the Tribe's Cannabis Ordinance, the name and any other identifying information of any person who facilitates or delivers services pursuant to the Tribe's Cannabis Ordinance or TCCR are confidential, not subject to subpoena or discovery and not subject to inspection by the general public.
2. Notwithstanding the provisions of subsection 1, the Board, Department or their designee may release the name and other identifying information of a person who facilitates or delivers services pursuant to the Tribe's Cannabis Ordinance or TCCR to:
- (a) Authorized employees of the Board, Department or their designee as necessary to perform official duties of the Board or Department; and
 - (b) Authorized employees of tribal, federal, state and/or local law enforcement agencies only as necessary to verify that a person is lawfully facilitating or delivering services pursuant to the Tribe's Cannabis Ordinance or TCCR. Nothing in this regulation will preclude the Board or Department from disclosing the name and contact information of

any person who facilitates or delivers services when providing a list of witnesses pursuant to a disciplinary complaint.

(c) Upon the lawful order or subpoena of a court of competent jurisdiction, authorized agents of any agency of the United States Government, the Tribe, any state or any political subdivision of a state, or the government of any foreign country.

3. Nothing in this section prohibits the Department from providing a local government with a copy of all information and documentation provided as part of an application to operate a cannabis establishment upon the request of the local government and with the prior consent of the applicant.

6.050 Posting of licenses and other authorization to conduct business in conspicuous place. A cannabis establishment shall post its license for a cannabis establishment, business license and any other authorization to conduct business in a public view within the cannabis establishment.

6.055 Requirements of dual licensee. A dual licensee shall:

1. Comply with the provisions of the Tribe's Cannabis Ordinance and TCCR with respect to the medical cannabis establishment operated by the dual licensee; and
2. Combine the location and operations of the medical cannabis establishment and adult use cannabis establishment operated by the dual licensee as provided in applicable regulations.

6.060 Operation in accordance with plans and specifications included in application; deviation from plans and specifications; documentation of change to facilities; inspection or audit of change to facilities.

1. Except as otherwise provided in this section, a cannabis establishment shall operate according to the plans and specifications included within the application for a license for the cannabis establishment submitted pursuant to the Tribe's Cannabis Ordinance.

2. A cannabis establishment may operate in a manner that deviates from the plans or specifications included within its application for a license if the change would comply with Tribal laws, regulations and ordinances and the cannabis establishment provides the Department with a written notification of its intent to make the change which includes, without limitation:

- (a) The name, physical address and license number of the cannabis establishment; and
- (b) A description of the proposed change.

3. Upon receipt of a written notification pursuant to subsection 2, the Department will add the information to the file that the Department maintains on the cannabis establishment and send a letter of approval or denial.

4. A cannabis establishment which has completed a change to its facilities, pursuant to section 2, shall submit documentation of the change to the Department as soon as practicable, but in no event later than the date of the next scheduled inspection of the cannabis establishment by the Department.

5. The Department Agents will inspect or audit any change to the facilities of a cannabis establishment that the Department deem necessary of inspection or auditing at the next inspection of the cannabis establishment by the Agents or at such other time as the Department determines to be appropriate after the date that the cannabis establishment projects for completion of the change or notifies the Department of the completion of the change, whichever is earlier.

6. A cannabis establishment shall not commence the operation of any material change to the facilities or operations of the cannabis establishment until the Department Agents complete

an inspection or audit of the change or notify the cannabis establishment that an inspection or audit is not necessary. Material changes include, without limitation, modifications to:

- (a) The infrastructure of the facilities of the cannabis establishment, including, without limitation, modifications requiring demolition or new construction of walls, plumbing, electrical infrastructure, heating, ventilation, or air conditioning; and
 - (b) The operating capability of the cannabis establishment, including, without limitation, the implementation of a new extraction device or removal of an existing extraction device, a change to the growing method from the method previously used and inspected or a change to the lighting technology, hydroponic system, pod or other contained growing system. The addition of one or more new pods which are identical to a pod that has already been inspected is not a material change.
7. A cannabis establishment must be operational within 30 days of receiving its final inspection and is issued a license to operate, and must maintain all minimum requirements at all times. If a facility plans to be inactive for more than 30 days, a plan must be presented to the Department for approval.

6.065 Written request for move to new location; issuance of new amended license upon approval of request.

1. A cannabis establishment may move to a new location under the Tribe's jurisdiction if the operation of the cannabis establishment at the new location has been approved by the Tribe. The Tribe may approve a new location pursuant to this subsection only in a public hearing.
2. Except as otherwise provided in subsection 1, a cannabis establishment that wishes to move to a new location or commence operations at a location other than the location contained in the application of the cannabis establishment must submit a written request for relocation to the Department. The written request for relocation must include, without limitation:
 - (a) The name, current physical address, proposed new physical address and license or application number of the cannabis establishment;
 - (b) Documentation of a public meeting in which the Tribe considered the relocation request;
 - (c) If requested by the Department, a professional survey demonstrating that the proposed location meets the distance requirements set forth in the Tribe's Cannabis Ordinance;
 - (e) A signed, written attestation that the operation of the cannabis establishment at the new address will meet or exceed the merits of the location specified in the application submitted by the cannabis establishment;
 - (f) If establishment is operational, a detailed inventory report and plan to transfer inventory to new location; and
 - (g) Documentation that proves the applicant has secured a lease or other property agreement that allows operation of a cannabis establishment on the property, or a letter from the landlord of the property stating the licensee is authorized to operate a cannabis establishment on the property.
3. The Department will consider each request received pursuant to subsection 2 and, after reviewing the documentation contained in the request, determine whether the request should be approved. Upon approval, the Department will issue to the cannabis establishment a new license which is amended to reflect the new address.

6.070 Persons authorized on premises; visitor identification badge and other requirements for other persons; maintenance and availability of visitor log.

1. Except as otherwise provided in this section, the only persons who may be on the premises of a cannabis sales facility are:
 - (a) A registered cannabis establishment agent for a cannabis sales facility;
 - (b) A patient who holds a valid registry identification card or letter of approval;
 - (c) The designated primary caregiver of a patient who holds a valid registry identification card or letter of approval;
 - (d) A person who is not a resident of the Reservation but is deemed to hold a valid registry identification card pursuant to applicable law;
 - (e) A person inspecting the cannabis establishment pursuant to the Tribe's Cannabis Ordinance or TCCR; or
 - (f) Any person not listed in paragraph (a) to (e), inclusive, who is at least 21 years of age.
2. Except as otherwise provided in this section, the only persons who may be on the premises of a cannabis consumption lounge are:
 - (a) A registered cannabis establishment agent for a cannabis consumption lounge.
 - (b) A person inspecting the cannabis establishment, including, without limitation, a local government authority or emergency personnel, pursuant to TCCR; or
 - (c) Any person not listed in paragraphs (a) and (b), inclusive, who is at least 21 years of age or older.
3. The only persons who may be on the premises of a cannabis establishment other than a cannabis sales facility or a cannabis consumption lounge are:
 - (a) A registered cannabis establishment agent for that facility type; or
 - (b) An authorized person inspecting the cannabis establishment pursuant to the Tribe's Cannabis Ordinance or TCCR.
4. Any person other than a person authorized to be on the premises of a cannabis establishment pursuant to subsections 1 or 3 must obtain a visitor identification badge from a cannabis establishment agent before entering the premises of the cannabis establishment.
5. A person who obtains a visitor identification badge pursuant to subsection 4, including, without limitation, an outside vendor or contractor:
 - (a) Must be escorted and monitored by a cannabis establishment agent at all times he or she is on the premises of the cannabis establishment;
 - (b) Must visibly display his or her visitor identification badge at all times he or she is on the premises of the cannabis establishment;
 - (c) Must not handle any cannabis or money whatsoever; and
 - (d) Must return the visitor identification badge to a cannabis establishment agent upon leaving the premises of the cannabis establishment.
6. Each cannabis establishment shall maintain a visitor log which includes the name of the visitor and the date, time and specific purpose of each visit by a person other than a person authorized to be on the premises of the cannabis establishment pursuant to subsection 1 through 3
7. The cannabis establishment shall make its visitor log available to the Department and its Agents upon request.
8. Each regular, seasonal or temporary employee of, volunteer or person who provides labor as a cannabis establishment agent at a cannabis establishment must obtain a cannabis establishment agent registration card pursuant to the provisions of the Tribe's Cannabis

Ordinance and may not be authorized to be on the premises of the cannabis establishment by obtaining a visitor identification badge pursuant to the provisions of this section.

6.072 Training and instruction required before an agent may begin work or service as a volunteer.

1. A cannabis establishment shall ensure that training is provided to a cannabis establishment agent before that person begins to work or volunteer at or provide labor as a cannabis establishment agent at the cannabis establishment. Such training must include, without limitation:

- (a) The proper use of security measures and controls that have been adopted by the cannabis establishment for the prevention of diversion, theft or loss of cannabis;
- (b) Procedures and instructions for responding to an emergency; and
- (c) Tribal and federal statutes and regulations related to the use of cannabis.

2. In addition to the training set forth in subsection 1, a cannabis sales facility shall ensure that instruction is provided to a cannabis establishment agent (including delivery drivers) before that person begins to work or volunteer at or provide labor as a cannabis establishment agent to the cannabis sales facility. Such instruction must include, without limitation:

- (a) The different strains of cannabis;
- (b) The different methods of using cannabis and cannabis products;
- (c) Learning to recognize signs of cannabis abuse, impairment or instability in the use of cannabis by a consumer;
- (d) Clinical effects of cannabis on the human body and how THC affects the consumer;
- (e) Required warnings and literature which must be supplied to the consumer;
- (f) Methods of refusing entry or sales to prohibited persons, including, without limitation:
 - (1) Verifying identification and using age verification devices;
 - (2) Education on the effects of cannabis on persons under 21 years of age; and
 - (3) Recognition of false or altered identification.
- (g) Understanding the role of law enforcement in confirming compliance with laws and regulations relating to cannabis;
- (h) Applicable Tribal and local laws and regulations regarding cannabis;
- (i) Preventing unlawful consumption of cannabis, including, without limitation, information regarding laws which prohibit open or public consumption of cannabis;
- (j) Preventing the use of cannabis by persons under the age of 21 years, including, without limitation, laws which prohibit such use and the penalties for the violation of such laws;
- (k) How to prevent and address disturbances; and
- (l) The responsibility of the cannabis establishment agent to put into effect strategies adopted by the cannabis establishment to prevent the diversion of cannabis.

3. In addition to the training set forth in subsection 1, a cannabis independent testing laboratory shall ensure that instruction is provided to a cannabis establishment agent before that person begins to work or volunteer at or provide labor as a cannabis establishment agent to the cannabis independent testing laboratory. Such instruction must include, without limitation:

- (a) The good laboratory practices adopted by the cannabis independent testing laboratory; and

- (b) The standard operating procedures and the quality control and quality assurance programs of the cannabis independent testing laboratory.
4. In addition to the training set forth in subsection 1, a cannabis cultivation facility shall ensure that instruction is provided to a cannabis establishment agent before that person begins to work or volunteer at or provide labor as a cannabis establishment agent to the cannabis cultivation facility. Such instruction must include, without limitation:
- (a) The methods of cultivation used by the cannabis cultivation facility;
 - (b) The methods of fertilization used by the cannabis cultivation facility;
 - (c) Methods for recognizing the signs of insect infestation, pathogens and disease in cannabis plants, and the procedures for eradication and the safe disposal of plants so affected;
 - (d) The nutritional requirements of cannabis plants at various growth stages, including, without limitation, proper mixing and dispersal of fertilizer, flushing procedures and procedures for postharvest trimming, drying and curing; and
 - (e) The safe handling of equipment, including, without limitation, high-intensity discharge lamps, electrical ballasts, pumps, fans, cutting implements and other equipment for cultivation.
5. In addition to the training set forth in subsection 1, a cannabis production facility shall ensure that instruction is provided to a cannabis establishment agent before that person begins to work or volunteer at or provide labor as a cannabis establishment agent to the cannabis production facility. Such instruction must include, without limitation:
- (a) Understanding the difference between concentrated cannabis, topical products and cannabis products, as applicable to the operations of the cannabis production facility;
 - (b) The procedures used by the cannabis production facility to create concentrated cannabis and cannabis products; and
 - (c) The proper procedures for handling concentrated cannabis and cannabis products, including, without limitation, the procedures used to prepare, produce, package and store such products as required by the provisions of the TCCR and the Tribe's Cannabis Ordinance.
6. In addition to the training set forth in subsection 1, a cannabis distributor shall ensure that instruction is provided to a cannabis establishment agent before that person begins to work or volunteer at or provide labor as a cannabis establishment agent to the cannabis distributor. Such instruction must include, without limitation:
- (a) Procedures for the proper handling of cannabis plants, usable cannabis, concentrated cannabis and cannabis products;
 - (b) Procedures for the proper transportation and storage of cannabis plants, usable cannabis, concentrated cannabis and cannabis products; and
 - (c) Information regarding the type of driver's license which must be maintained for the loads expected to be transported.
7. In addition to the training set forth in subsection 1, cannabis consumption lounges shall ensure that instruction is provided to a cannabis establishment agent before that person begins to work or volunteers or provides labor as a cannabis establishment agent at the cannabis consumption lounge. Such instruction must include, without limitation:
- (a) Methods for identifying signs of visible overconsumption of cannabis and/or impairment from alcohol and/or other drugs;
 - (b) Resources to mitigate impaired driving, including safe transportation options available to consumers;

- (c). Strategies for inquiring about and understanding a consumer's varying experience with cannabis and options for lower dose cannabis products; (d) Policies for ceasing all consumption and other activities until law enforcement, firefighters, emergency medical service providers, or other public safety personnel have completed any investigation or services and vacated the cannabis establishment;
- (e) Guidelines for employees and licensees to identify themselves during an interaction with law enforcement, Board Agents, or local regulators; (f) Signs of poly-substance interactions including but not limited to interactions of cannabis with alcohol, prescription, and over-the-counter medications and other substances;
- (g) Risks and potential responses to adverse events such as overconsumption, dehydration, poly-substance use, or other similar events;
- (h) Procedures to de-escalate interactions with intoxicated consumers and potentially dangerous situations, which include but are not limited to development of an emergency action plan;
- (i) Approaches for sexual assault prevention associated with overconsumption or poly-substance intoxication;
- (j) Resources available for responsible cannabis use;
- (k) Resources for understanding the difference between concentrated cannabis, topical products and cannabis products, as applicable to the operations of the consumption lounge; and
- (l) Proper methods of destruction of single-use cannabis and ready-to-consume cannabis.

6.075 Development, documentation and implementation of certain policies and procedures; maintenance and availability. A cannabis establishment shall:

1. Develop, document and implement policies and procedures regarding:
 - (a) Job descriptions and employment contracts, including, without limitation:
 - (1) The duties, authority, responsibilities and qualifications of personnel;
 - (2) Supervision of personnel;
 - (3) Training in and adherence to confidentiality requirements;
 - (4) Periodic performance evaluations; and
 - (5) Disciplinary actions.
 - (6) Disclosure of potential health risks involved with the performance of job duties.
 - (b) Business records, such as manual or computerized records of assets and liabilities, monetary transactions, journals, ledgers and supporting documents, including, without limitation, agreements, checks, invoices and vouchers.
 - (c) Inventory control, including, without limitation:
 - (1) Tracking;
 - (2) Packaging;
 - (3) Acquiring cannabis from other cannabis establishments;
 - (4) Disposing of waste containing cannabis or unconsumed cannabis and cannabis product rendered unusable in accordance with TCCR 10.080; and

- (5) Returning for a refund of cannabis or cannabis products to the cannabis establishment from which the cannabis or cannabis products were acquired.
- (d) If applicable, consumer education and support, including, without limitation:
 - (1) The availability of different strains of cannabis and the purported effects of the different strains;
 - (2) Information about the purported effectiveness of various methods, forms and routes of administering cannabis;
 - (3) The prohibition on the smoking or consumption of cannabis in public places, places open to the public, within a sales cannabis facility, (1) except within licensed cannabis consumption lounges, and places exposed to public view;
 - (4) Education on how cannabis impairs a person's ability to operate a moving vehicle and that driving, operating or being in actual physical control of a vehicle while under the influence of cannabis or while impaired by cannabis is unlawful; and
 - (5) That possession of cannabis exceeding a certain quantity remains a felony with various legal consequences.
- 2. Maintain copies of the policies and procedures developed pursuant to subsection 1 at the cannabis establishment and provide copies to the Board, Department or their agents for review upon request.

6.080 Inventory control system; authorized sources for acquisition of cannabis and cannabis products; duties of establishment if loss incurred; maintenance and availability of documentation.

- 1. Each cannabis establishment shall designate in writing a cannabis establishment agent who has oversight of compliance to include the inventory control system of the cannabis establishment.
- 2. Except as otherwise provided in subsection 3, a cannabis establishment shall only acquire cannabis or cannabis products from another cannabis establishment located in Nevada and
 - (a) regulated by the Intertribal Cannabis Commission,
 - (b) a state licensed cannabis establishment, or
 - (c) a cannabis establishment licensed by a tribe and approved by the Department, or
 - (d) from a law enforcement donation of confiscated cannabis or cannabis paraphernalia, including, without limitation, a cannabis cultivation facility, a cannabis production facility or a cannabis sales facility.
- 3. Pursuant to the T.C.C.R. a cannabis consumption lounge may only acquire single-use cannabis products, cannabis and adult-use cannabis products needed for ready-to-consume cannabis products from a licensed cannabis sales facility, cannabis production facility, cannabis cultivation facility or cannabis distribution facility.
- 4. With the exception of a cannabis consumption lounge, a cannabis establishment shall not acquire concentrated cannabis or products containing concentrated cannabis from another cannabis establishment, except that a cannabis sales facility or a cannabis production facility may acquire concentrated cannabis or products containing concentrated cannabis from:
 - (1) a Department regulated cannabis production facility, sales facility, or a distribution facility,
 - (2) a State licensed cannabis production facility, sales facility, or a distribution facility,
 - (3) a cannabis establishment licensed by a tribe and approved by the Department, or

(4) from a law enforcement donation of confiscated cannabis or cannabis paraphernalia, including, without limitation, a cannabis cultivation facility, a cannabis production facility or a cannabis sales facility.

(b) A cannabis cultivation facility may sell crude collected resins to a cannabis sales facility if the crude collected resins are:

- (1) From a single batch;
- (2) Unaltered; and
- (3) Not combined, except as provided in subsection 5.

5. A cannabis cultivation facility may combine lots of crude collected resins which have been collected in accordance with subsection 4 and have passed all testing pursuant to TCCR 11.050 prior to selling.

6. Each cannabis establishment, except a cannabis independent testing laboratory, shall establish and implement an inventory control system that documents:

(a) Each day's beginning inventory, acquisitions, harvests, sales, disbursements, disposal of unusable cannabis and ending inventory, including, without limitation, the:

- (1) Number of plants and cuttings;
- (2) Weight of flowers, measured in grams;
- (3) Weight of trim, measured in grams;
- (4) Quantity of THC, measured in milligrams; and
- (5) Weight of seeds, measured in grams.

(b) When acquiring cannabis from another cannabis establishment:

- (1) A description of the cannabis acquired, including the amount, strain and batch number, lot number and production run number, or any combination thereof;
- (2) The name and identification number of the license of the cannabis establishment providing the cannabis;
- (3) The name and the number of the cannabis establishment agent registration card of the cannabis establishment agent providing the cannabis;
- (4) The name and the number of the cannabis establishment agent registration card of the cannabis establishment agent receiving the cannabis on behalf of the cannabis establishment; and
- (5) The date of acquisition.

(c) When acquiring cannabis from a medical cannabis establishment registered pursuant to the Tribe's Cannabis Ordinance:

- (1) A description of the cannabis acquired, including the amount, strain and batch number, lot number and production run number, or any combination thereof;
- (2) The name and identification number of the medical cannabis establishment registration certificate of the medical cannabis establishment providing the cannabis;
- (3) The name and the number of the medical cannabis establishment agent registration card of the medical cannabis establishment agent providing the cannabis;
- (4) The name and the number of the cannabis establishment agent registration card of the cannabis establishment agent receiving the cannabis on behalf of the cannabis establishment; and
- (5) The date of acquisition.

(d) For each batch of cannabis cultivated:

- (1) The batch number, lot number and production run number, as applicable.
- (2) Whether the batch originated from cannabis seeds or cannabis cuttings.
- (3) The strain of the cannabis seeds or cannabis cuttings planted.
- (4) The number of cannabis seeds or cannabis cuttings planted.

- (5) The date on which the cannabis seeds or cuttings were planted.
- (6) A list of all chemical additives used in the cultivation, including, without limitation, nonorganic pesticides, herbicides and fertilizers.
- (7) The number of cannabis plants grown to maturity.
- (8) Harvest information, including, without limitation:
 - (I) The date of harvest;
 - (II) The final yield weight of processed usable cannabis, in grams; and
 - (III) The name and the number of the cannabis establishment agent registration card of the cannabis establishment agent responsible for the harvest.
- (9) The disposal of cannabis that is not usable cannabis, including:
 - (I) A description of and reason for the cannabis being disposed of, including, if applicable, the number of failed or other unusable cannabis plants;
 - (II) The date of disposal;
 - (III) Confirmation that the cannabis was rendered unusable before disposal;
 - (IV) The method of disposal; and
 - (V) The name and the number of the cannabis establishment agent registration card of the cannabis establishment agent responsible for the disposal.
- (e) When providing cannabis to another cannabis establishment:
 - (1) The amount, strain, batch number, lot number and production run number, as applicable, of cannabis provided to the cannabis establishment;
 - (2) The name and license number of the other cannabis establishment;
 - (3) The name and the number of the cannabis establishment agent registration card of the cannabis establishment agent who received the cannabis on behalf of the other cannabis establishment; and
 - (4) The date on which the cannabis was provided to the cannabis establishment.
- (f) When receiving edible cannabis products from another cannabis establishment:
 - (1) A description of the edible cannabis products received from the cannabis establishment, including the total weight of each edible cannabis product and the amount of THC, measured in milligrams, and the production run number of the cannabis in each edible cannabis product.
 - (2) The total amount and production run number of cannabis in the edible cannabis products.
 - (3) The name and:
 - (I) License number of the cannabis establishment providing the edible cannabis products to the receiving cannabis establishment;
 - (II) The number of the cannabis establishment agent registration card of the cannabis establishment agent providing the edible cannabis products to the receiving cannabis establishment; and
 - (III) The number of the cannabis establishment agent registration card of the cannabis establishment agent receiving the edible cannabis products on behalf of the receiving cannabis establishment.
 - (4) The date on which the edible cannabis products were provided to the cannabis establishment.
- (g) When receiving cannabis products from another cannabis establishment:
 - (1) A description of the cannabis products received from the cannabis establishment,

including the total weight of each cannabis product and the amount of THC, measured in milligrams, and production run number of the cannabis in each cannabis product.

(2) The total amount and production run number of cannabis in the cannabis products.

(3) The name and:

(I) License number of the cannabis establishment providing the cannabis products to the receiving cannabis establishment;

(II) The number of the cannabis establishment agent registration card of the cannabis establishment agent providing the cannabis products to the receiving cannabis establishment; and

(III) The number of the cannabis establishment agent registration card of the cannabis establishment agent receiving the cannabis products on behalf of the receiving cannabis establishment.

(4) The date on which the cannabis products were provided to the cannabis establishment.

(h) When receiving concentrated cannabis or products containing concentrated cannabis from a cannabis production facility:

(1) A description of the concentrated cannabis or products containing concentrated cannabis received from the cannabis production facility, including the total weight of each product, the amount of THC (except a cannabis independent testing laboratory), measured in milligrams, and the production run number for each product;

(2) The name and:

(I) License number of the cannabis establishment providing the concentrated cannabis or products containing concentrated cannabis to the receiving cannabis establishment;

(II) The number of the cannabis establishment agent registration card of the cannabis establishment agent providing the concentrated cannabis or products containing concentrated cannabis to the receiving cannabis establishment; and

(III) The number of the cannabis establishment agent registration card of the cannabis establishment agent receiving the concentrated cannabis or products containing concentrated cannabis on behalf of the receiving cannabis establishment.

(3) The date on which the concentrated cannabis or products containing concentrated cannabis were provided to the cannabis establishment.

7. Each cannabis establishment shall:

(a) Establish and maintain a seed-to-sale tracking system which adequately documents the flow of materials through the manufacturing process.

(b) Establish procedures which reconcile the raw material used to the finished product on the basis of each job. Significant variances must be documented, investigated by management personnel and immediately reported to the Board and Department and to the cannabis establishment that ordered the concentrated cannabis or cannabis product.

(c) Provide for quarterly physical inventory counts to be performed by persons independent of the manufacturing process which are reconciled to the perpetual inventory records. Significant variances must be documented, investigated by management personnel and immediately reported to the Board and Department

8. If a cannabis establishment identifies a reduction in the amount of cannabis in the inventory of the cannabis establishment which is not due to documented causes, the cannabis establishment shall determine where the loss has occurred and take and document corrective action. If the reduction in the amount of cannabis in the inventory of the cannabis establishment is due to suspected criminal activity by a cannabis establishment agent, the cannabis establishment shall report the cannabis establishment agent to the Board and Department, and to the appropriate law enforcement agencies within 24 hours. The Board or Department may require the cannabis establishment to provide additional information as it determines necessary to conduct an investigation.

9. A cannabis establishment shall:

- (a) Maintain the documentation required by subsections 5, 6 and 7 at the cannabis establishment for at least 5 years after the date on the document; and
- (b) Provide the documentation required by subsections 5, 6 and 7 to the Board, Department or their Agents for review upon request.

6.082 Use of seed-to-sale tracking system; payment of fees. A cannabis establishment shall:

- 1. Use the seed-to-sale tracking system managed by the independent contractor selected by the Board;
- 2. Connect to the seed-to-sale tracking system using the independent contractor's application programming interface;
- 3. Pay any fees assessed by the independent contractor for using the seed-to-sale tracking system, including, without limitation, user fees or application programming interface fees; and
- 4. Ensure cannabis and cannabis products are tagged as required using the seed-to-sale inventory system.

6.085 Required security measures, equipment and personnel; location of outdoor cultivation facility must allow for response by local law enforcement.

- 1. To prevent unauthorized access to cannabis at a cannabis establishment, the cannabis establishment must have:
 - (a) One single secure entrance of the physical building;
 - (b) No visible cannabis or cannabis products from outside the establishment.
 - (c) Security equipment to deter and prevent unauthorized entrance into limited access areas that includes, without limitation:
 - (1) Devices or a series of devices to detect unauthorized intrusion, which may include a signal system interconnected with a radio frequency method, such as cellular or private radio signals, or other mechanical or electronic device, and which, for a cannabis cultivation facility which engages in outdoor cultivation, covers the entirety of the cultivation area and the perimeter and exterior area of the cannabis cultivation facility;
 - (2) Exterior lighting to facilitate surveillance which, for a cannabis cultivation facility which engages in outdoor cultivation:
 - (I) When the lighting would not interfere with the growing cycle of a crop, covers the entirety of the cultivation area and the perimeter and exterior area of the cannabis cultivation facility; and
 - (II) When the lighting would interfere with the growing cycle of a crop, covers the perimeter and exterior area of the cannabis cultivation facility;
 - (3) Electronic monitoring, including, without limitation, each of the following:

(I) At least one call-up monitor that is 19 inches or more;
(II) A printer capable of immediately producing a clear still photo from any video camera image, which photo must be provided to the Board, Department or their agents for review upon request;
(III) Video cameras with a recording resolution of at least 1920 x 1080, or the equivalent, at a rate of at least 15 frames per second which provide coverage of all entrances and exits of the building, any room or area that holds a vault and any point-of-sale location, which record 24 hours per day, which are capable of being accessed remotely by the Department in real time and which may record motion only. The information necessary to remotely access the camera footage must be entered into the cannabis establishment's portal. A video camera providing coverage of a point-of-sale location must allow for the identification of any person purchasing cannabis. In a cannabis consumption lounge, the entire area that is used by consumers must be covered by video cameras;

(IV) Video cameras with a recording resolution of at least 720 x 480, or the equivalent, at a rate of at least 15 frames per second which provide coverage of all limited access areas not described in sub-subparagraph (III) and any activity in or adjacent to the establishment, which record 24 hours per day, which are capable of being accessed remotely by a law enforcement agency, the Board, Department and their agents in real time which may record motion only and which, for a cannabis cultivation facility which engages in outdoor cultivation, cover the entirety of the cultivation area and the perimeter and exterior area of the cannabis cultivation facility. The information necessary to remotely access the camera footage must be entered into the cannabis establishment's portal within the Department's electronic licensing and compliance monitoring system;

(V) A video camera which is capable of identifying any activity occurring within the cannabis establishment in low light conditions 24 hours per day;

(VIII) In a cannabis consumption lounge, security cameras are required to continually record 24 hours a day; and

(IX) Sufficient battery backup for video cameras and recording equipment to support at least 5 minutes of recording in the event of a power outage;

(4) Immediate automatic or electronic notification to alert local law enforcement agencies of an unauthorized breach of security at the cannabis establishment in the interior of each building of the cannabis establishment; and

(5) For a cannabis cultivation facility which engages in outdoor cultivation:

(I) An alarm system and video cameras which are recording 24 hours per day; and

(II) An exterior barrier, determined to be appropriate by the Department or Tribal law enforcement, which is located around the perimeter of the cannabis cultivation facility consisting of a wall or chain link fence or barrier with a height of at least 8 feet if the property can be seen from outside of Tribal lands by standing on an adjacent parcel.

(III) If the facility is located in a remote area of the Reservation, a wall, chain link fence, or other barrier may be around the area where plants are growing with a height of at least six feet.

(IV) A secure building with controlled access which is approved by the appropriate Department as suitable to dry and store cannabis and which meets the security and sanitation requirements for a cannabis cultivation facility which engages in indoor cultivation of cannabis.

(d) Policies and procedures:

- (1) That restrict access to the areas of the cannabis establishment that contain cannabis to persons authorized to be in those areas only;
- (2) That provide for the identification of persons authorized to be in the areas of the cannabis establishment that contain cannabis;
- (3) That prevent loitering;
- (4) For conducting electronic monitoring;
- (5) For the use of the automatic or electronic notification to alert local law enforcement agencies of an unauthorized breach of security at the cannabis establishment;
- (6) For limiting the amount of money available in any retail areas of the cannabis establishment and for training employees on this practice;
- (7) For notifying the public of the minimal amount of money available, which may include, without limitation, the posting of a sign;
- (8) For maintaining communication with law enforcement agencies; and
- (9) For providing and receiving notifications regarding burglary, attempted burglary, robbery, attempted robbery and other suspicious activity.

2. Each video camera used pursuant to subparagraph (3) of paragraph (a) of subsection 1 must:

- (a) Include a date and time generator which possesses the capability to display the date and time of recorded events on the recording in a manner that does not significantly obstruct the recorded view; and
- (b) Be installed in a manner that will prevent the video camera from being readily obstructed, tampered with or disabled.

3. A cannabis establishment shall make a reasonable effort to repair any malfunction of security equipment within 72 hours after the malfunction is discovered. A cannabis establishment shall notify the Board, Department and local law enforcement within 24 hours after a malfunction is discovered and provide a plan of correction. Failure to correct a malfunction within 72 hours after the malfunction is discovered is a violation of this section.

4. If a video camera used pursuant to subparagraph (3) of paragraph (a) of subsection 1 malfunctions, the cannabis establishment shall immediately provide alternative video camera coverage or use other security measures, such as assigning additional supervisory or security personnel, to provide for the security of the cannabis establishment. If the cannabis establishment uses other security measures, the cannabis establishment must immediately notify the Board and Department.

5. Each cannabis establishment shall maintain a log that documents each malfunction and repair of the security equipment of the cannabis establishment pursuant to subsections 3 and 4. The log must state the date, time and nature of each malfunction, the efforts taken to repair the malfunction and the date of each effort, the reason for any delay in repairing the malfunction, the date the malfunction is repaired and, if applicable, any alternative security measures that were taken. The log must also list, by date and time, all communications with

the Board, Department or their agents concerning each malfunction and corrective action. The cannabis establishment shall maintain the log for at least 1 year after the date of last entry in the log.

6. Each cannabis establishment must employ a security manager or compliance director who must be responsible for:

- (a) Conducting a semiannual audit of security measures to ensure compliance with the state procedures of the cannabis establishment and identify potential security issues;
- (b) Training employees on security measures, emergency response and robbery prevention and response before starting work and on an annual basis; and
- (c) Evaluating the credentials of any third party who intends to provide security to the cannabis establishment before the third party is hired by or enters into a contract with the cannabis establishment.

7. Each cannabis establishment shall ensure that the security manager or compliance director of the cannabis establishment, at least one employee of the cannabis establishment or the employees of any third party who provides security to the cannabis establishment has completed or will complete within three months of being hired, to be proven by written attestation from the employee and the training officer, the following training:

- (a) Training in theft prevention or a related subject;
- (b) Training in emergency response or a related subject;
- (c) Training in the appropriate use of force or a related subject that covers when the use of force is and is not necessary;
- (d) Training in the use and administration of first aid, including cardiopulmonary resuscitation;
- (e) Training in the protection of a crime scene or a related subject;
- (f) Training in the control of access to protected areas of a cannabis establishment or a related subject;
- (g) Not less than 8 hours of training in providing security services; and

8. A cannabis cultivation facility which engages in the outdoor cultivation of cannabis must be located in such a manner as to allow local law enforcement to respond to the cannabis cultivation facility within 60 minutes after being contacted unless the local law enforcement agency determines some other response time is acceptable.

- 2. Cannabis establishments must ensure that armed security officers do not possess a firearm while under the influence of a controlled substance. In addition, a cannabis consumption lounge shall prohibit consumers from bringing firearms into a consumption lounge, including posting of signs providing notice of the same.
- 3. A cannabis establishment shall operate the business in a decent, orderly, and respectable manner. A licensee shall not knowingly permit any activity or acts of disorderly conduct, nor shall a licensee permit rowdiness, undue noise, or other disturbances or activity offensive to a reasonable person, neighboring business, or to the residents of the neighborhood in which the business is located.
- 4. If an emergency requires law enforcement, firefighters, emergency medical service providers, Board Agents or other public safety personnel to enter the premises of the business, the cannabis establishment is responsible for ensuring that all consumption of inhalable cannabis, if allowed, and other activities if requested, cease until such personnel have completed their investigation or services and have left the premises.

5. A cannabis establishment must report directly to the Board any criminal activity requiring an in-person response from law enforcement within 24 hours after an owner or employee of the business learns of the event.
6. If the Board learns of an increase in criminal activity at or near the location of a particular cannabis establishment, the Board may require the licensee to create an appropriate risk mitigation plan and submit to the Board.
7. The cannabis establishment shall create appropriate procedures to ensure employees do not show up to work or remain at work intoxicated.

6.087 Duties relating to cannabis establishment agents. A cannabis establishment shall:

1. Ensure that each cannabis establishment agent has his or her valid cannabis establishment agent registration card or temporary authorization in his or her immediate possession when the cannabis establishment agent:
 - (a) Is employed by or volunteering at or providing labor as a cannabis establishment agent at the cannabis establishment;
 - (b) Is transporting cannabis or cannabis products for the cannabis establishment, regardless of the type of license held by the cannabis establishment; or
 - (c) Is delivering cannabis or cannabis products for a cannabis sales facility.
2. Not allow a person who does not possess a cannabis establishment agent registration card which is valid at the cannabis establishment to:
 - (a) Serve as an officer or board member for the cannabis establishment or hold an ownership interest in the cannabis establishment;
 - (b) Be employed by or have a contract to provide services for the cannabis establishment;
 - (c) Volunteer at or on behalf of the cannabis establishment; or
 - (d) Contract to provide labor at or be employed by an independent contractor to provide labor at the cannabis establishment.
3. Provide written notice to the Board and Department, including the date of the event, within 10 working days after the date on which a cannabis establishment agent begins:
 - (a) Service as an officer or board member for the cannabis establishment;
 - (b) Employment by the cannabis establishment or providing services for the cannabis establishment pursuant to a contract;
 - (c) Volunteering at or on behalf of the cannabis establishment; or
 - (d) Providing labor at or beginning employment by an independent contractor to provide labor at a cannabis establishment pursuant to a contract.
4. Provide written notice to the Board and Department, including the date of and reason for the event, within 10 working days after the date on which a cannabis establishment agent no longer:
 - (a) Serves as an officer or board member for the cannabis establishment;
 - (b) Is employed by or has a contract to provide services for the cannabis establishment;
 - (c) Volunteers at or on behalf of the cannabis establishment; or
 - (d) Contracts to provide labor at or is employed by an independent contractor to provide labor at a cannabis establishment.
5. Provide written notice to the Board and Department, including the date of the event, within 10 working days after the date on which the person designated by the cannabis

establishment to provide written notice to the Board and Department relating to cannabis establishment agents ceases to serve in that capacity at the cannabis establishment.

6.090 Cleanliness and health of cannabis establishment agents.

1. Each cannabis establishment must ensure that each cannabis establishment agent who is employed by volunteers at or provides labor as a cannabis establishment agent at the cannabis establishment:

(a) Cleans his or her hands and exposed portions of his or her arms in a hand-washing sink pursuant to TCCR 6.092:

- (1) Immediately upon entrance to the cannabis establishment;
- (2) Immediately before working with cannabis plants;
- (3) Immediately before preparing concentrated cannabis or cannabis products, including, without limitation, working with exposed cannabis products, clean equipment and utensils or unwrapped single-service and single-use articles;
- (4) After touching any bare human body parts other than his or her clean hands and exposed portions of arms, including, without limitation, surrogate prosthetic devices for hands and arms;
- (5) After using the toilet facilities;
- (6) After coughing, sneezing, using a handkerchief or disposable tissue, using tobacco, eating or drinking;
- (7) After handling soiled equipment or utensils;
- (8) During preparation or extraction of concentrated cannabis or cannabis products, as often as necessary to remove soil and contamination and to prevent cross-contamination when changing tasks;
- (9) When switching between working with unprocessed cannabis products or uncooked food products and working with finished concentrated cannabis or cannabis products;
- (10) Before donning gloves for working with cannabis products; and
- (11) After engaging in other activities that contaminate the hands.

(b) If working directly in the preparation of concentrated cannabis or cannabis products:

- (1) Keeps his or her fingernails trimmed, filed and maintained so that the edges and surfaces are cleanable;
- (2) Unless wearing intact gloves in good repair, does not have fingernail polish or artificial fingernails on his or her fingernails; and
- (3) Wears a hair net.

(c) Wears clean clothing appropriate to the tasks assigned to him or her.

2. If the person designated by a cannabis establishment to address health conditions at the cannabis establishment determines that a cannabis establishment agent who is employed by or volunteers at or provides labor as a cannabis establishment agent at the cannabis establishment has a health condition that may adversely affect the safety or quality of the concentrated cannabis or cannabis products at the cannabis establishment, that cannabis establishment agent is prohibited from having direct contact with any cannabis or equipment or materials for processing concentrated cannabis or cannabis products until the designated person determines that the health condition of the cannabis establishment agent will not adversely affect the concentrated cannabis or cannabis products.

3. A cannabis establishment agent shall not work directly with concentrated cannabis or cannabis products if the cannabis establishment agent has:

- (a) A symptom of gastrointestinal infection, including, without limitation, diarrhea or vomiting;
 - (b) A sore throat with fever;
 - (c) Jaundice; or
 - (d) A lesion that appears inflamed or contains pus, including, without limitation, a boil or infected wound that is not covered with:
 - (1) An impermeable cover and a single-use glove if the lesion is on a hand or wrist, both of which must be changed at any time that hand washing is required;
 - (2) An impermeable cover if the lesion is on an arm; or
 - (3) A dry, durable, tight-fitting bandage if the lesion is on another part of the body.
4. A cannabis establishment agent shall immediately report to the person in charge if the agent knows he or she has:
- (a) A symptom of gastrointestinal infection, including, without limitation, diarrhea, vomiting or jaundice, except as otherwise provided in paragraph 9; (b) A diagnosed infection by a disease agent that can be transmitted from a person through food or cannabis, including, without limitation, Salmonella species, Shigella species, Shiga toxin-producing Escherichia coli, Hepatitis A virus or Norovirus; or
 - (c) A lesion that appears inflamed or contains pus and that is not covered as described in subparagraph (d) of paragraph 3.
5. The cannabis establishment shall, within 24 hours, notify the Department about any cannabis establishment agent known to have:
- (a) Jaundice, except as otherwise provided in paragraph 9; or
 - (b) A diagnosed infection by a disease agent that can be transmitted from a person through food or cannabis, including, without limitation, Salmonella species, Shigella species, Shiga toxin-producing Escherichia coli, Hepatitis A virus or Norovirus.
6. The person in charge shall exclude or restrict a cannabis establishment agent from working in or around any place where bulk or unpackaged food, beverages, cannabis or cannabis products are prepared, sold or offered for sale if the agent is known to have:
- (a) A lesion that appears inflamed or contains pus and that is not covered as described in subparagraph (d) of paragraph 3.
7. The person in charge shall exclude a cannabis establishment agent from working in or around any place where bulk or unpackaged food, cannabis or cannabis products are prepared, sold or offered for sale if the agent is known to have a diagnosed infection by a disease agent that can be transmitted from a person through food or cannabis, including, without limitation, Salmonella species, Shigella species, Shiga toxin-producing Escherichia coli, Hepatitis A virus or Norovirus. (a) A symptom of gastrointestinal infection, including, without limitation, diarrhea, vomiting or jaundice, except as otherwise provided in paragraph 9; (b) An infection by Salmonella typhi within the last 3 months, unless approved to be released from exclusion by the health authority; or
- (c) An infection by Shigella species, Shiga toxin-producing Escherichia coli, Hepatitis A virus, Norovirus or a Salmonella species other than Salmonella typhi, within the last 30 days, unless the food employee is approved to be released from exclusion by the health authority.

8. The person in charge and the cannabis establishment agents of a cannabis establishment shall comply with any order issued by the health authority or Department for excluding agents from the cannabis establishment or restricting agent activities because of a diagnosed or suspected infection by a disease agent that can be transmitted from a person through food or cannabis until the health authority or Department rescinds the order.

9. Upon approval from the health authority, a cannabis establishment agent with a symptom of gastrointestinal illness, including, without limitation, diarrhea or jaundice, may work in a cannabis establishment without special restriction, provided that the agent furnishes written medical documentation to the health authority and Department from a health practitioner that the symptom is due to a medical condition not transmissible from the agent through food or cannabis, including, without limitation, Crohn's disease, irritable bowel syndrome, ulcerative colitis or Hepatitis C.

6.092 Hand washing: Procedure.

1. Each cannabis establishment agent shall, when required pursuant to TCCR 6.090, clean his or her hands and the exposed portions of his or her arms for at least 20 seconds, using a cleaning compound in a hand-washing sink that is appropriately equipped.

2. Each cannabis establishment agent shall use the following cleaning procedure in the order stated to clean his or her hands and the exposed portions of his or her arms, including, without limitation, surrogate prosthetic devices for hands and arms:

- (a) Rinse under clean, running warm water.
- (b) Apply an amount of cleaning compound recommended by the manufacturer of the cleaning compound.
- (c) Rub together vigorously for at least 15 seconds while:
 - (1) Paying particular attention to removing soil from underneath the fingernails during the cleaning procedure; and
 - (2) Creating friction on the surfaces of the hands and arms, fingertips and areas between the fingers.
- (d) Thoroughly rinse under clean, running warm water at a minimum temperature of 100°F (37.8°C)
- (e) Immediately follow the cleaning procedure with thorough drying using a clean paper towel.

6.095 Requirements for building used as cannabis establishment or by dual licensee; use of commercial weighing and measuring equipment.

1. A building used as a cannabis establishment or by a dual licensee must have:

- (a) At least one toilet facility which must contain:
 - (1) A flushable toilet;
 - (2) Mounted toilet tissue;
 - (3) A hand sink with running water which is capable of delivering hot water at a minimum temperature of 100°F (37.8°C);
 - (4) Soap contained in a dispenser;
 - (5) Disposable, single-use paper towels in a mounted dispenser; and
 - (6) A conveniently located trash can.

- (b) Except for a cannabis distributor, at least one fully stocked hand-washing sink, which is designated for hand washing only, not located in a toilet facility and located away from any area in which edible cannabis products are cooked or otherwise prepared to prevent splash contamination. Additional hand-washing sinks may be required to facilitate hand washing as required.
 - (c) Designated storage areas for concentrated cannabis and cannabis products or materials used in direct contact with such items separate from storage areas for toxic or flammable materials.
 - (d) At least one mop sink or dump sink to dispose of liquid waste.
 - (e) If preparation or packaging of concentrated cannabis or cannabis products is done in the building, a designated area for the preparation or packaging that:
 - (1) Includes workspace that can be sanitized;
 - (2) Is only used for the preparation or packaging of concentrated cannabis or cannabis products; and
 - (3) Has a fully stocked hand-washing sink conveniently located and designated for hand washing only.
2. For any commercial weighing and measuring equipment used at a cannabis establishment, the cannabis establishment must:
- (a) Ensure that the commercial device is licensed pursuant to chapter 581 of NRS;
 - (b) Maintain documentation of the license of the commercial device; and
 - (c) Provide a copy of the license of the commercial device to the Board, Department or their agents for review upon request.

6.100 Quality assurance testing required before sale of products. A cannabis establishment shall not sell a lot of usable cannabis, concentrated cannabis or cannabis products until all required quality assurance testing has been completed.

6.105 Requirements for preparation or sale of edible cannabis products; cannabis production facility exempt from provisions governing food establishments.

- 1. A cannabis establishment that prepares or sells edible cannabis products must:
 - (a) Before preparing an edible cannabis product, obtain written authorization from the appropriate Board or Department agent to prepare edible cannabis products;
 - (b) If the cannabis establishment prepares edible cannabis products, ensure that the edible cannabis products are prepared according to the applicable requirements set forth in the Tribe's Cannabis Ordinance and the TCCR and the operating procedures included in its application;
 - (c) If the edible cannabis products are not prepared at the cannabis establishment, obtain and maintain at the cannabis establishment a copy of the current written authorization to prepare edible cannabis products from the cannabis establishment that prepares the edible cannabis products;
 - (d) If the cannabis establishment is a cannabis production facility, package all edible cannabis products produced by the cannabis establishment on the premises of the cannabis establishment; and
 - (e) If the cannabis establishment sells edible cannabis products, ensure that the edible cannabis products are sold according to the applicable requirements set forth in the Tribe's Cannabis Ordinance and TCCR.
- 2. A cannabis establishment is responsible for the content and quality of any edible cannabis product sold by the cannabis establishment.

3. A cannabis production facility is not subject to the provisions of chapter 446 of NRS or chapter 446 of NAC.

6.110 Prohibition on dispensing or selling cannabis or cannabis products from vending machines. A cannabis establishment shall not dispense or otherwise sell cannabis or cannabis products from a vending machine or allow such a vending machine to be installed at the interior or exterior of the premises of the cannabis establishment without Board approval.
A cannabis establishment shall not dispense or otherwise sell cannabis or cannabis products from a vending machine installed at the exterior of the premises of the cannabis establishment without Board approval.

6.115 Prohibition on treating or adulterating usable cannabis with chemical or other compounds. A cannabis establishment may not treat or otherwise adulterate usable cannabis with any organic or nonorganic chemical or other compound whatsoever to alter the color, appearance, weight or smell of the usable cannabis.

6.120 Restrictions on advertising; required posting of signs in cannabis sales facilities.

1. A cannabis establishment:

(a) Shall not engage in advertising which contains any statement or illustration that:

- (1) Is false or misleading;
- (2) Promotes overconsumption of cannabis or cannabis products;; or
- (3) Depicts a child or other person who appears to be less than 21 years of age consuming cannabis or cannabis products or objects suggesting the presence of a child, including, without limitation, toys, characters or cartoons, or contains any other depiction which is designed in any manner to be appealing to or encourage consumption of cannabis or cannabis products by a person who is less than 21 years of age.

(b) Shall not advertise in any publication or on radio, television or any other medium if 30 percent or more of the audience of that medium is reasonably expected to be persons who are less than 21 years of age.

(c) Shall not place an advertisement within the following separation buffer of facilities located:

- (1) Within 1,000 feet of a public or private school, but may maintain such an advertisement if it was initially placed before the school, was located within 1,000 feet of the location of the advertisement;
- (2) On or inside of a motor vehicle used for public transportation or any shelter for public transportation that is outside of Tribal lands;
- (3) At a sports or entertainment event occurring off of Tribal lands to which persons who are less than 21 years of age are allowed entry;
- (4) On or inside of a motor vehicle used by a cannabis establishment for private transportation if said transportation occurs outside of Tribal lands;
- (5) On signs carried by a natural person, including, without limitation, handbills, pamphlets, cards or other types of advertisements that are distributed to the general public off Tribal lands, but excluding an advertisement placed in a newspaper of general circulation, trade publication or other form of print media without a pre approved permit; and
- (6) Where prohibited by local ordinance.

- (d) Shall not outside of Tribal lands, advertise or offer any cannabis or cannabis product as “free” or “donated” without a purchase outside of Tribal lands.
- (e) Shall ensure that all advertising by the cannabis establishment contains such warnings as may be prescribed by the Board, which must be visible, legible, and include, without limitation, the following words:
 - (1) “Keep out of reach of children”; and
 - (2) “For use only by adults 21 years of age and older.”
- 2. A cannabis sales facility shall post signs in prominent locations inside cannabis sales facility which state activities that are strictly prohibited and punishable by law, including, without limitation, the following statements:
 - (a) “No minors permitted on the premises unless the minor holds a letter of approval and is accompanied by a designated primary caregiver”;
 - (b) “No on-site or public consumption of any cannabis or cannabis products”;
 - (c) “Distribution to persons under the age of 21 is prohibited”;
 - (d) “Except for medical cannabis patients, possession of over 1 ounce of usable cannabis, a cannabis product containing more than 3,543 milligrams of THC or a combination of the two which exceeds the legal limit is prohibited”; and
 - (e) “Transportation of cannabis or cannabis products across state lines is prohibited.”

6.123 Use of packaging: Required approval by Board. A cannabis establishment shall not use packaging unless the packaging has been approved by the appropriate Board or Department agent.

6.125 Responsibility for costs relating to clean-up, mitigation or remedy of environmental damage. A cannabis establishment is responsible to the Tribe for all costs incurred by the Tribe in cleaning up, mitigating or remediating any environmental damage caused by the cannabis establishment.

6.130 Documentation and reporting of loss or theft; maintenance of documentation. A cannabis establishment shall:

- 1. Document and report any loss or theft of cannabis from the cannabis establishment to the appropriate law enforcement agency and to the Board and Department within 24 hours after discovery of the loss or theft; and
- 2. Maintain copies of any documentation required pursuant to the Tribe's Cannabis Ordinance and TCCR for at least 5 years after the date on the documentation and provide copies of the documentation to the Board, Department or their Agents for review upon request.

REGULATION 7 CANNABIS SALES FACILITY

- 7.010 **Requirements for operation; posting of hours of operation.**
- 7.015 **Duties of cannabis establishment agent before sale to the consumer.**
- 7.020 **Valid proof of identification of age of consumer required.**

- 7.025 **Prohibition on sale that exceeds the maximum usable quantity of cannabis.**
- 7.030 **Products required to be offered for sale; restrictions on the sale of other products; restrictions on advertising.**
- 7.035 **Storage and location of products; disclosure of cannabis testing facility performing quality assurance tests upon request of the consumer; approved sources of products for sale; maintenance and availability of a certificate of analysis; exemption for industrial hemp.**
- 7.040 **Delivery to the consumer: General requirements.**
- 7.045 **Delivery to the consumer: Duties of cannabis sales facility.**
- 7.050 **Delivery to the consumer: Restrictions; duties of cannabis establishment agent making the delivery.**
- 7.055 **Delivery to the consumer: Requirements for motor vehicles used to make deliveries; adequate temperature control of products required; inspection of motor vehicles authorized.**
- 7.060 **Curbside pickup operations at cannabis sales facilities.**

7.010 Requirements for operation; posting of hours of operation. Each cannabis sales facility shall:

1. Ensure that the cannabis sales facility is operating and available to sell cannabis or cannabis products to consumers during, and only during, the designated hours of operation of the cannabis sales facility as provided to the Board in the application for a license submitted by the cannabis sales facility and the hours authorized by the locality in which the cannabis sales facility is located; and
2. Post, in a place that can be viewed by persons entering the cannabis sales facility, the hours of operation during which the cannabis sales facility will sell cannabis or cannabis products to consumers.

7.015 Duties of cannabis establishment agent before sale to the consumer. Before a cannabis establishment agent sells cannabis or cannabis products to a consumer, the cannabis establishment agent shall:

1. Verify the age of the consumer by checking a government-issued identification card containing a photograph of the consumer using an identification scanner approved by the appropriate Board or Department agent to determine the validity of any government-issued identification card;
2. Offer any appropriate consumer education or support materials; and
3. Enter the following information into the inventory control system:
 - (a) The amount of cannabis or cannabis product sold;
 - (b) The date and time at which the cannabis or cannabis product was sold; and
 - (c) The number of the cannabis establishment agent registration card of the cannabis establishment agent.

7.020 Valid proof of identification of age of consumer required.

1. Except as otherwise provided in this subsection, a cannabis sales facility shall refuse to sell cannabis or cannabis products to any person unless the person produces a form of valid identification showing that the person is 21 years of age or older. A dual licensee may sell cannabis or cannabis products to a person who is less than 21 years of age if the sale complies with the provisions of the Tribe's Cannabis Ordinance and TCCR.
2. Identification presented to satisfy subsection 1 must contain a photograph and the date of birth of the person.
3. Identification presented to satisfy subsection 1 must be valid and unexpired:
 - (a) Driver's license or instruction permit issued by any state or territory of the United States;
 - (b) Identification card issued by any state or territory of the United States for proof of age of the holder of the card;
 - (c) United States military identification card;
 - (d) A Merchant Mariner Credential or other similar document issued by the United States Coast Guard;
 - (e) A passport issued by, or recognized by, the United States Government or a permanent resident card issued by the United States Citizenship and Immigration Services of the Board of Homeland Security; or
 - (f) A tribal identification card issued by a federally recognized tribal government showing the age of the holder of the card for issuance.

7.025 Prohibition on sale that exceeds the maximum usable quantity of cannabis. A cannabis sales facility shall not sell to any consumer an amount of cannabis or cannabis products that exceeds:

1. One ounce (28.35 grams) of usable cannabis other than concentrated cannabis;
2. One-eighth ounce of concentrated cannabis or cannabis products containing not more than 3,543 milligrams of THC; or
3. A combination of usable and concentrated cannabis not to exceed the legal limit.

7.030 Products required to be offered for sale; restrictions on the sale of other products; restrictions on advertising.

1. A cannabis sales facility shall not sell any product that contains alcohol unless:
 - a. The product would require the cannabis sales facility to hold a license issued according to Tribal law and the cannabis sales facility obtains such a license or permit.
 - b. The product containing alcohol does not require a tribal license or permit to sell the item.
2. A cannabis sales facility shall not sell cannabis or cannabis products to a consumer through the use of, or accept a sale of cannabis or cannabis products from, a third party, intermediary business, broker or any other business that does not hold a license for a cannabis sales facility (a) licensed by the State of Nevada, (b) regulated by the Intertribal Cannabis Commission, (c) licensed by a tribe and approved by the Department, or (d) licensed by a tribe and compacted with the State.
3. A cannabis sales facility shall not contract with a third party or intermediary business to advertise delivery to consumers. This section applies to advertising only and not delivery services.
4. A cannabis sales facility shall not recommend products to women that are pregnant or breastfeeding.

7.035 Storage and location of products; disclosure of cannabis testing facility performing quality assurance tests upon request of consumer; approved sources of products for sale; maintenance and availability of certificate of analysis; exemption for industrial hemp.

1. A cannabis sales facility must store all usable cannabis, concentrated cannabis and cannabis products behind a counter or other barrier to ensure a consumer does not have direct access to the cannabis, concentrated cannabis or cannabis products that are in a storage state and not displayed for sale.
2. Upon the request of a consumer, a cannabis sales facility must disclose the name of the cannabis testing facility which performed the required quality assurance tests for the cannabis sales facility and the corresponding certificate of analysis.
3. A cannabis sales facility may only sell usable cannabis obtained from a cannabis facility in this State (a) licensed by the State of Nevada, (b) regulated by the Intertribal Cannabis Commission, or (c) licensed by a tribe and approved by the Department.
4. Except as otherwise provided in subsection 6, a cannabis sales facility may only sell concentrated cannabis and cannabis products obtained from a cannabis sales or product manufacturing facility in this State (a) licensed by the State of Nevada, (b) regulated by the Intertribal Cannabis Commission, or (c) licensed by a tribe and approved by the Department.
5. Except as otherwise provided in subsection 6, a cannabis sales facility may not sell a product other than usable cannabis, concentrated cannabis, or cannabis products that contain any level of THC or CBD without the approval of the appropriate Board or

Department agent. Each cannabis sales facility shall maintain a file that contains a certificate of analysis for any such approved product at the cannabis sales facility and shall make the file available for review upon request. The product will be deemed to have been approved if it was received from a cannabis facility that is (a) licensed by the State of Nevada, (b) regulated by the Intertribal Cannabis Commission, or (c) licensed by a tribe and approved by the Department.

6. The provisions of subsection 4 do not apply to industrial hemp, as defined by applicable law, which is certified and registered with the State Department of Agriculture or the Tribe.

7.040 Delivery to the consumer: General requirements. Except for a delivery made pursuant to TCCR 6.035, a cannabis establishment or a third party shall not deliver cannabis or cannabis products to a consumer unless deliveries to consumers are approved by the Board, and unless:

1. The delivery is made by a cannabis establishment agent who holds a cannabis establishment agent registration card in the category of cannabis sales facility;
2. The delivery is made by a cannabis establishment agent employed by a cannabis sales facility or by an independent contractor:
 - (a) Which has entered into a service agreement with a cannabis sales facility to perform deliveries to consumers using only cannabis establishment agents who hold a cannabis establishment agent registration card in the category of cannabis sales facility; and
 - (b) Whose name has been disclosed to the Board and Department before any deliveries are made.
3. The name of the cannabis sales facility and all independent contractors who perform deliveries on behalf of the cannabis sales facility has been published on the Internet website of the Board or Department;
4. The Department has received confirmation from the cannabis sales facility, before a person engages in the delivery process, including, without limitation, accepting an order or physically delivering cannabis or cannabis products, that the person is employed by, volunteers at or provides labor as a cannabis establishment agent at the cannabis sales facility and holds a valid cannabis establishment agent registration card in the cannabis sales facility;
5. The cannabis establishment agent who delivers cannabis or cannabis products to a consumer obtains verification of the identity and age of the consumer at the point of delivery by scanning a document described in TCCR 7.020 before providing the cannabis or cannabis products to the consumer;
6. The cannabis establishment agent who delivers cannabis or cannabis products to a consumer does not also deliver any other item to the consumer unless the item is cannabis paraphernalia or merchandise, packaging, or a promotional item directly related to the cannabis sales facility or cannabis product;
7. The cannabis establishment agent who delivers cannabis or cannabis products to a consumer does not first purchase the cannabis or cannabis product from the cannabis sales facility and then obtain reimbursement from the consumer;
8. The delivery is conducted only during the hours that the cannabis sales facility is open for business;
9. The delivery is conducted only within the borders of the Tribe's Reservation
10. The cannabis establishment agent who delivers cannabis or cannabis products only travels to and from the cannabis sales facility and the delivery destination and does not make any unnecessary stops that are not disclosed in the trip plan and delivery manifest. If the cannabis establishment agent makes a stop for fuel, the stop must be documented in the trip plan and maintained for review by the Department and its Agents; and

11. If the cannabis sales facility contracts with a service that provides a digital or other platform used in conjunction with an agreement to facilitate deliveries to consumers, the digital or other platform are approved by the Board or Department.

7.045 Delivery to the consumer: Duties of cannabis sales facility.

1. A cannabis sales facility delivering cannabis or cannabis products to a consumer pursuant to TCCR 7.040 shall:

(a) Ensure that all cannabis and cannabis products are secured at all times during delivery; and

(b) Maintain a physical or electronic copy of a delivery manifest generated using the seed-to-sale tracking system that contains all the information required by this section in a format approved by the Board or Department.

2. A cannabis sales facility may deliver cannabis or cannabis products to more than one consumer in a single trip if the delivery manifest correctly reflects the specific inventory destined for each specific consumer and location.

3. Before delivering cannabis or cannabis products to a consumer, the cannabis sales facility shall enter the information required to indicate that the cannabis or cannabis products will be delivered to a consumer into the seed-to-sale tracking system.

4. A cannabis sales facility shall not alter the information which has been entered into the seed-to-sale tracking system according to subsection 3.

5. If a cannabis establishment agent is not able to deliver cannabis or cannabis products directly to the consumer who ordered the cannabis or cannabis products, the cannabis establishment agent shall return the cannabis or cannabis products to the cannabis sales facility.

6. A cannabis sales facility shall provide a receipt to the consumer, generated by their point of sale system.

7. The delivery manifest generated using the seed-to-sale tracking system must include, without limitation:

(a) The date and approximate time of the delivery;

(b) The name, location, address and license number of the cannabis sales facility;

(c) The name, location and address of each consumer;

(d) The name and quantity, by weight and unit, of each item to be delivered to each consumer;

(e) The make, model, license plate number and number of the identification card issued pursuant to TCCR 7.055 of the vehicle used for delivery; and

(f) The name, number of the cannabis establishment agent registration card and signature of each cannabis establishment agent performing or accompanying the delivery of the cannabis or cannabis products.

8. In addition to the requirements of this section, the cannabis sales facility shall ensure that each delivery satisfies the requirements of TCCR 6.075 & 6.078.

9. Before cannabis or cannabis products leave the cannabis sales facility for delivery, the cannabis sales facility shall adjust its records to reflect the removal of the cannabis or cannabis products in a manner that reflects the information included in the delivery manifest generated using the seed-to-sale tracking system and that can be easily reconciled, by the name and quantity of the cannabis or cannabis products, with the delivery manifest.

10. After delivery of cannabis or cannabis products, the cannabis sales facility shall ensure that the trip plan, including any changes to the trip plan made pursuant to subsection 9 of TCCR 7.040, is accurate.

11. Any cannabis or cannabis product that is damaged, undeliverable to the consumer, or refused by the consumer must be delivered back to the cannabis sales facility and reconciled by the cannabis sales facility in the seed-to-sale tracking system.
12. A cannabis sales facility shall not deliver any cannabis or cannabis products unless the cannabis sales facility can reconcile the delivery of cannabis or cannabis products with the seed-to-sale tracking system and all associated transaction history and order receipts.
13. A cannabis sales facility must reconcile all transactions to the seed-to-sale tracking system within 24 hours.
14. A cannabis sales facility shall ensure that all information contained in a delivery manifest generated using the seed-to-sale tracking system is accurate for each delivery that is completed.
15. A cannabis sales facility shall maintain all documents required by this section and provide a copy of any such document to the Board or Board Agents for review upon request.

7.050 Delivery to the consumer: Restrictions; duties of cannabis establishment agent making delivery.

1. A cannabis sales facility shall not deliver more than 8 ounces (226.8 grams) of cannabis or an equivalent amount of cannabis products to any combination of consumers within a single trip.
2. A medical cannabis sales facility shall not deliver more than 16 ounces (453.6 grams) of cannabis, edible cannabis products or cannabis-infused products, or any combination thereof when making a sales delivery exclusively to persons who hold a valid registry identification card or designated as a primary caregiver.
3. A cannabis sales facility shall not deliver cannabis or cannabis products to a consumer at any location that has been issued a state gaming license, as defined in NRS 463.0159
4. A cannabis sales facility shall not knowingly deliver more than 1 ounce (28.35 grams) of cannabis or cannabis products to a consumer in a private residence in one calendar day.
5. A cannabis sales facility shall not deliver cannabis or cannabis products to any person other than the consumer who ordered the cannabis or cannabis products. Before delivering cannabis or cannabis products to a consumer, the cannabis establishment agent delivering the cannabis or cannabis products for a cannabis sales facility shall:
 - (a) Confirm by telephone that the consumer ordered the cannabis or cannabis products and verify the identity of the consumer; and
 - (b) Enter the details of such a confirmation in a log which must be made available for inspection by an appropriate law enforcement agency, the Board, the Department and their agents.
6. A cannabis sales facility shall not allow a cannabis establishment agent to deliver cannabis or cannabis products unless the cannabis or cannabis products are:
 - (a) Stored in a lockbox or locked cargo area within the vehicle being used for delivery;
 - (b) Not visible from outside the vehicle; and
 - (c) Contained in sealed packages and containers which remain unopened during delivery.For this subsection, the trunk of a vehicle is not considered to be a lockbox or locked cargo area unless the trunk cannot be accessed from within the vehicle and can only be accessed using a key that is different from the key used to access and operate the vehicle.
7. A cannabis sales facility shall ensure that a cannabis establishment agent delivering cannabis or cannabis products for the cannabis sales facility has a means of communicating with the cannabis sales facility while he or she provides delivery.

8. A person shall not be present within any vehicle while it is being used for the delivery of cannabis or cannabis products unless the person is a cannabis establishment agent for the cannabis sales facility providing delivery of the cannabis or cannabis products or an independent contractor retained by the cannabis sales facility to provide delivery or a licensed security person.

9. Each cannabis establishment agent delivering cannabis or cannabis products must:

- (a) Report to a person designated by the cannabis establishment to receive such reports any motor vehicle crash that occurs during the delivery as soon as reasonably possible after the crash occurs, but in no instance shall such time to report exceed 12 hours;
- (b) Report to Board and Department agents any unauthorized stop; and
- (c) Report to a person designated by the cannabis establishment to receive such reports any loss or theft of cannabis or cannabis products that occur during the delivery immediately after the cannabis establishment agent becomes aware of the loss or theft. A cannabis sales facility that receives a report of loss or theft pursuant to this paragraph must immediately report the loss or theft to the appropriate law enforcement agency and to the Department.

7.055 Delivery to consumer: Requirements for motor vehicles used to make deliveries; adequate temperature control of products required; inspection of motor vehicles authorized.

- 1. A cannabis sales facility may use any motor vehicle, not to include two wheeled motor vehicles, that can legally be operated on the highways of this State and that meets the requirements of this section to deliver cannabis and cannabis products.
- 2. Before using a motor vehicle to deliver cannabis or cannabis products, a cannabis sales facility must obtain the approval of the appropriate Department agent for the use of the motor vehicle. Upon approving a motor vehicle for use to deliver cannabis or cannabis products, the Department will issue an identification card containing such information as the Department determines to be necessary, which must be kept inside the motor vehicle at all times.
- 3. A cannabis sales facility shall ensure that each motor vehicle used to deliver cannabis or cannabis products:
 - (a) Has no advertising, signage or other markings relating to cannabis; and
 - (b) Is equipped with an audible car alarm.
- 4. A cannabis sales facility shall provide adequate care for perishable cannabis products including, without limitation, refrigeration during delivery if required. Any method for temperature control used during delivery must be approved by the appropriate Board Agent before use. If a potentially hazardous cannabis product is being delivered, the potentially hazardous cannabis product must be maintained at a temperature of less than 41°F (5°C) throughout delivery.
- 5. Department agents may inspect each motor vehicle used for delivery of cannabis or cannabis products by a cannabis sales facility pursuant to TCCR 5.070.

7.060 Curbside pickup operations at cannabis sales facilities.

- 1. Cannabis sales facilities may only offer curbside pickup after submitting and receiving approval from the Department.
- 2. All orders must be placed in advance. Any form of in-person or onsite ordering will not be permitted. Consumers/patients shall be instructed to not exit their vehicle except as provided in Section 7.065.

3. Each cannabis sales facility offering curbside pickup shall develop and submit to the Department for approval Standard Operating Procedures (SOP) for curbside pickup that address the following:

- (a) Security Plan;
- (b) Curbside Pickup Plan;
- (c) Curbside Pickup Designation; and
- (d) Transaction(s) Protection Measure

7.065 Drive up window operations at cannabis sales facilities.

1. Cannabis sales facilities may only offer drive thru window pickup after submitting and receiving approval from the Department.
2. Each cannabis sales facility offering curbside pickup shall develop, and submit to the Department for approval Standard Operating Procedures (SOP) for drive thru window pickup that addresses the following:
 - (a) Security Plan;
 - (b) Drive-thru Pickup Plan;
 - (c) Drive-thru Pickup Designation; and
 - (d) Transaction(s) Protection Measures

**REGULATION 8
CANNABIS CULTIVATION FACILITY**

8.010 **Required written disclosure with each lot of usable cannabis; provision of free samples to cannabis sales facility; applicability of provisions governing excise tax on cannabis to free samples.**

8.015 **Restrictions on access to facility and persons authorized on premises; location of cannabis growing at facility.**

8.020 **Requirements for outdoor cultivation; verification of adequate isolation.**

8.010 Required written disclosure with each lot of usable cannabis; provision of free samples to cannabis sales facility; applicability of provisions governing excise tax on cannabis to free samples.

1. A cannabis cultivation facility must disclose in writing, if requested, with each lot of usable cannabis provided to a cannabis sales facility:
 - (a) All soil amendments, fertilizers, pesticides, and other crop production aids applied to the growing medium or cannabis plant included in the lot; and
 - (b) The name of the cannabis testing facility which performed the required quality assurance tests and the certificate of analysis for the lot.

2. A cannabis cultivation facility may provide a cannabis sales facility free display samples of usable cannabis packaged in a sample jar protected by a plastic or metal mesh screen to allow consumers to smell the product before purchase. A sample jar may not contain more than 3.5 grams of usable cannabis. The sample jar must be sealed shut. The sample jar and the usable cannabis within may not be sold to a consumer, returned to the cannabis cultivation facility which provided the usable cannabis and sample jar, or destroyed by the cannabis sales facility after use and documented by the cannabis sales facility using its inventory control system pursuant to TCCR 6.080. A cannabis production facility may provide uninfused edibles as display samples.

8.015 Restrictions on access to facility and persons authorized on premises; location of cannabis growing at facility.

1. Except as otherwise provided in subsection 2, a cannabis cultivation facility must ensure that access to the enclosed, locked facility where cannabis is cultivated is limited to the officers, board members, department managers and agents, and authorized cannabis establishment agents of the cannabis cultivation facility.
2. Each cannabis cultivation facility shall ensure that an authorized cannabis establishment agent accompanies any person other than another cannabis establishment agent associated with that cannabis establishment when the person is present in the enclosed, locked facility where cannabis is cultivated or produced by the cannabis cultivation facility.
3. Each cannabis cultivation facility shall take reasonable actions so that any cannabis growing at the cannabis cultivation facility cannot be observed from outside the cannabis cultivation facility and is not visible from a public place by normal, unaided vision. Compliance with Section 6.085 regarding fencing may be considered taking reasonable actions to meet this requirement.
4. Each cannabis cultivation facility shall take reasonable actions so that any cannabis growing at the cannabis cultivation facility cannot be accessed by anyone not licensed or approved to be onsite.

8.020 Requirements for outdoor cultivation; verification of adequate isolation.

If an applicant for a license for a cannabis cultivation facility wishes to engage in the cultivation of cannabis outdoors or if a cannabis cultivation facility wishes to begin to cultivate cannabis outdoors, the applicant or cannabis cultivation facility must, before engaging in any outdoor cultivation, consult with the State Department of Agriculture on the location of the Tribal cannabis cultivation to ensure it will be adequately isolated from the State issuing a hemp license so as to prevent cross-pollination.

REGULATION 9
PRODUCTION OF CANNABIS PRODUCTS

- 9.010 **Hand and arm contact while engaged in extraction of concentrated cannabis or production of cannabis products.**
- 9.015 **Qualifications and duties of persons responsible for managing facility.**
- 9.020 **Creation of cannabis extracts; development of standard operating procedures, good manufacturing practices and training plan.**
- 9.025 **Requirements and restrictions on use of non-cannabis ingredients.**
- 9.030 **Protection of products and ingredients from cross-contamination.**
- 9.035 **Use of pasteurized eggs and egg products; cleanliness of equipment, utensils and articles; requirements for temperature controls.**
- 9.040 **Clear marking of potentially hazardous cannabis products; determination of expiration date and shelf life of perishable products.**
- 9.045 **Edible cannabis products: Testing to ensure homogeneity of potency; requirements for sale; approval of Department required for certain changes.**
- 9.050 **Requirements for sinks and running water.**
- 9.055 **Requirements for sanitizers.**
- 9.060 **Requirements for materials used in the construction of utensils and contact surfaces.**
- 9.065 **Requirements for lighting.**
- 9.070 **Requirements for filters for liquid filtration; prohibition on an asbestos-containing filter.**
- 9.075 **Sufficiency of ventilation hood systems and devices.**
- 9.080 **Sufficiency of mechanical ventilation.**
- 9.085 **Surfaces of equipment and utensils: Cleanliness.**

- 9.090 **Surfaces of equipment and utensils: Frequency of activities requiring cleaning.**
- 9.095 **Surfaces and utensils: Sanitation.**
- 9.100 **Surfaces of cooking and baking equipment and door seals of microwave ovens: Cleanliness.**

9.010 Hand and arm contact while engaged in the extraction of concentrated cannabis or production of cannabis products.

1. Each cannabis establishment agent engaged in the extraction of concentrated cannabis or production of cannabis products shall keep his or her hands and the exposed portions of his or her arms clean.
2. A cannabis establishment agent engaged in the extraction of concentrated cannabis or production of cannabis products shall not have contact with exposed, finished cannabis products with his or her bare hands and shall use suitable barriers, including, without limitation, deli tissue, spatulas, tongs, single-use gloves or dispensing equipment when handling exposed, finished concentrated cannabis or cannabis products.
3. A cannabis establishment agent engaged in the extraction of concentrated cannabis or production of cannabis products shall minimize bare hand and arm contact with exposed cannabis products that are not in a finished form.

9.015 Qualifications and duties of persons responsible for managing the facility. Based on the risks inherent to the operation of a cannabis product manufacturing facility and a cannabis consumption lounge which serves food,, the persons responsible for managing each such facility shall demonstrate to the Board and Department knowledge of disease prevention, and the requirements of the Tribe's Cannabis Ordinance and TCCR, by:

1. Complying with the provisions of the Tribe's Cannabis Ordinance and TCCR and having no category I, II, II(b) or III violations pursuant to TCCR 4.035-4.050 during inspections.
2. Ensuring that at least one employee of the cannabis product manufacturing facility is available during all operating hours which is a certified food protection manager who has shown proficiency in the required information through passing a test that is part of a program that certifies a person to be a food protection manager and which:
 - (a) Has been evaluated and listed by an accrediting agency as conforming to national standards for organizations that certify persons as food protection managers; or
 - (b) Provides to such persons other training acceptable to the Board or Department.
3. Responding correctly to the questions of an inspector of cannabis establishments regarding:
 - (a) The relationship between the prevention of disease and the personal hygiene of a cannabis establishment agent engaged in the extraction of concentrated cannabis or production of cannabis products.
 - (b) The prevention of the transmission of disease by a cannabis establishment agent engaged in the extraction of concentrated cannabis or production of cannabis products who has a disease or medical condition that may transmit disease.
 - (c) The symptoms associated with the diseases that are transmissible through cannabis products and ingredients.

- (d) The significance of the relationship between maintaining the temperature for a certain amount of time for potentially hazardous cannabis products and ingredients and the prevention of illness transmission.
- (e) The hazards involved in the consumption of raw or undercooked meat, poultry and eggs.
- (f) The required temperatures and times for safe cooking of potentially hazardous cannabis products and ingredients, including, without limitation, meat, poultry and eggs.
- (g) The required temperatures and times for the safe refrigerated storage, hot holding, cooling and reheating of potentially hazardous cannabis products and ingredients.
- (h) The relationship between the prevention of illness transmission and the management and control of:
 - (1) Cross-contamination;
 - (2) Hand contact with finished cannabis products and ingredients;
 - (3) Handwashing; and
 - (4) Maintaining the establishment in a clean condition and in good repair.
- (i) The correct procedures for cleaning and sanitizing utensils and the surfaces of equipment that have direct contact with cannabis products and ingredients.
- (j) The identification of poisonous or toxic materials in the facility and the procedures necessary to ensure that those materials are safely stored, dispensed, used, and disposed of according to applicable state and federal laws and regulations.

9.020 Creation of cannabis extracts; development of standard operating procedures, good manufacturing practices, and training plan.

1. A cannabis product manufacturing facility may only use the methods, equipment, solvents, gases, and mediums set forth in this section when creating cannabis extracts.
2. A cannabis product manufacturing facility may use the hydrocarbons N-butane, isobutane, propane, heptane, or other solvents or gases exhibiting low to minimize potential human health-related toxicity approved by the Board or Department. These solvents must be of at least 99 percent purity and a cannabis product manufacturing facility must when using such solvents:
 - (a) Use the solvents in a professional-grade, closed-loop extraction system designed to recover the solvents;
 - (b) Work in a spark-free environment with proper ventilation; and
 - (c) Follow all applicable tribal fire, safety, and building codes in the processing and storage of the solvents.
3. A cannabis product manufacturing facility may use a professional-grade, closed-loop CO2 gas extraction system where every vessel is rated to a minimum of 900 pounds per square inch and follows all applicable local fire, safety, and building codes in the processing and storage of the solvents. The CO2 must be of at least 99 percent purity.
4. A cannabis product manufacturing facility may use heat, screens, presses, steam distillation, ice water, and other methods without employing solvents or gases to create kief, hashish, bubble hash, infused dairy butter, or oils or fats derived from natural sources, and other extracts.
5. A cannabis product manufacturing facility may use food-grade glycerin, ethanol, and propylene glycol solvents to create cannabis extracts.
6. A cannabis product manufacturing facility that creates cannabis extracts must develop standard operating procedures, good manufacturing practices, and a training plan before producing cannabis extracts for the marketplace. Any person using solvents or gases in a

closed-looped system to create cannabis extracts must be fully trained on how to use the system, have direct access to applicable material safety data sheets, and safely handle and store the solvents and gases.

9.025 Requirements and restrictions on the use of non-cannabis ingredients.

1. Each establishment shall ensure that it obtains non-cannabis ingredients, including hemp and CBD, for cannabis products from sources that comply with the requirements of tribal, federal and state (if applicable) law and regulations and are approved by the Department, including, without limitation, commercial and retail businesses.
2. A cannabis establishment shall not use or prepare non-cannabis ingredients prepared or stored in a private home.
3. A cannabis product manufacturing facility must submit all-new menu items and their ingredients to the appropriate Department agent for approval on a form prescribed by the Department prior to production and sale of new products. A cannabis establishment may not produce nasal spray, inhalers, eye drops, or medical devices.
4. A cannabis establishment preparing menu items that require a HACCP plan as determined by the appropriate Department agent must be approved by a processing authority prior to submission. Special processes requiring a HACCP plan include, but are not limited to, canning, reduced oxygen packaging, and other processes as determined by the appropriate Department agent.

9.030 Protection of products and ingredients from cross-contamination.

1. Except as otherwise provided in subsection 2, each cannabis product manufacturing facility and cannabis consumption lounge shall ensure that cannabis products and ingredients are protected from cross-contamination by:
 - (a) Separating raw animal ingredients during storage, preparation, holding, and display from raw cannabis products, or other raw finished ingredients such as fruits and vegetables, and from concentrated cannabis and cooked or baked and finished cannabis products which are ready to eat or otherwise use.
 - (b) Except when combined as ingredients, separating types of raw animal ingredients from each, including, without limitation, meat, poultry, and eggs, during storage, preparation, holding, and display by preparing each type of raw animal ingredient at a different time or in a different area and:
 - (1) Using separate equipment for each type of raw animal ingredient; or
 - (2) Arranging each type of raw animal ingredient in equipment so that cross-contamination of one type of raw animal ingredient with another is prevented.
 - (c) Preparing each type of raw animal ingredient at different times or in separate areas.
2. The provisions of subsection 1 do not apply to items stored frozen in a freezer.
3. Cannabis products must be protected from contamination by storing the product in a clean, dry location:
 - (a) Where the products are not exposed to splashes, chemicals, dust, or other contamination; and
 - (b) Fifteen centimeters or more above the floor.
4. Cannabis products and direct contact surfaces of equipment and utensils must be stored and handled in a manner that prevents any biological, chemical, or physical contamination at all times.

5. Chemical sanitizer must be available for immediate use at the proper concentration during all hours of operation in a location other than a three-compartment sink.

9.035 Use of pasteurized eggs and egg products; cleanliness of equipment, utensils, and articles; requirements for temperature controls. Each cannabis product manufacturing facility and cannabis consumption lounge shall ensure that:

1. Pasteurized eggs or egg products are substituted for raw eggs in the preparation of cannabis products.
2. Cannabis products and ingredients only have contact with the surfaces of:
 - (a) Equipment and utensils that are cleaned and sanitized; or
 - (b) Single-service and single-use articles that have not previously been used.
3. Ingredients such as eggs, meat, poultry, and cannabis containing these raw animal ingredients are cooked to heat all parts of the cannabis product to a temperature and for a time that complies with one of the following methods based on the product that is being cooked:
 - (a) At 145°F (63°C) or above for 15 seconds for meat, including, without limitation, commercially raised game animals.
 - (b) At 155°F (68°C) or above for 15 seconds for:
 - (1) Mechanically tenderized and injected meats; and
 - (2) Meat and commercially raised game animals if it is comminuted.
 - (c) At 165°F (74°C) or above for 15 seconds for poultry, stuffed meat, stuffed pasta, stuffed poultry, or stuffing containing meat or poultry.
4. Except during preparation, cooking, or cooling, potentially hazardous cannabis products and ingredients are maintained:
 - (a) At 135°F (57°C) or above; or
 - (b) At 41°F (5°C) or less.
5. During the thawing process, potentially hazardous cannabis products and ingredients are:
 - (a) Maintained at 41°F (5°C) or less; and
 - (b) Thawed:
 - (1) Under refrigeration;
 - (2) Under cool running water;
 - (3) As part of the cooking process; or
 - (4) In a microwave only if the potentially hazardous cannabis products and ingredients will be cooked immediately thereafter.

9.040 Clear marking of potentially hazardous cannabis products; determination of expiration date and shelf life of perishable products.

1. Each cannabis product manufacturing facility and cannabis consumption lounge shall ensure that:
 - (a) Potentially hazardous cannabis products and ingredients prepared and held by the facility for more than 24 hours are clearly marked to indicate the date or day by which the item must be consumed, sold or discarded when held at a temperature of 41°F (5°C) or less for a maximum of 7 days or, if the item is frozen when the item is subsequently thawed and held at a temperature of 41°F (5°C) or less for a maximum of 7 days; and
 - (b) Potentially hazardous cannabis products and ingredients that are prepared and packaged by a commercial processing plant are clearly marked at the time that the original container is opened and, if the item is held for more than 24 hours, indicate the

date or day by which the item must be consumed, sold or discarded, based on the temperature and time combination set forth in paragraph (a). The day on which the original container is opened in the cannabis establishment must be counted as “day 1.” The day or date marked by the cannabis product manufacturing facility may not exceed a use-by date of the manufacturer if the manufacturer determined the use-by date.

(c) Products are not held past the expiration, sell-by, or use-by date.

2. If a cannabis product manufacturing facility produces a cannabis product that is perishable, the expiration date for the cannabis product must:

(a) Be determined as a result of shelf-life testing pursuant to subsection 3; or

(b) Not exceed 7 days, including the date of preparation of the cannabis product if the cannabis product is refrigerated.

3. A cannabis product manufacturing facility shall perform testing, as specified by the Department, to determine the shelf life of each cannabis product which is perishable for the first production run of each such cannabis product. The appropriate Department agent will determine which cannabis products require testing to determine shelf life during the review and approval of each cannabis product. New testing pursuant to this subsection must be performed for any change in the recipe, production run size or equipment used to produce a cannabis product.

9.045 Edible cannabis products: Testing to ensure homogeneity of potency; requirements for sale; approval of Department required for certain changes.

1. Each cannabis product manufacturing facility shall contract with a cannabis testing facility to perform testing to ensure the homogeneity of the potency of the product on each edible cannabis product produced by the facility. A cannabis product manufacturing facility shall not sell an edible cannabis product unless the appropriate Department agent has pre approved the production of the edible cannabis product and a cannabis testing facility has verified the homogeneity of the potency of the product as described in TCCR 11.060.

2. A cannabis product manufacturing facility shall not sell an edible cannabis product other than a multiple-serving edible cannabis product or a single-serving edible cannabis product. An edible cannabis product sold as a multiple-serving edible cannabis product must not contain more than 100 milligrams of THC. An edible cannabis product sold as a non-medical single-serving edible cannabis product must not contain more than 100 milligrams of THC.

3. A cannabis product manufacturing facility shall not sell an edible cannabis product unless the appropriate Department agent has approved that:

(a) The recipe and production procedures for the edible cannabis product will ensure consistent concentration of THC for the edible cannabis product; and

(b) The cannabis product manufacturing facility has demonstrated that its process for producing the edible cannabis product produces a homogenous product.

4. Any change in the recipe, production run size, or equipment used to produce an edible cannabis product must be approved by the appropriate Department agent. The Department agent may require new approval or testing pursuant to this section for such a change.

9.050 Requirements for sinks and running water.

1. Each cannabis establishment that handles cannabis which is not pre-packaged shall ensure that it provides:

- (a) A sink with at least three compartments for manually washing, rinsing, and sanitizing equipment and utensils;
 - (b) Sink compartments that are large enough to accommodate immersion of the largest equipment and utensils; and
 - (c) Running water that reaches a minimum temperature of 120°F (49°C).
2. If equipment or utensils are too large for the washing sink, a cannabis establishment must use alternative equipment.

9.055 Requirements for sanitizers. Each cannabis product manufacturing facility shall ensure that:

- 1. In a mechanical operation, the temperature of the fresh hot water sanitizing rinse as it enters the manifold is not more than 194°F (90°C) or less than 180°F (82°C).
- 2. A chemical sanitizer used in a sanitizing solution for a manual or mechanical operation at contact times is used in accordance with the manufacturer's label use instructions that are approved by the Environmental Protection Agency, and as follows:
 - (a) A chlorine solution must have a concentration between 50 parts per million and 100 parts per million or be otherwise prepared in accordance with the manufacturer's label.
 - (b) An iodine solution must have a concentration between 12.5 parts per million and 25 parts per million or be otherwise prepared in accordance with the manufacturer's label.
 - (c) A quaternary ammonium compound solution must have a concentration between 150 parts per million and 400 parts per million or be otherwise prepared in accordance with the manufacturer's label.
- 3. If a chemical sanitizer other than chlorine, iodine, or a quaternary ammonium compound is used, it is applied in accordance with the manufacturer's label use instructions that are approved by the Environmental Protection Agency, and the use of the chemical sanitizer is approved by the appropriate Board or Department Agent.
- 4. A sanitizer bucket or spray bottle is readily available during all hours of operation and kept at the proper concentration.
- 5. Test strips which are appropriate for the type of chemical sanitizer in use are available and used properly.

9.060 Requirements for materials used in the construction of utensils and contact surfaces.

Each cannabis product manufacturing facility and cannabis consumption lounge shall ensure that the materials that are used in the construction of utensils and the contact surfaces of equipment:

- 1. Do not allow the migration of deleterious substances or impart colors, odors or tastes to cannabis products; and
- 2. Under normal use conditions are:
 - (a) Safe;
 - (b) Durable, corrosion-resistant and nonabsorbent;
 - (c) Sufficient in weight and thickness to withstand repeated washing;
 - (d) Finished to have a smooth, easily cleanable surface; and
 - (e) Resistant to pitting, chipping, crazing, scratching, scoring, distortion and decomposition.

9.065 Requirements for lighting. Each cannabis product manufacturing facility and cannabis consumption lounge shall ensure that the light intensity in the facility is:

- 1. At least 20-foot candles (215 lux):

- (a) At a distance of 30 inches (75 cm) above the floor in walk-in refrigeration units and areas for storage of dry cannabis products and in other areas and rooms during periods of cleaning;
 - (b) Inside equipment such as reach-in and under-counter refrigerators; and
 - (c) At a distance of 30 inches (75 cm) above the floor in areas used for hand washing, ware washing and equipment, and utensil storage and in toilet rooms.
2. At least 50-foot candles (540 lux) at a surface where a cannabis establishment agent engaged in the extraction of concentrated cannabis or production of cannabis products is working with cannabis products or working with utensils or equipment, including, without limitation, knives, slicers, grinders or saws where employee safety is a factor.

9.070 Requirements for filters for liquid filtration; prohibition on the asbestos-containing filter.

- 1. Each cannabis product manufacturing facility shall ensure that filters for liquid filtration used in the extraction of concentrated cannabis or manufacture, processing or packaging of cannabis products intended for human use do not release fibers into such products.
- 2. A cannabis product manufacturing facility shall not use an asbestos-containing filter.

9.075 Sufficiency of ventilation hood systems and devices. Each cannabis product manufacturing facility and cannabis consumption lounge shall ensure that its ventilation hood systems and devices are sufficient in number and capacity to prevent grease or condensation from collecting on walls and ceilings.

9.080 Sufficiency of mechanical ventilation. Each cannabis product manufacturing facility and cannabis consumption lounge shall ensure that it provides mechanical ventilation of sufficient capacity as necessary to keep rooms free of excessive heat, steam, condensation, vapors, obnoxious odors, smoke and fumes.

9.085 Surfaces of equipment and utensils: Cleanliness. Each cannabis establishment shall ensure that:

- 1. The surfaces of equipment and utensils that have direct contact with cannabis products are clean to sight and touch;
- 2. The surfaces of cooking equipment and pans that have direct contact with cannabis products are kept free of encrusted grease deposits and other soil accumulations; and
- 3. The surfaces of equipment that do not have direct contact with cannabis products are kept free of an accumulation of dust, dirt, residue and other debris.

9.090 Surfaces of equipment and utensils: Frequency of and activities requiring cleaning.

Each cannabis product manufacturing facility and cannabis consumption lounge shall ensure that:

- 1. The surfaces of equipment and utensils that have direct contact with cannabis or cannabis products are cleaned:
 - (a) Before each use with a different type of raw animal ingredient, including, without limitation, beef, pork or poultry;
 - (b) Each time there is a change from working with raw cannabis products to working with finished cannabis products;

- (c) Between uses with raw fruits and vegetables and with potentially hazardous cannabis products and ingredients, using the appropriate time and temperature controls to ensure the safety of the cannabis products; and
 - (d) At any time during operation when contamination may have occurred.
- 2. If the surfaces of equipment or utensils come into contact with potentially hazardous cannabis products and ingredients, the surfaces and utensils are cleaned throughout the day at least once every 4 hours.
- 3. The surfaces of utensils and equipment that have direct contact with cannabis products and ingredients that are not potentially hazardous are cleaned:
 - (a) At any time when contamination may have occurred; and
 - (b) In equipment, including, without limitation, ice bins and beverage dispensing nozzles, and enclosed components of equipment, such as ice makers, cooking oil storage tanks and distribution lines, beverage and syrup dispensing lines or tubes, coffee bean grinders, and water vending equipment:
 - (1) At a frequency specified by the manufacturer; or
 - (2) If the manufacturer does not specify a frequency, at a frequency necessary to prevent the accumulation of soil or mold.

9.095 Surfaces and utensils: Sanitation. Each cannabis establishment shall ensure that:

- 1. The surfaces and utensils that have direct contact with cannabis or cannabis products are adequately washed, rinsed, and sanitized.
- 2. After being cleaned, surfaces of equipment and utensils that have direct contact with cannabis products are sanitized in:
 - (a) Hot water manual operations by immersion for at least 30 seconds with a temperature of 170°F (77°C) or above;
 - (b) Hot water mechanical operations by being cycled through equipment that is set up and achieving a utensil surface temperature of 160°F (71°C) as measured by an irreversible registering temperature indicator; or
 - (c) Chemical manual or mechanical operations, including, without limitation, the application of sanitizing chemicals by immersion, manual swabbing, brushing or pressure spraying methods using a solution as specified on the manufacturer's label use instructions that are approved by the Environmental Protection Agency, by providing an exposure time of at least 30 seconds unless the manufacturer's label use instructions provide otherwise.

9.100 Surfaces of cooking and baking equipment and door seals of microwave ovens:

Cleanliness. Each cannabis product manufacturing facility and cannabis consumption lounge shall ensure that:

- 1. The surfaces of cooking and baking equipment that have direct contact with cannabis products are cleaned at least once every 24 hours; and
- 2. The cavities and door seals of microwave ovens are cleaned at least once every 24 hours by using the recommended cleaning procedure of the manufacturer.

REGULATION 10
MINIMUM GOOD MANUFACTURING PRACTICES FOR CULTIVATION AND
PREPARATION OF CANNABIS AND CANNABIS PRODUCTS FOR
ADMINISTRATION TO HUMANS

- 10.010 Establishment of minimum good manufacturing practices.
- 10.015 Cannabis cultivation facility, cannabis production facility, [, cannabis consumption lounge,](#) and cannabis sales facility: Requirement to have a quality control unit and/or compliance officer.
- 10.020 Cannabis cultivation facility, cannabis production facility, [, cannabis consumption lounge,](#) and cannabis sales facility: Adequate ventilation, filtration systems, and related equipment required for building.
- 10.025 Cannabis cultivation facility, cannabis production facility, [, cannabis consumption lounge,](#) and cannabis sales facility: Establishment of and adherence to written procedures for labeling and packaging materials.
- 10.030 Cannabis cultivation facility, cannabis production facility, [, cannabis consumption lounge,](#) and cannabis sales facility: Establishment of and adherence to written procedures for production and process control to assure the quality of cannabis and cannabis products; review and approval of procedures; recording and justification of deviation from procedures.
- 10.035 Cannabis cultivation facility, cannabis production facility, [, cannabis consumption lounge,](#) and cannabis sales facility: Establishment of and adherence to written procedures for components, product containers, and closures.
- 10.040 Cannabis cultivation facility, cannabis production facility, [, cannabis consumption lounge,](#) and cannabis sales facility: Appropriateness,

- cleanliness, and maintenance of equipment, utensils, and substances; maintenance of records.
- 10.045 Cannabis cultivation facility, cannabis production facility, [, cannabis consumption lounge,](#) cannabis distributor, and cannabis sales facility: Requirement to ensure the cleanliness of employees and volunteers.
- 10.050 Cannabis cultivation facility, cannabis production facility, [, cannabis consumption lounge,](#) cannabis distributor, and cannabis sales facility: Restrictions on salvaging cannabis and cannabis products; maintenance of records.
- 10.055 Cannabis establishment: Requirements for building used to manufacture, process, package, or hold cannabis.
- 10.060 Cannabis establishment: Requirement to maintain building used to manufacture, process, package, or hold cannabis in a good state of repair.
- 10.065 Cannabis establishment: Requirements for water, plumbing and drains in buildings used to manufacture, process, package or hold cannabis.
- 10.070 Cannabis establishment: Adequate lighting.
- 10.075 Cannabis establishment: Establishment of and adherence to written procedures for sanitation; requirement to retain a person who is a certified applicator of pesticides.
- 10.080 Cannabis establishment: Storage, management, and disposal of waste.

10.010 Establishment of minimum good manufacturing practices. TCCR 10.010 to 10.080, inclusive, set forth the minimum good manufacturing practices for the cultivation and preparation of cannabis and cannabis products for administration to humans.

10.015 Cannabis cultivation facility, cannabis production facility, cannabis consumption lounge, and cannabis sales facility: Requirement to have a quality control unit and/or compliance officer.

1. Each cannabis cultivation facility, cannabis production facility, cannabis consumption lounge, and cannabis sales facility shall have a quality control unit and/or compliance officer:
 - (a) Has the responsibility and authority to approve or reject all components, product containers, closures, in-process materials, packaging materials, labeling, and cannabis or cannabis products;
 - (b) Has the authority to review production records to assure that no errors have occurred or, if errors have occurred, that the errors have been fully investigated and resolved;
 - (c) Is responsible for approving or rejecting cannabis or cannabis products manufactured, processed, packaged, or held under contract by another cannabis establishment; and
 - (d) Is responsible for approving or rejecting all procedures or specifications which may impact the identity, strength, quality, and purity of the cannabis or cannabis products.
2. Each cannabis cultivation facility, cannabis production facility, cannabis consumption lounge, and cannabis sales facility shall:
 - (a) Set forth the responsibilities and procedures applicable to the quality control unit in writing, a copy of which shall be provided promptly to the Board or Department agents upon request; and
 - (b) Follow the written responsibilities and procedures set forth pursuant to paragraph

10.020 Cannabis cultivation facility, cannabis production facility, cannabis consumption lounge, and cannabis sales facility: Adequate ventilation, filtration systems, and related equipment required for building.

1. Each cannabis cultivation facility, cannabis production facility, cannabis consumption lounge, and cannabis sales facility shall ensure that any building used to manufacture, process, package, or hold cannabis or cannabis products:
 - (a) Has adequate ventilation; and
 - (b) Contains equipment for adequate control over air pressure, microorganisms, dust, humidity, and temperature, when appropriate, for the manufacture, processing, packaging, or holding of cannabis or cannabis products.
2. Each cannabis cultivation facility, cannabis production facility, cannabis consumption lounge, and cannabis sales facility must use filtration systems, including, without limitation, prefilters, and particulate matter air filters, when appropriate on air supplies to production areas. If air is recirculated to production areas, the cannabis establishment must take measures to control the recirculation of dust from production. In areas where air contamination occurs during production, the cannabis establishment must ensure that there are adequate exhaust systems or other systems adequate to control contaminants.

10.025 Cannabis cultivation facility, cannabis production facility, [cannabis consumption lounge](#), and cannabis sales facility: Establishment of and adherence to written procedures for labeling and packaging materials.

1. Each cannabis cultivation facility, cannabis production facility, [cannabis consumption lounge](#), and cannabis sales facility shall establish and follow written procedures describing in sufficient detail the receipt, identification, storage, handling, sampling, and examination of labeling and packaging materials. A copy of these procedures shall be provided promptly to the Board or Department agents upon request.
2. Any labeling or packaging materials that meet the appropriate written specifications established pursuant to subsection 1 may be approved and released for use. Any labeling or packaging materials that do not meet the specifications established pursuant to subsection 1 must be rejected to prevent their use in operations for which they are unsuitable.
3. Each cannabis cultivation facility, cannabis production facility, and cannabis sales facility shall:
 - (a) Store separately with suitable identification the labels and other labeling materials for each type of cannabis or cannabis product, and the different strength, dosage form, or quantity of contents;
 - (b) Limit access to the storage area described in paragraph (a) to authorized personnel of the cannabis establishment; and
 - (c) Destroy obsolete and outdated labels, labeling, and other packaging materials.

10.030 Cannabis cultivation facility, cannabis production facility, [cannabis consumption lounge](#), and cannabis sales facility: Establishment of and adherence to written procedures for production and process control to assure the quality of cannabis and cannabis products; review and approval of procedures; recording and justification of deviation from procedures.

1. Each cannabis cultivation facility, cannabis production facility, [cannabis consumption lounge](#), and cannabis sales facility shall have written procedures for production and process control that are designed to assure that the cannabis or cannabis products have the identity, strength, quality, and purity they purport or are represented to possess. A copy of these procedures shall be provided promptly to the Board or Department agents upon request.
2. The written procedures required pursuant to subsection 1 and any changes to those procedures must be drafted, reviewed, and approved by the appropriate organizational units of the cannabis establishment and reviewed and approved by the quality control unit of the cannabis establishment.
3. Each cannabis cultivation facility, cannabis production facility, [cannabis consumption lounge](#), and cannabis sales facility shall follow written production and process control procedures in executing various production and process control functions and shall document these procedures at the time of performance. Any deviation from the written procedures must be recorded and justified by the cannabis establishment. A copy of these procedures shall be provided promptly to the Board or Department agents upon request.

10.035 Cannabis cultivation facility, cannabis production facility, [cannabis consumption lounge](#), and cannabis sales facility: Establishment of and adherence to written procedures

for components, product containers, and closures. Each cannabis cultivation facility, cannabis production facility, [cannabis consumption lounge](#), and cannabis sales facility shall ensure that:

1. It has written procedures describing in sufficient detail the receipt, identification, storage, handling, sampling, testing, and approval or rejection of components, product containers, and closures and that it follows those procedures. A copy of these procedures shall be provided promptly to the Board or Department agents upon request;
2. Components, product containers, and closures are at all times handled and stored in a manner so as to prevent contamination; and
3. Bagged or boxed components, product containers, or closures are stored at least 6 inches (15 centimeters) off the floor and are suitably spaced to permit cleaning and inspection.

10.040 Cannabis cultivation facility, cannabis production facility, [cannabis consumption lounge](#), and cannabis sales facility: Appropriateness, cleanliness, and maintenance of equipment, utensils, and substances; maintenance of records.

1. Each cannabis cultivation facility, cannabis production facility, [cannabis consumption lounge](#), and cannabis sales facility shall ensure that any equipment used to manufacture, process, package, or hold cannabis or cannabis products:
 - (a) Is of appropriate design and adequate size and is suitably located to facilitate operations for its intended use and for its cleaning and maintenance; and
 - (b) Is constructed so that surfaces which have direct contact with components, in-process materials, cannabis, or cannabis products are not reactive, additive, or absorptive so as to alter the safety, identity, strength, quality, or purity of the cannabis or cannabis products beyond the official or other established requirements.
 - (c) Is submitted to the appropriate Department agent on a form prescribed by the Department for approval prior to use.
2. Each cannabis cultivation facility, cannabis production facility, [cannabis consumption lounge](#), and cannabis sales facility shall ensure that:
 - (a) Any substances required for its operation, such as lubricants or coolants, do not come into contact with components, product containers, in-process materials, cannabis or cannabis products so as to alter the safety, identity, strength, quality, or purity of the cannabis or cannabis products beyond the official or other established requirements;
 - (b) Equipment and utensils are cleaned, maintained, and, as appropriate for the nature of the cannabis or cannabis products, sanitized and sterilized at appropriate intervals to prevent malfunctions or contamination that would alter the safety, identity, strength, quality, or purity of the cannabis or cannabis products beyond the official or other established requirements; and
 - (c) Written procedures are established and followed for the cleaning and maintenance of equipment and utensils used to manufacture, process, package, or hold cannabis or cannabis products. A copy of these procedures shall be provided promptly to the Board or Department agents upon request. These procedures must include, without limitation:
 - (1) Assignment of responsibility for cleaning and maintaining equipment;
 - (2) Maintenance and cleaning schedules, including, sanitizing schedules;
 - (3) A description in sufficient detail of the methods, equipment, and materials used in cleaning and maintenance operations and the methods of disassembling and reassembling equipment as necessary to assure proper cleaning and maintenance;
 - (4) Protection of clean equipment from contamination before use; and
 - (5) Inspection of equipment for cleanliness immediately before use.

3. Each cannabis cultivation facility, cannabis production facility, [, cannabis consumption lounge,](#) and cannabis sales facility must maintain records of any maintenance, cleaning, sanitizing and inspection carried out pursuant to this section.

10.045 Cannabis cultivation facility, cannabis production facility, cannabis distributor, [, cannabis consumption lounge,](#) and cannabis sales facility: Requirement to ensure the cleanliness of employees and volunteers. Each cannabis cultivation facility, cannabis production facility, cannabis distributor, [, cannabis consumption lounge,](#) and cannabis sales facility shall ensure that:

1. Each cannabis establishment agent who is employed by or volunteers at the cannabis establishment and who is engaged in cultivating, manufacturing, processing, packaging, or holding cannabis or cannabis products wears clean clothing appropriate for the duties he or she performs;
2. Protective apparel, such as head, face, hand, and arm coverings, are worn as necessary to protect cannabis or cannabis products from contamination; and
3. Each cannabis establishment agent who is employed by or volunteers at the cannabis establishment practices good sanitation and health habits.

10.050 Cannabis cultivation facility, cannabis production facility, cannabis distributor, [, cannabis consumption lounge,](#) and cannabis sales facility: Restrictions on salvaging cannabis and cannabis products; maintenance of records.

1. Each cannabis cultivation facility, cannabis production facility, cannabis distributor, [, cannabis consumption lounge,](#) and cannabis sales facility shall ensure that cannabis or cannabis products that have been subjected to improper storage conditions, including, without limitation, extremes in temperature, humidity, smoke, fumes, pressure, age or radiation due to natural disasters, fires, accidents or equipment failures, are not salvaged and returned to the marketplace.
2. Whenever it is unclear whether cannabis or cannabis products have been subjected to the conditions described in subsection 1, a cannabis cultivation facility, cannabis production facility, [, cannabis consumption lounge,](#) or cannabis sales facility may conduct salvaging operations only if:
 - (a) The cannabis or cannabis products are salvaged for use only for the purpose of extraction;
 - (b) Evidence from tests and assays performed by a cannabis testing facility indicates that the cannabis or cannabis products meet all applicable standards of quality and purity; and
 - (c) Evidence from inspection of the premises indicates that the cannabis or cannabis products and their associated packaging were not subjected to improper storage conditions as a result of the disaster or accident if any.
3. A cannabis cultivation facility, cannabis production facility, [, cannabis consumption lounge,](#) and cannabis sales facility must maintain records, including, without limitation, the name, lot number, production run number, and disposition for cannabis or cannabis products salvaged pursuant to subsection 2.

10.055 Cannabis establishment: Requirements for building used to manufacture, process, package, or hold cannabis.

1. Each cannabis establishment shall ensure that any building used to manufacture, process, package, or hold cannabis or cannabis products:

- (a) Is of suitable size, construction and location to facilitate cleaning, maintenance, and proper operations;
- (b) Has adequate space for the orderly placement of equipment and materials to prevent miscalculation or misuse of any component in any step of the manufacture, control, packaging, labeling, or distribution of cannabis or cannabis products between different components, product containers, closures, labels, in-process materials, and cannabis or cannabis products and to prevent contamination; and
- (c) Contains interior surfaces in the actual production area which are not constructed of bare, wood product unless:
 - (1) The bare, painted, or coated wood is within a building used only as a cannabis sales facility, and all cannabis or cannabis products are packaged or protected at all times; or;
 - (2) The wood is sealed and coated with an epoxy or epoxy paint which renders the surface:
 - (I) Safe;
 - (II) Durable, corrosion-resistant, nonporous, and nonabsorbent;
 - (III) Finished to have a smooth, easily cleanable surface; and
 - (IV) Resistant to pitting, chipping, crazing, scratching, scoring, distortion and decomposition.

2. Each cannabis establishment shall ensure that:

- (a) The flow of components, product containers, closures, labels, in-process materials, and cannabis, and cannabis products through any building used to manufacture, process, package, or hold cannabis or cannabis products is designed to prevent contamination;
- (b) The operations of the cannabis establishment are performed within specifically defined areas of adequate size;
- (c) All items are stored at least 6 inches (15 cm) off the floor or;
 - (I) Stored items are in plastic, metal, or other sealed bins
- (d) All access points to outside areas are sealed, including, without limitation, by use of door sweeps; and
- (e) There are separate or defined areas or such other control systems for the operations of the cannabis establishment as are necessary to prevent contamination or miscalculation or misuse of any component in any step of the manufacture, control, packaging, labeling, or distribution of cannabis or cannabis products during the course of the following procedures:
 - (1) Receipt, identification, storage, and withholding from use of components, product containers, closures, and labels, pending the appropriate sampling, testing, or examination by the quality control unit before release for manufacturing, processing, or packaging;
 - (2) Holding rejected components, product containers, closures, and labels before disposition;
 - (3) Storage of released components, product containers, closures, and labels;
 - (4) Storage of in-process materials;
 - (5) Processing operations;
 - (6) Packaging and labeling operations;
 - (7) Quarantine storage before the release of cannabis or cannabis products;
 - (8) Storage of cannabis or cannabis products after release;

- (9) Cannabis testing facility operations; and
- (10) Sanitary processing, which includes as appropriate:
 - (I) Floors, walls, and ceilings made of smooth, hard surfaces that are easily cleanable;
 - (II) Temperature and humidity controls;
 - (III) An air supply filtered through high-efficiency particulate air filters under positive pressure;
 - (IV) A system for monitoring environmental conditions;
 - (V) A system for cleaning and sanitizing rooms and equipment; and
 - (VI) A system for maintaining any equipment used to control sanitary conditions.

10.060 Cannabis establishment: Requirement to maintain building used to manufacture, process, package, or hold cannabis in a good state of repair. Each cannabis establishment shall ensure that any building used to manufacture, process, package, or hold cannabis or cannabis products is maintained in a good state of repair.

10.065 Cannabis establishment: Requirements for water, plumbing and drains in buildings used to manufacture, process, package, or hold cannabis. Each cannabis establishment shall ensure that:

1. Any building used to manufacture, process, package, or hold cannabis or cannabis products supplies potable water under continuous positive pressure in a plumbing system free of defects that could contribute to the contamination of any cannabis or cannabis products. Potable water must meet the standards prescribed in the Primary Drinking Water Regulations, 40 C.F.R. Part 141. Water not meeting such standards is not permitted in the potable water system.
2. Drains are of adequate size and, where connected directly to a sewer, are provided with an air break or other mechanical device to prevent back-siphonage.

10.070 Cannabis establishment: Adequate lighting.

1. Each cannabis establishment shall ensure that adequate lighting is provided in all areas of the cannabis establishment.
2. If it is necessary for a cannabis establishment to have dim or no lighting in a certain area of the cannabis establishment for a specific reason, the cannabis establishment must have a written policy that specifies:
 - (a) The area needing dim or no lighting; and
 - (b) The reason the area needs dim or no lighting.

10.075 Cannabis establishment: Establishment of and adherence to written procedures for sanitation; requirement to retain a person who is a certified applicator of pesticides.

1. Each cannabis establishment shall ensure that it has written procedures:
 - (a) Assigning responsibility for sanitation and describing in sufficient detail the cleaning schedules, methods, equipment and materials to be used in cleaning the buildings and facilities of the cannabis establishment; and
 - (b) For the use of appropriate rodenticides, insecticides, fungicides, fumigating agents, and cleaning and sanitizing agents by the cannabis establishment.

2. Each cannabis establishment shall ensure that the written procedures described in subsection 1 are followed. A copy of these procedures shall be provided promptly to the Board or Department agents upon request.
3. All sanitation procedures of a cannabis establishment apply to work performed by contractors or temporary cannabis establishment agents for the cannabis establishment as well as work performed by full-time cannabis establishment agents during the ordinary course of operations.
4. Each cannabis cultivation facility shall retain or contract with at least one person who is a certified applicator, as defined in NRS 555.2618, who is authorized to use pesticides for:
 - (a) If the cannabis cultivation facility engages in the cultivation of cannabis indoors, greenhouse, and nursery pest control pursuant to subparagraph (2) of paragraph (c) of subsection 1 of NAC 555.640; and
 - (b) If the cannabis cultivation facility engages in the cultivation of cannabis outdoors, agricultural pest control of animals or plants pursuant to paragraph (a) or (b) of subsection 1 of NAC 555.640.

10.080 Cannabis establishment: Storage, management, and disposal of waste.

1. Except as otherwise provided in subsection 2, a cannabis establishment shall:
 - (a) Store, manage and dispose of all solid and liquid waste and wastewater generated during the processing of cannabis or production of cannabis products in accordance with all applicable state and local laws and regulations; and
 - (b) Render waste containing cannabis is unusable before the waste leaves the cannabis establishment. Such waste includes, without limitation:
 - (1) Waste from cannabis plants, including, without limitation, roots, stalks, leaves, stems, flower, trim or solid plant material, and any plant material used to create an extract;
 - (2) Solvents used in the processing of cannabis or extraction of concentrated cannabis;
 - (3) Any plant material or solvents discarded as a result of quality assurance testing or any other testing performed by a cannabis testing facility; and
 - (4) Any other waste as determined by the Board.
2. A cannabis distributor or cannabis sales facility may return a cannabis product to a cannabis cultivation facility or cannabis production facility to be rendered unusable.
3. Unless another method approved by the Board is used, waste containing cannabis must be rendered unusable by grinding and incorporating the waste with:
 - (a) For disposal using an organic method other than composting, the following kinds of compostable mixed waste:
 - (1) Food waste;
 - (2) Yard waste;
 - (3) Soil; or
 - (4) Other waste as approved by the Board; or
 - (b) For disposal in a landfill or other method not described in paragraph (a), the following kinds of non-compostable mixed waste:
 - (1) Paper waste;
 - (2) Cardboard waste;
 - (3) Plastic waste; or
 - (4) Other waste as approved by the Board.

The amount of waste containing cannabis in the resulting mixture must be less than 50 percent by volume. Such waste must not be disposed of by composting.

4. A cannabis establishment shall provide notice to the Board and Department using the seed-to-sale tracking system before rendering unusable and disposing of cannabis or cannabis products.

REGULATION 11

CANNABIS INDEPENDENT TESTING LABORATORY

11.005	General moratorium on Cannabis Testing Laboratory Licenses.
11.010	Testing to be required
11.015	Requirements for testing laboratories to handle, test or analyze cannabis.
11.020	Agreement to become accredited within 1 year after licensure; provision of annual inspection report to Department; inspection by accrediting organization is not substitute for inspection by Department.
11.050	Required quality assurance tests; submission of cannabis for testing.
11.055	Performance of potency analysis or terpene analysis.
11.060	Performance of testing to verify homogeneity of potency of edible cannabis products.
11.065	Use of approved pesticides by cannabis establishment; performance of pesticide residue analysis by testing laboratory.
11.070	Testing: Selection of representative samples and random samples; segregation period for entire lot; duties of testing laboratory; disposal of lot if sample fails test; release of lot if sample passes test; filing of electronic copy of certificate of analysis for tests performed by testing laboratory; grounds for disciplinary action for failure to comply.

11.075	Testing: Authorized use of cannabis upon failure of screening; automatic failure to pass; request for retest; retest for pesticide residue must be performed by separate independent testing lab; effect of passing or failing retest.
11.080	Collection and testing of random samples from cannabis establishments for comparison with results reported by testing facilities.
11.085	Random quality assurance compliance checks; costs for screening or testing.

11.005 General moratorium on Cannabis Testing Laboratory Licenses.

The Board and/or Department shall not issue or allow to be issued any license for a cannabis testing laboratory on Tribal Land except for the following instances;

1. A laboratory to perform only research and development tests (no such tests will be permitted to replace all presale required testing of Cannabis products);
2. Any Federally regulated Laboratory;
3. Any Federally regulated Laboratory that meets the Tribal regulations required for independent, third party testing laboratories; or
4. Any State of Nevada licensed independent testing laboratory in good standing with the State of Nevada Cannabis Compliance Board.

REQUIREMENTS FOR ALL NON TRIBAL TESTING LABORATORY AUTHORIZED TO TEST AND CERTIFY TRIBAL CANNABIS PRODUCTS.

11.010 Testing to be required before the sale of any Cannabis a cannabis facility is required to pass a full panel testing. Full panel testing as defined in 11.050 of this code unless:

1. The cannabis was purchased for resale and has not been changed, modified or altered in any way between the purchase and subsequent sale and;
 - a. The purchased products had completed the required tribal full panel testing, or if purchased from a state licensed cannabis establishment, the purchased product has completed all State of Nevada required testing.
2. The cannabis used in the production of a new product has already completed testing and passed a full panel the new product will;
 - a. only require microbial, water activity, terpenes, cannabinoids testing.

11.015 Requirements for testing laboratories to handle, test or analyze cannabis.

1. A cannabis independent testing laboratory shall not handle, test or analyze cannabis unless:
 - (a) The cannabis independent testing laboratory has been issued a license from the Department, and if necessary from the Board;
 - (b) The cannabis independent testing laboratory is independent from all other persons involved in the cannabis industry in Indian Country; and
 - (c) No person with a direct or indirect interest in the cannabis independent testing laboratory has a direct or indirect financial interest or joint interest in a Tribal Owned cannabis establishment:
 - (1) A cannabis sales facility;
 - (2) A cannabis production facility;
 - (3) A cannabis cultivation facility;
 - (4) A cannabis distributor;
 - (5) A provider of health care who provides or has provided written documentation for the issuance of registry identification cards or letters of approval; or
 - (6) Any other entity that may benefit from the cultivation, manufacture, dispensing, sale, purchase or use of cannabis or cannabis products; or
 - (7) [A cannabis consumption lounge.](#)
 - (d) A Native American Tribe is exempt from Subsection 1(c) if;
 - (1) The tribal government is a member of the third party oversight program ITCC for compliance and oversight.

- (2) Holds the interest for the members of the tribe and not an individual and the establishment is fully tribal owned,
2. A cannabis independent testing laboratory shall implement business practices that are structured and managed so as to safeguard impartiality in testing including:
 - (a) A testing laboratory may not offer a different fee schedule or waive payment in the event of failing or otherwise undesirable test results; and
 - (b) Refunds, rebates or any other return of payment in the form of alternate compensation is not permitted for the reason of failing or otherwise undesirable test results.
3. A cannabis independent testing laboratory is not required to use a cannabis distributor to collect or move samples for testing.

11.050 Required quality assurance tests; submission of cannabis for testing.

1. Each cannabis independent testing laboratory must use the sampling protocols and the general body of required quality assurance tests for usable cannabis, as received, concentrated cannabis and cannabis products set forth in this section. Such tests may include moisture content, potency analysis, foreign matter inspection, microbial screening, pesticide and other chemical residue and metals screening and residual solvents levels. A cannabis independent testing laboratory may request permission from the appropriate Department Agent to obtain additional sample material for the purposes of completing required quality assurance tests but may not use such material for the purposes of resampling or repeating quality assurance tests. A cannabis independent testing laboratory may retrieve samples from the premises of another cannabis establishment and transport the samples directly to the cannabis independent testing laboratory. A cannabis independent testing laboratory transporting samples may make multiple stops if:
 - (a) Each stop is for the sole purpose of retrieving a sample from a cannabis establishment; and
 - (b) All samples remain secured at all times.
2. The tests required pursuant to subsection 1 by a cannabis independent testing laboratory are as follows:

Product	Tests Required	Action Levels
Usable cannabis, infused pre-rolls and crude collected resins, as received, excluding wet cannabis	1. Moisture content 2. Potency analysis 3. Terpene analysis 4. Foreign matter inspection 5. Mycotoxin screening 6. Heavy metal screening 7. Pesticide residue analysis 8. Herbicide screening 9. Growth regulator screening 10. Total yeast and mold 11. Total Enterobacteriaceae 12. Salmonella 13. Pathogenic E. coli	1. < 15% 2. N/A 3. N/A 4. None detected 5. < 20 µg/kg for the total of Aflatoxins B1, B2, G1 and G2 combined and < 20 µg/kg for Ochratoxin A 6. Arsenic: < 2 ppm Cadmium: < 0.82 ppm Lead: < 1.2 ppm Mercury: < 0.4 ppm 7. See NAC 555.640

Product	Tests Required	Action Levels
	14. <i>Aspergillus fumigatus</i> 15. <i>Aspergillus flavus</i> 16. <i>Aspergillus terreus</i> 17. <i>Aspergillus niger</i> 18. Total coliform	8. See NAC 555.640 9. See NAC 555.640 10. < 10,000 colony forming units per gram 11. < 1,000 colony forming units per gram 12. None detected per gram 13. None detected per gram 14. None detected per gram 15. None detected per gram 16. None detected per gram 17. None detected per gram 18. < 1,000 colony forming units per gram
Wet cannabis, as received, which is destined for extraction	1. Moisture content 2. Potency analysis 3. Terpene analysis 4. Foreign matter inspection 5. Mycotoxin screening 6. Heavy metal screening 7. Pesticide residue analysis 8. Herbicide screening 9. Growth regulator screening 10. Total yeast and mold 11. Total Enterobacteriaceae 12. Salmonella 13. Pathogenic <i>E. coli</i> 14. <i>Aspergillus fumigatus</i> 15. <i>Aspergillus flavus</i> 16. <i>Aspergillus terreus</i> 17. <i>Aspergillus niger</i> 18. Total coliform	1. N/A 2. N/A 3. None detected 4. < 20 µg/kg for the total of Aflatoxins B1, B2, G1 and G2 combined and < 20 µg/kg for Ochratoxin A 5. Arsenic: < 2 ppm Cadmium: < 0.82 ppm Lead: < 1.2 ppm Mercury: < 0.4 ppm 6. See TCCR 11.065 7. See TCCR 11.065 8. See TCCR 11.065 9. < 10,000 colony forming units per gram 10. < 1,000 colony forming units per gram 11. None detected per gram 12. None detected per gram 13. None detected per gram 14. None detected per gram 15. None detected per gram 16. None detected per gram 17. < 1,000 colony forming units per gram

Product	Tests Required	Action Levels
Extract of cannabis (nonsolvent) like hashish, bubble hash, infused dairy butter, mixtures of extracted products or oils or fats derived from natural sources, including concentrated cannabis extracted with ethanol or CO ₂	<ol style="list-style-type: none"> 1. Moisture content 2. Potency analysis 3. Terpene analysis 4. Foreign matter inspection 5. Mycotoxin screening 6. Heavy metal screening 7. Pesticide residue analysis 8. Herbicide screening 9. Growth regulator screening 10. Total yeast and mold 11. Total Enterobacteriaceae 12. Salmonella 13. Pathogenic E. coli 14. Aspergillus fumigatus 15. Aspergillus flavus 16. Aspergillus terreus 17. Aspergillus niger 18. Total coliform 	<ol style="list-style-type: none"> 1. N/A 2. None detected 3. < 20 µg/kg for the total of Aflatoxins B1, B2, G1 and G2 combined and < 20 µg/kg for Ochratoxin A 4. Arsenic: < 2 ppm Cadmium: < 0.82 ppm Lead: < 1.2 ppm Mercury: < 0.4 ppm 5. See TCCR 11.065 6. < 1,000 colony forming units per gram 7. < 100 colony forming units per gram 8. None detected per gram 9. None detected per gram 10. None detected per gram 11. None detected per gram 12. None detected per gram 13. None detected per gram

Product	Tests Required	Action Levels
Extract of cannabis (solvent-based) made with any approved solvent, including concentrated cannabis extracted by means other than with ethanol or CO2	<ol style="list-style-type: none"> 1. Moisture content 2. Potency analysis 3. Terpene analysis 4. Foreign matter inspection 5. Mycotoxin screening 6. Heavy metal screening 7. Pesticide residue analysis 8. Herbicide screening 9. Growth regulator screening 10. Total yeast and mold 11. Total Enterobacteriaceae 12. Salmonella 13. Pathogenic E. coli 14. Aspergillus fumigatus 15. Aspergillus flavus 16. Aspergillus terreus 17. Aspergillus niger 18. Total coliform 	<ol style="list-style-type: none"> 1. N/A 2. None detected 3. < 500 ppm 4. < 20 µg/kg for the total of Aflatoxins B1, B2, G1 and G2 combined and < 20 µg/kg for Ochratoxin A 5. Arsenic: < 2 ppm Cadmium: < 0.82 ppm Lead: < 1.2 ppm Mercury: < 0.4 ppm 6. See TCCR 11.065 7. < 1,000 colony forming units per gram 8. < 100 colony forming units per gram 9. None detected per gram 10. None detected per gram 11. None detected per gram 12. None detected per gram 13. None detected per gram None detected per gram

Product	Tests Required	Action Levels
Edible cannabis product, including a product which contains concentrated cannabis for which the concentrated cannabis has not been previously tested.	<ol style="list-style-type: none"> 1. Moisture content 2. Potency analysis 3. Terpene analysis 4. Foreign matter inspection 5. Mycotoxin screening 6. Heavy metal screening 7. Pesticide residue analysis 8. Herbicide screening 9. Growth regulator screening 10. Total yeast and mold 11. Total Enterobacteriaceae 12. Salmonella 13. Pathogenic E. coli 14. Aspergillus fumigatus 15. Aspergillus flavus 16. Aspergillus terreus 17. Aspergillus niger 18. Total coliform 	<ol style="list-style-type: none"> 1. N/A 2. None detected 3. < 1,000 colony forming units per gram 4. None detected per gram 5. None detected per gram 6. < 100,000 colony forming units per gram 7. Water activity < 0.86 or pH < 4.6
Liquid cannabis product, including, without limitation, soda or tonic, including a product which contains concentrated cannabis for which the concentrated cannabis has not been previously tested.	<ol style="list-style-type: none"> 1. Moisture content 2. Potency analysis 3. Terpene analysis 4. Foreign matter inspection 5. Mycotoxin screening 6. Heavy metal screening 7. Pesticide residue analysis 8. Herbicide screening 9. Growth regulator screening 10. Total yeast and mold 11. Total Enterobacteriaceae 12. Salmonella 13. Pathogenic E. coli 14. Aspergillus fumigatus 15. Aspergillus flavus 16. Aspergillus terreus 17. Aspergillus niger 18. Total coliform 	<ol style="list-style-type: none"> 1. N/A 2. None detected 3. < 1,000 colony forming units per gram 4. None detected per gram 5. None detected per gram 6. < 100,000 colony forming units per gram 7. Water activity < 0.86 or pH < 4.6
Topical cannabis product, including a product which contains concentrated cannabis for which the concentrated cannabis has not been previously tested.	<ol style="list-style-type: none"> 1. Potency analysis 	<ol style="list-style-type: none"> 1. N/A

3. A sample of usable cannabis must be at least 8 grams. A sample of a production run must be less than 1 percent of the total product weight of the production run or 25 units of product, but not less than 5 grams of the production run. Before testing, all samples must be homogenized by the testing laboratory and/or use a homogenization process which has been approved by the appropriate Department Agent and in a manner that prevents contamination of test samples or analytical portions.
4. The analytical portion that is used for the purposes of any microbial test must be a minimum of one gram, unless otherwise approved by the Department.
5. A cannabis establishment shall not submit wet cannabis to a cannabis independent testing laboratory for testing unless the wet cannabis is destined for extraction and weighed within 8 hours after harvest. The plant must not undergo any further processing, including, without limitation, drying the plant and subsequently selling separately the cannabis bud and cannabis trim from the plant, before being weighed.
6. As used in this section, “as received” means the unaltered state in which a sample was collected, without any processing or conditioning, which accounts for all mass, including moisture content. A cannabis independent testing laboratory shall not report the results of usable cannabis on a dry weight basis.
7. A cannabis independent testing laboratory shall provide the final certificate of analysis to the Department and to the cannabis establishment from which the sample was collected within 2 business days after obtaining the results.
8. The certificate of analysis shall include a photo of the product, as received.

11.055 Performance of potency analysis or terpene analysis.

1. When performing potency analysis or terpene analysis, a cannabis independent testing laboratory shall test for and accurately quantify the presence of the following:
 - (a) Cannabinoids:
 - (1) THC;
 - (2) Tetrahydrocannabinolic acid;
 - (3) CBD;
 - (4) Cannabidiolic acid; and
 - (5) Cannabinol; and
 - (b) Terpenoids:
 - (1) Alpha-bisabolol;
 - (2) Alpha-humulene;
 - (3) Alpha-pinene;
 - (4) Terpinolene;
 - (5) Beta-caryophyllene;
 - (6) Beta-myrcene;
 - (7) Beta-pinene;
 - (8) Caryophyllene oxide;
 - (9) Limonene; and
 - (10) Linalool.

11.060 Performance of testing to verify homogeneity of potency of edible cannabis products.

1. Except as otherwise provided in subsection 2, a cannabis independent testing laboratory shall perform testing to verify the homogeneity of the potency of an edible cannabis product by testing multiple samples from a single production run.
2. A cannabis independent testing laboratory that tests an edible cannabis product which has previously had the homogeneity of the potency of the edible cannabis product verified by a cannabis independent testing laboratory and which has not undergone a change in recipe may verify the homogeneity of the edible cannabis product by testing one or more single units or servings from a production run of the edible cannabis product.
3. The cannabis independent testing laboratory will verify the homogeneity of the potency of the edible cannabis product only if:
 - (a) The concentration of THC and weight of each sample is within 15 percent above or below the intended concentration of THC and weight; and
 - (b) No combination of samples which comprise 10 percent or less of the cannabis product contain 20 percent or more of the total THC in the cannabis product.

11.065 Use of approved pesticides by cannabis establishment; performance of pesticide residue analysis by testing laboratory.

1. A cannabis establishment shall only use a pesticide in the cultivation or production of cannabis or cannabis products if the pesticide appears on the list of pesticides published by the State Department of Agriculture pursuant to NRS 586.550.
2. When performing pesticide residue analysis pursuant to TCCR 11.050, a cannabis independent testing laboratory shall analyze for the pesticides which occur on the list of pesticides published by the State Department of Agriculture pursuant to NRS 586.550 at the detection levels specified by the State Department of Agriculture and for any other substances required by the Department. If:
 - (a) A pesticide which occurs on the list of pesticides published by the State Department of Agriculture pursuant to NRS 586.550 is detected at a level which exceeds the level specified by the State Department of Agriculture; or
 - (b) A pesticide which does not occur on the list of pesticides published by the State Department of Agriculture pursuant to NRS 586.550 is detected in any amount which is positively verified; or
 - (c) If any part of the harvest batch fails for residue from spraying the batch must have two follow up pesticide tests, randomly selected by the testing lab to better homogenize the sample, and pesticide is detected, the pesticide residue analysis is failed.

11.070 Testing: Selection of representative samples and random samples; segregation period for entire lot; duties of testing laboratory; disposal of lot if sample fails test; release of lot if sample passes test; filing of electronic copy of certificate of analysis for tests performed by testing laboratory; grounds for disciplinary action for failure to comply.

1. Immediately before packaging:
 - (a) Usable cannabis for sale to a cannabis sales facility, cannabis consumption lounge, cannabis production facility or another cannabis cultivation facility, a cannabis cultivation facility shall segregate all harvested cannabis into homogenized lots of flower and trim, respectively, and allow a cannabis independent testing laboratory, an approved establishment agent, or approved independent testing transportation agent to select a homogenous representative sample for testing from each lot the cannabis cultivation facility has segregated. The cannabis testing laboratory which performs the test, an

approved establishment agent, or an approved independent testing transportation agent that has completed the required training and is certified by the Department, must collect the samples. Any establishment agent may draw samples for the purposes of a Department approved research and development test.

(b) If the cannabis cultivation facility has segregated the lot of harvested cannabis into packages or container sizes smaller than the entire lot, the cannabis cultivation facility must present all packages comprising the lot to the testing sampling agent, and the testing sampling agent must sample and test each package containing harvested cannabis from the lot.

(c) Concentrated cannabis or cannabis products, a cannabis production facility shall allow the cannabis testing laboratory which performs the test, an approved establishment agent, or an approved independent testing transportation agent that has completed the required training and is certified by the Department, to select a random sample from each lot or production run for testing by the cannabis independent testing laboratory. The cannabis independent testing laboratory performing the testing must collect the samples.

(d) The agent selecting a sample shall seal the sample within the package to ensure sample integrity. The sample shall be collected in a tamper resistant package or in a package that is sealed with tamper resistant tape immediately after the sample is placed in the package.

(d) The cannabis independent testing laboratory shall ensure the seed-to-sale identification tag is affixed to the sample package. The batch, lot or production run number and the weight or quantity of the sample shall be documented on the sample package and on the chain of custody.

2. A cannabis independent testing laboratory that collects a sample pursuant to this section shall test the sample as provided in TCCR 11.050.

3. From the time that a lot or production run has been homogenized for sample testing and eventual packaging and sale to a cannabis sales facility, cannabis production facility or, if applicable, another cannabis cultivation facility, the cannabis establishment which provided the sample shall segregate and withhold from use the entire lot or production run, except the samples that have been removed by the cannabis independent testing laboratory for testing, until the cannabis independent testing laboratory provides the certificate of analysis from its tests and analysis. During this period of segregation, the cannabis establishment which provided the sample shall maintain the lot or production run in a secure, clearly designated, cool and dry location so as to prevent the cannabis from becoming contaminated or losing its efficacy. Under no circumstances shall the cannabis establishment which provided the sample sell the cannabis or cannabis products, as applicable, to a cannabis sales facility, cannabis production facility or, if applicable, another cannabis cultivation facility before the time that the cannabis independent testing laboratory has completed its testing and analysis and provided the certificate of analysis to the cannabis establishment which provided the sample.

4. Except as otherwise provided in subsection 5, a cannabis independent testing laboratory shall immediately return or dispose of any sample received pursuant to this section upon the completion of any testing, use or research. If a cannabis independent testing laboratory disposes of a sample received pursuant to this section, the cannabis independent testing laboratory shall document the disposal of the sample using its seed-to-sale tracking system pursuant to TCCR 6.080 and 6.082.

5. A cannabis independent testing laboratory shall keep any sample which fails testing, or which is collected by the Department for confirmation testing for 30 days after failure or collection. A sample which is kept pursuant to this subsection must be stored in a manner approved by the appropriate Department Agent. A cannabis independent testing laboratory shall dispose of a sample kept pursuant to this subsection after 30 days have elapsed after failure or collection.

6. Except as otherwise provided in TCCR 11.075, if a sample provided to a cannabis independent testing laboratory pursuant to this section does not pass the testing required by TCCR 11.050, the cannabis establishment which provided the sample shall dispose of the entire lot or production run from which the sample was taken and document the disposal of the sample using its inventory control system pursuant to TCCR 6.080 and 6.082.

7. If a sample provided to a cannabis independent testing laboratory pursuant to this section passes the testing required by TCCR 11.050, the cannabis independent testing laboratory shall release the entire lot or production run for immediate manufacturing, packaging and labeling for sale to a cannabis sales facility, a cannabis production facility or, if applicable, another cannabis cultivation facility.

8. A cannabis establishment shall not use more than one cannabis independent testing laboratory to test the same lot or production run of cannabis without the approval of the appropriate Department Agent.

9. A cannabis independent testing laboratory shall file with the Department, in a manner prescribed by the Department, an electronic copy of the certificate of analysis for all tests performed by the cannabis independent testing laboratory, regardless of the outcome of the test, including all testing required by TCCR 11.050 to 11.065, inclusive, at the same time that it transmits those results to the facility which provided the sample. The cannabis independent testing laboratory shall transmit an electronic copy of the certificate of analysis for each test to the Board by electronic mail. The test results may also be transmitted to the State Cannabis Compliance Board at:

(a) If the test was passed, passedtesting@tmedn.com; or

(b) If the test was failed, failedtesting@tmedn.com.

10. An electronic mail message transmitted pursuant to subsection 9 must be formatted as follows:

(a) The subject line of the electronic mail message must be the name of the cannabis establishment from which the sample was collected.

(b) The name of the electronic file containing the certificate of analysis must be:

(1) Except as otherwise provided in subparagraph (2) or (3), the Facility ID assigned by the Department to the cannabis independent testing laboratory, followed by an underscore, followed by the four-digit identifier assigned by the Department to the cannabis establishment from which the sample was collected, followed by an underscore, followed by:

(I) If the sample was from a production run, the production run number; or

(II) If the sample was not from a production run, the batch number, followed by an underscore, followed by the lot number.

(2) If the certificate of analysis is from a retesting of a previously failed sample, an underscore followed by the word “Retest” must be appended to the end of the name of the electronic file.

(3) If the certificate of analysis has been amended, an underscore followed by the word “Amended” must be appended to the end of the name of the electronic file.

- (c) If the certificate of analysis has been amended, the electronic copy of the certificate of analysis must state “Amended” in 20-point bold red font at the center of the top of the first page of the report and must contain a statement of the reason for the amendment that clearly and completely describes the change in 10-point font.
11. The Department will take immediate disciplinary action against any cannabis establishment which fails to comply with the provisions of this section or falsified records related to this section, including, without limitation, revoking the license of the cannabis establishment.
12. A cannabis independent testing laboratory may subcontract its testing of cannabis or cannabis products only to another cannabis independent testing laboratory.
13. The Department may publish on their website all Certificates of Analysis issued to them in the preceding time.

11.075 Testing: Authorized use of cannabis upon failure of screening; automatic failure to pass; request for retest; retest for pesticide residue must be performed by a separate independent testing laboratory; effect of passing or failing retest..

1. Upon approval of the appropriate Department Agent, a lot or production run of cannabis that fails a residual solvents, pH, water activity (aw), homogeneity, heavy metal, or microbial screening test may be remediated or used to make an extract. After processing, the remediated lot or extract must pass all required quality assurance tests before being sold.
2. If a sample from a cannabis production facility fails a quality assurance test, the entire production run from which the sample was taken automatically fails the quality assurance test.
3. At the request of a cannabis cultivation facility or a cannabis production facility, the appropriate Department Agent, on a case-by-case basis, authorizes a retest to validate the results of a failed test. The cannabis cultivation facility or cannabis production facility is responsible for all costs involved in a retest performed pursuant to this section.
4. A cannabis cultivation facility or a cannabis production facility must request a retest pursuant to this section after the lot or production run has undergone any type of remediation since the time samples were initially taken for testing.
5. A cannabis cultivation facility or a cannabis production facility shall submit a request for retesting to the appropriate Department Agent in writing and on a form designated by the Department.
6. If the appropriate Department Agent grants a request for retesting, the Agent will select the cannabis independent testing laboratory that will perform the retest.
7. Except as otherwise provided in this subsection, a cannabis cultivation facility or a cannabis production facility may submit a request for retesting of not more than 50 lots or production runs each calendar year. For any subsequent failure of a quality assurance test in a calendar year, the facility shall request permission from the Department for an additional 50 tests, destroy the lot or the entire production run, or request to send the lot or production run to extraction or remediation. The Department may extend authority to the appointed designee of the Department to approve such requests. If the additional 50 retests are approved, a cannabis cultivation facility or a cannabis production facility must obtain the results of two retests in the category which failed, from two different cannabis independent testing laboratories. For the retested lot or production run to be approved for sale, both retests must provide passing results. If both retests provide passing results, the certificate of analysis with the higher quantifiable results will be recorded. If it is not clear which certificate has higher results, the appropriate agent will select the one to be recorded. A lot

which only fails a quality assurance test for moisture content must not be counted for the purpose of this subsection.

(a) To request permission from the Department for an additional 50 tests, a cannabis cultivation facility or a cannabis production facility must file a petition with the Department which must include the following:

- (1) Request for the additional 50 tests;
- (2) List the prior 50 lots or production runs that failed, what they failed for, and which cannabis independent testing laboratory performed the test; and
- (3) List whether the prior 50 lots or production runs passed pursuant to a retest, and which cannabis independent testing laboratories performed the retests.

8. A failed quality assurance test for pesticide residue must be retested by a different testing laboratory than the laboratory that did the original test, or, the State Department of Agriculture unless otherwise approved by the Department or appropriate Agent. A failed quality assurance test for spray on pesticide residue requires the harvest batch as a whole to receive two random tests by two laboratories of at least 7 grams of homogenized samples.

9. If a sample passes the same quality assurance test upon retesting, the cannabis cultivation facility or cannabis production facility need not destroy the lot or production run and may sell the lot or production run to a cannabis cultivation facility, cannabis sales facility or cannabis production facility, as applicable.

10. If a sample fails the same quality assurance test upon retesting, the Department Agent denies a request for retesting or a cannabis cultivation facility or a cannabis production facility does not request retesting after a sample fails a quality assurance test, the facility shall destroy the entire lot or production run from which the sample was taken as well as re-test any other products from that harvest batch before sale.

11.080 Collection and testing of random samples from cannabis establishments for comparison with results reported by testing facilities. At the request of the Department, a testing laboratory chosen by the Department may collect and test random samples from cannabis establishments and compare the results of its testing to the results reported by cannabis testing facilities.

11.085 Random quality assurance compliance checks; costs for screening or testing.

1. Upon the request of the Department, a cannabis facility must provide a cannabis independent testing laboratory designated by the Department with a sample of cannabis or a cannabis product in an amount determined by the cannabis independent testing laboratory to be sufficient for random quality assurance compliance checks in a secure manner such that the cannabis independent testing laboratory can confirm that it has received and is testing the correct sample.

2. The cannabis independent testing laboratory that receives a sample pursuant to subsection 1 shall, as directed by the Department:

- (a) Screen the sample for pesticides, chemical residues, herbicides, growth regulators and unsafe levels of metals;
- (b) Perform any other quality assurance test deemed necessary by the Department; and
- (c) Report its results to the Department.

3. The cannabis cultivation facility or cannabis production facility is responsible for all costs involved in screening or testing performed pursuant to this section.

REGULATION 12
PACKAGING AND LABELING OF CANNABIS PRODUCTS

- 12.010 **Requirements for single packages.**
- 12.015 **Requirements for edible cannabis products, products in solid or liquid form, usable cannabis and concentrated cannabis or cannabis products.**
- 12.020 **Stamp or mold required for edible cannabis products; exception.**
- 12.025 **Requirements for labeling products “organic.”**
- 12.030 **Cannabis cultivation facility: Required labeling before sale of cannabis to another cannabis establishment.**
- 12.035 **Cannabis production facility: Required labeling of cannabis products before sale to retail stores.**
- 12.040 **Cannabis sales facility, and cannabis consumption lounge: Required labeling of usable cannabis.**
- 12.045 **Cannabis sales facility, and cannabis consumption lounge: Required labeling of cannabis products.**
- 12.050 **Cannabis sales facility, and cannabis consumption lounge: Required disclosures and warnings.**
- 12.055 **Cannabis cultivation facility, cannabis production facility, cannabis consumption lounge and cannabis sales facility: Required labeling.**
- 12.060 **Cannabis cultivation facility, cannabis production facility, cannabis consumption lounge and cannabis sales facility: Examination of products during finishing operations; collection of representative sample of units; recording of results.**
- 12.065 **Cannabis treated with radiation.**
- 12.070 **Advertising of cannabis.**

12.010 Requirements for single packages.

1. Unless preparing medical cannabis, or bulk packages only for delivery to another cannabis establishment and not for sale to a consumer, a cannabis establishment that packages cannabis or cannabis products must individually package, label and seal the cannabis or cannabis products in a single package for sale. A cannabis sales facility shall only sell retail cannabis or cannabis products in a single package which must not contain:

- (a) More than 1 ounce (28.35 grams) of usable retail cannabis.
- (b) For a retail cannabis product sold as a capsule, more than 100 milligrams of THC per capsule or more than 800 milligrams of THC per package.
- (c) For a retail cannabis product sold as a tincture, more than 1000 milligrams of THC.
- (d) For a retail cannabis product sold as an edible cannabis product, more than 100 milligrams of THC.
- (e) For a retail cannabis product sold as a topical product, a concentration of more than 6 percent THC or more than 1000 milligrams of THC per package.
- (f) For a retail cannabis product sold as a suppository or transdermal patch, more than 100 milligrams of THC per suppository or transdermal patch or more than 1000 milligrams of THC per package.
- (g) For any other retail cannabis product, more than 1000 milligrams of THC.

2. An edible retail cannabis product must be packaged in a manner which indicates the number of servings of THC in the product, measured in servings of a maximum of 10 milligrams of THC per serving, and include a statement that the edible cannabis product contains cannabis and its potency was tested with an allowable variance of plus or minus 15 percent of the allowable limit.

3. For retail cannabis or retail cannabis products that are intended to be sold to a consumer, the text used on all labeling must be printed in at least 8-point font and may not be in italics.

12.015 Requirements for edible cannabis products, products in solid or liquid form, usable cannabis and concentrated cannabis or cannabis products.

1. Any edible product containing cannabis must:

- (a) Be clearly and unambiguously packaged as cannabis with the words “THIS IS A CANNABIS PRODUCT” in bold type that clearly identifies that the product contains cannabis;
- (b) Be packaged in a manner which is not modeled after a brand of products primarily consumed by or marketed to children;
- (c) Be presented in packaging which does not contain an image of a cartoon character, mascot, action figure, balloon or toy, except that such an item may appear in the logo of the cannabis production facility which produced the product; and
- (d) Not be packaged or marketed as candy.

2. When sold at a retail cannabis sales facility, any cannabis or cannabis product must be packaged in opaque, child-resistant packaging in accordance with 16 C.F.R. Part 1700 and the standards specified in subsection 3 or 4. The child-resistant packaging must maintain its effectiveness for multiple openings before leaving the cannabis sales facility with the consumer.

3. Except as otherwise provided in subsection 4, retail cannabis products in solid or liquid form must be packaged in:

- (a) Plastic which is 4 mils or more in thickness; or
- (b) If the product is in liquid form, a food-grade container.

4. Retail edible Cannabis products in liquid form containing more than 10 milligrams of THC must be packaged using a resealable cap in a container that:
 - (a) Clearly demarks each serving of retail cannabis in a way that enables a reasonable person to intuitively determine how much of the product constitutes a single serving of THC; and
 - (b) Includes a device that allows a reasonable person to intuitively measure and serve a single serving of THC.
→ The portion of such a container that denotes each serving of cannabis need not be opaque.
5. Any container or packaging containing usable cannabis, concentrated cannabis, or cannabis products must protect the contents from contamination and must be of food-grade material.
6. An retail edible cannabis product must be sealed in a container that is not transparent and sold in packaging which is opaque.
7. Every single serving in a multiple-serving edible retail cannabis product must be physically demarked in a way that enables a reasonable person to intuitively determine how much of the edible cannabis product constitutes a single serving. Each demarked serving must be easily separable in a manner that allows an average person who is 21 years of age or over to physically separate, with minimal effort, an individual serving of the edible retail cannabis product.
8. If an edible retail cannabis product is of a kind that is impracticable to clearly demark each serving of retail cannabis with the dose in milligrams of THC, the edible cannabis product must:
 - (a) Contain not more than 10 milligrams of THC per unit of sale; or
 - (b) Be sold in a package that contains more than one individually wrapped single-serving edible cannabis product.

12.015 Requirements for packaging of edible retail cannabis products, products in solid or liquid form, usable cannabis, and concentrated cannabis or cannabis products.

1. Any edible retail cannabis product containing cannabis must:
 - (a) Be clearly and unambiguously packaged as cannabis with the words ***“THIS PRODUCT CONTAINS CANNABIS”*** and includes the warning ***“KEEP OUT OF REACH OF CHILDREN”*** in bold type that clearly identifies that the product contains cannabis;
 - (b) Be packaged in a manner which is not modeled after a brand of products primarily consumed by or marketed to children;
 - (c) Be presented in packaging which does not contain an image of a cartoon character, mascot, action figure, balloon or toy, except that such an item may appear in the logo of the cannabis production facility which produced the product;
 - (d) Not be packaged or marketed as candy;
 - (e) Include a Tribal universal cannabis symbol approved by the State to indicate that the product contains cannabis if the product will be sold within the Tribe’s jurisdiction; and
 - (f) The net weight of the product;
 - (g) A list of all ingredients and all major food allergens as identified in 21 U.S.C. § 343; and
 - (h) A notice that the actual amount of THC may be within 15 percent of the stated amount for the edible cannabis product;

2. When sold at a cannabis sales facility, any cannabis or cannabis product must be placed into a package or directly packaged in opaque, child-resistant packaging in accordance with 16 C.F.R. Part 1700 and the standards specified in subsection 3 or 4. The child-resistant packaging must maintain its effectiveness for multiple openings before leaving the cannabis sales facility with the consumer.
3. Except as otherwise provided in subsection 4, cannabis products in solid or liquid form must be packaged in a food-grade material or container.
4. Edible retail cannabis products in liquid form containing more than 10 milligrams THC must be packaged using a resealable cap in a container that:
 - (a) Clearly demarks each serving of cannabis in a way that enables a reasonable person to intuitively determine how much of the product constitutes a single serving of THC; or
 - (b) Includes a device that allows a reasonable person to intuitively measure and serve a single serving of THC.→ The portion of such a container that denotes each serving of cannabis need not be opaque.
5. Any container or packaging containing usable cannabis, concentrated cannabis, or cannabis products must protect the contents from contamination and must be of food-grade material.
6. An edible retail cannabis product must be sealed in a container that is not transparent and sold in packaging which is opaque.
7. Every single serving in a multiple-serving edible retail cannabis product must be physically demarcated in a way that enables a reasonable person to intuitively determine how much of the edible retail cannabis product constitutes a single serving. Each demarcated serving must be easily separable in a manner that allows an average person who is 21 years of age or over to physically separate, with minimal effort, an individual serving of the edible cannabis product.
8. If an edible retail cannabis product is of a kind that is impracticable to clearly demark each serving of cannabis with the dose in milligrams of THC, the edible cannabis product must:
 - (a) Contain no more than 10 milligrams of THC per unit of sale; or
 - (b) Be sold in a package that contains more than one individually wrapped single-serving edible cannabis product.

12.020 Stamp or mold required for edible cannabis products; exception.

1. Except as otherwise provided in subsection 3, each single-serving edible cannabis product and each individual serving to contain not more than 10 milligrams of THC of a multiple-serving edible cannabis product must be stamped or molded with a universal cannabis symbol approved by the Department to indicate that the product contains cannabis if the product will be sold within the Tribe's jurisdiction;
2. An edible cannabis product that is impractical to stamp or mold with a universal cannabis symbol, including, without limitation, bulk goods or powders, each individual serving must be individually wrapped with the Nevada universal cannabis symbol if the product will be sold within the State's jurisdiction, otherwise include a Tribally approved symbol, on the wrapper exemption from stamping or molding product must be requested on a form prescribed by the Board.
3. An edible cannabis product in liquid form which is packaged as required by TCCR 12.015 need not be stamped or molded as described in this section.

12.025 Requirements for labeling products “organic.” A cannabis cultivation facility, cannabis consumption lounge, or cannabis production facility shall not label usable cannabis, concentrated cannabis, or cannabis products as “organic” unless the cannabis plants and all ingredients used are produced, processed, and certified in a manner that is consistent with the national organic standards established by the United States Department of Agriculture in accordance with the Organic Foods Production Act of 1990, 7 U.S.C. §§ 6501 et seq.

12.030 Cannabis cultivation facility: Required labeling before the sale of cannabis to another cannabis establishment.

1. A cannabis cultivation facility shall label all cannabis before it sells the cannabis to another cannabis establishment and shall securely affix to or include with the package a label that includes, without limitation, in legible English:
 - (a) The name of the cannabis establishment and its license number or cannabis establishment ID;
 - (b) If the cannabis establishment is operated by a dual licensee, the number of the medical cannabis establishment license of the cannabis cultivation facility operated by the dual licensee;
 - (c) The batch number;
 - (d) The lot number;
 - (e) The date of final harvest;
 - (f) The date of final testing;
 - (g) The date on which the product was packaged;
 - (h) The cannabinoid profile and potency levels and terpenoid profile of the top three terpenes as determined by the cannabis testing facility, which may include the potential total THC but must not include any other calculated level of THC;
 - (i) The quantity of cannabis being sold;
 - (j) A warning that states: “THIS PRODUCT CONTAINS CANNABIS”; and
 - (k) A warning that states: “Keep out of Reach of Children.”
2. The label required by subsection 1 for a container or package containing usable cannabis sold by a cannabis cultivation facility must be in substantially the following form:

T&M NURSERY
License Number: 123 456 789 001 0001
Medical License Number: 543 210789 000 0100
(if applicable)

THIS PRODUCT CONTAINS CANNABIS
Keep out of reach of Children
Batch Number:
1234
Lot Number:
1234

Final Harvest Date:
01/01/2020

Final Testing Date: 01/15/2020

Packaged on: 01/17/2020

16.7% THC 1.5% CBD 0.3% CBN

Myrcene 5.6 mg/g Limonene 5.1 mg/g Valencene 3.5 mg/g

Net Weight: 2 lbs.

12.035 Cannabis production facility: Required labeling of cannabis products before sale to a retail store.

1. A cannabis production facility shall label all cannabis products before it sells the cannabis products to a cannabis sales facility or another cannabis production facility and shall securely affix to or include with the package a label that includes, without limitation, in legible English and in a manner which must not mislead consumers:

- (a) The name of the cannabis establishment and its license number or cannabis establishment ID;
- (b) If the cannabis establishment is operated by a dual licensee, the number of the medical cannabis establishment license of the facility for the production of cannabis operated by the dual licensee;
- (c) The production run number;
- (d) A warning that states: “Keep out of reach of children.”
- (e) The date of production;
- (f) The cannabinoid profile and potency levels as determined by the cannabis testing facility,
- (g) If the product is an edible cannabis product, the expiration date;
- (h) The total amount of THC in the cannabis product, measured in milligrams;
- (i) The total amount of THC in each serving of the edible cannabis product;
- (j) A list of all ingredients and all major food allergens as identified in 21 U.S.C. § 343;
- (k) The net weight of the product;
- (l) If concentrated cannabis or a cannabis extract was added to the product, disclosure of the type of extraction process used and any solvent, gas, or other chemical used in the extraction process or any other compound added to the concentrated cannabis;
- (m) If the product is an edible cannabis product other than extracts and tinctures, the serving size; and
- (n) A warning that states: “THIS PRODUCT CONTAINS CANNABIS.”

2. The label required by subsection 1 for a container or package containing edible cannabis products sold by a cannabis production facility must be in substantially the following form:

TK's Cannabis Products

License Number: 123 456 789 001 0001

Medical License Number: 543 210789 000 0010
(if applicable)

Production Run Number: 1234

THIS PRODUCT CONTAINS CANNABIS

Keep out of reach of Children

Produced on: 01/01/2020

Best if used by: 03/17/2020 (for edibles only)

Cannabinoid profile:

Total THC content (mg):

THC content per serving +/- 15%: (for edibles only)

Serving size – 1 piece

**This product contains concentrated cannabis
produced with butane.**

Ingredients: Wheat, Sugar, Milk Chocolate

Allergy Warning: Peanuts, Tree Nuts, Eggs, Wheat, Soy

Net Weight: 100mg

12.040 Cannabis sales facility and cannabis consumption lounge: Required labeling of usable cannabis and single-use cannabis product. .

1. A cannabis sales facility and cannabis consumption lounge must affix to, include with, or supply through an electronic medium approved by the appropriate board agent, each container or package containing usable cannabis sold at retail or ready-to consume cannabis product and single-use cannabis product sold at consumption lounges,, if not already included on the container or package, a label which must include, without limitation:

- (a) The business or trade name and the license number of the cannabis cultivation facility that cultivated and sold the usable cannabis;
- (b) If the cannabis cultivation facility is operated by a dual licensee, the number of the medical cannabis establishment license of the cannabis cultivation facility operated by the dual licensee;
- (c) The batch number;
- (d) The lot number;
- (e) The quantity sold, including the net weight measured in ounces and grams or by volume, as appropriate;
- (f) The name and address of the cannabis sales facility or cannabis consumption lounge;

- (g) The cannabinoid profile and potency levels and terpenoid profile as determined by the cannabis independent testing laboratory, which may include the potential total THC but must not include any other calculated level of THC;
 - (h) A warning that states: “This product may have intoxicating effects and may be habit-forming;”
 - (i) The statement: “This product may be unlawful outside of the State of Nevada”;
 - (j) The date on which the cannabis was harvested;
 - (k) A warning that states: “THIS PRODUCT CONTAINS CANNABIS”;
 - (l) A warning that states: “Keep out of Reach of Children”; and
2. The label required by subsection 1 for a container or package containing usable cannabis sold at retail must be in substantially the following form:

We Care Cannabis sales facility or cannabis consumption lounge;

123 Main Street, Carson City, NV 89701

THIS PRODUCT CONTAINS CANNABIS

16.7% THC 1.5% CBD 0.3% CBN

Myrcene 5.6 mg/g Limonene 5.1 mg/g

Valencene 3.5 mg/g

MM's Plant Emporium

License Number: 123 456 789 001 0001

Medical License Number: 543 210789 000 0010
(if applicable)

Keep out of reach of Children

Batch #: 1234

Lot #: 1234

Final harvest: 01/01/2020

WARNING:

This product may have intoxicating effects and may be habit-forming.

Net Weight: .25 ounces (7 grams)

This product may be unlawful outside Tribal Lands.

12.045 Cannabis sales facility: Required labeling of cannabis products.

1. A cannabis sales facility and cannabis consumption lounge must affix to, include with, or supply through an electronic medium approved by the appropriate board agent each container or package containing cannabis products sold at retail or ready-to-consume cannabis product and Single-use cannabis product sold at consumption lounges,, if not already on the container package, a label which must not mislead consumers and must include, without limitation:

- (a) The business or trade name and the license number of the cannabis production facility that manufactured and sold the product;
- (b) If the cannabis production facility is operated by a dual licensee, the number of the medical cannabis establishment license of the facility for the production of edible cannabis products or cannabis-infused products operated by the dual licensee;
- (c) The production run number that accounts for all lot numbers of all cannabis used in the extraction of the concentrated cannabis or contained in the product, as recorded in the inventory control system of the cannabis production facility that sold the concentrated cannabis or product;
- (d) The name and address of the cannabis sales facility or cannabis consumption lounge;
- (e) The date on which the cannabis product was manufactured;
- (f) If the product is edible, a suggested use-by date;
- (g) The cannabinoid profile and potency levels of the product, as determined by the cannabis independent testing laboratory that tested the product;
- (h) For edible cannabis products, the total amount of THC in each serving of the product and notice that the actual amount of THC in each serving may be within 15 percent of the stated amount;
- (i) A list of all ingredients and all major food allergens as identified in 21 U.S.C. § 343.
- (j) The concentration of THC in the product, measured in milligrams;
- (k) The net weight of the cannabis or cannabis product;
- (l) For edible cannabis products, a warning that states: “Caution: When eaten or swallowed, the intoxicating effects of this product may be delayed by 2 or more hours”;
- (m) If concentrated cannabis or a cannabis extract was added to the product, disclosure of the type of extraction process and any solvent, gas, or other chemical used in the extraction process, or any other compound added to the concentrated cannabis or the cannabis extract;
- (n) A warning that states: “This product may have intoxicating effects and may be habit-forming”;
- (o) A warning that states: “Keep out of Reach of Children”
- (p) A statement that: “This product may be unlawful outside of the State of Nevada”; and
- (q) A warning that states: “THIS PRODUCT CONTAINS CANNABIS.”

2. The label required by subsection 1 for a container or package containing concentrated cannabis or cannabis products sold at retail must be in substantially the following form:

We Care Cannabis Sales Facility or cannabis consumption lounge

123 Main Street, Carson City, NV 89701

THIS PRODUCT CONTAINS CANNABIS

Cookie

Net Weight: 2 ounces (56 grams)

Produced on: 1/1/2020

Best if used by: 6/3/2020

Cannabinoid profile:

THC content per serving +/- 15%:

CAUTION: When eaten or swallowed the intoxicating effects of this product can be delayed by 2 or more hours.

Keep out of reach of Children

This product may be unlawful outside the State of Nevada.

Manufactured at: KC's Kitchen

License Number: 321654987101 0401

Medical License Number: 543 210789 000 0010
(if applicable)

Production Run #5463

INGREDIENTS: Flour, Butter, Canola Oil, Sugar, Chocolate, Cannabis, Strawberries

CONTAINS ALLERGENS: Milk, Wheat

Contains cannabis extract processed with butane.

WARNING: This product may have intoxicating effects and may be habit-forming.

12.050 Cannabis sales facility and cannabis consumption lounge: Required disclosures and warnings.

1. Upon consumer request, a cannabis sales facility must and cannabis consumption lounge, upon request, provide all usable cannabis and cannabis products sold at retail or ready-to-consume cannabis product and single-use cannabis product sold at cannabis consumption lounges, accompanying material that discloses any pesticides applied to the cannabis plants and growing medium during production and processing.
2. A cannabis sales facility and cannabis consumption lounge must provide with all usable cannabis and cannabis products sold at retail 1. or ready-to-consume cannabis product and single-use cannabis product sold at cannabis consumption lounges, a written notification or an electronic notification through an electronic medium approved by the appropriate board agent which contains the following warnings:
 - (a) That cannabis and cannabis products must be kept out of the reach of children;
 - (b) That cannabis and cannabis products can cause severe illness in children;
 - (c) That allowing children to ingest cannabis or cannabis products or storing cannabis or cannabis products in a location that is accessible to children may result in an investigation by an agency that provides child welfare services or criminal prosecution for child abuse or neglect;
 - (d) “THE INTOXICATING EFFECTS OF CANNABIS MAY BE DELAYED BY 2 HOURS OR MORE AND USERS OF CANNABIS PRODUCTS SHOULD INITIALLY INGEST A SMALL AMOUNT OF THE PRODUCT CONTAINING NO MORE THAN 10 MILLIGRAMS OF THC, THEN WAIT AT LEAST 2 HOURS BEFORE INGESTING ANY ADDITIONAL AMOUNT OF THE CANNABIS”;
 - (e) “Ingesting cannabis or cannabis products with alcohol or other drugs, including prescription medication, may result in unpredictable levels of impairment and a person should consult with a physician before doing so”;
 - (f) “There may be mental or physical health risks associated with consumption of cannabis or cannabis products, including but not limited to cardiovascular problems, psychosis, or exacerbation of anxiety and/or depression. People with health concerns should consult with a physician before ingesting cannabis”;
 - (g))“WARNING: Pregnant or breastfeeding people should not use cannabis or cannabis products. Using cannabis during pregnancy or while breastfeeding may be harmful to your baby’s development.”
 - (h) “Cannabis or cannabis products can impair concentration, coordination, and judgment. Do not operate a vehicle or machinery under the influence of cannabis or cannabis products”;
 - (i) “Ingestion of any amount of cannabis or cannabis products before driving may result in criminal prosecution for driving under the influence.”
 - (j) “Overconsumption of cannabis or cannabis products may cause negative health effects such as nausea, vomiting, anxiety, agitation, paranoia, and psychosis. Individuals with symptoms of overconsumption should seek immediate medical attention.”
3. For cannabis consumption lounges that allow inhalation of cannabis, the following warning must be included with the warnings listed in TCCR 12.050 subsection 2 a-j above:
 - (a) “WARNING: This is a smoking lounge. Occupants will be exposed to secondhand smoke. Secondhand smoke is hazardous to your health”;
43. The text used on all accompanying material and warnings must be printed in at least 12-point font and may not be in italics.

3. The text used on all accompanying material and warnings must be printed in at least 12-point font and may not be in italics.

12.055 Cannabis cultivation facility, cannabis production facility, cannabis consumption lounge, and cannabis sales facility: Required labeling. Each cannabis cultivation facility, cannabis production facility, cannabis consumption lounge, and cannabis sales facility shall:

1. Use for labeling all cannabis and cannabis products the standard label described in TCCR 12.010 and 12.030 to 12.045, inclusive;
2. Exercise strict control over labeling materials issued for use in labeling operations for cannabis and cannabis products;
3. Carefully examine labeling materials issued for a batch for identity and conformity to the labeling specified in the applicable production or control records; and
4. Have and follow written procedures describing in sufficient detail the control procedures employed for the issuance of labeling.

12.060 Cannabis cultivation facility, cannabis production facility, cannabis consumption lounge, and cannabis sales facility: Examination of products during finishing operations; collection of representative sample of units; recording of results. Each cannabis cultivation facility, cannabis production facility, cannabis consumption lounge, and cannabis sales facility shall:

1. Examine packaged and labeled products during finishing operations to provide assurance that the containers and packages have the correct labels;
2. Collect a representative sample of units at the completion of finishing operations and ensure that the samples are visually examined for correct labeling; and
3. Record the results of the examinations performed pursuant to subsections 1 and 2 in the applicable production or control records.

12.065 Cannabis treated with radiation. Any cannabis or cannabis product that has been treated with radiation at any time, this product is prohibited from being sold on tribal land.

12.070 Advertising of cannabis (Subsection 3 of this section becomes effective after August 1, 2022). A cannabis establishment shall ensure that all advertising by the cannabis establishment contains such warnings as may be prescribed by the Board, which must include, without limitation, the following words:

1. “Keep out of reach of children”;
2. “For use only by adults 21 years of age and older”; and
3. Shall ensure that all advertising by the cannabis establishment contains:
 - (a) The name of the cannabis establishment; and
 - (b) The adult-use cannabis establishment Identification number assigned to the cannabis establishment by the Department. If a cannabis establishment holds more than one license, the cannabis establishment must include any one of the adult-use cannabis establishment identification numbers or medical cannabis establishment identification numbers in all of the cannabis establishment’s advertisements.
4. A cannabis establishment shall not engage in advertising that in any way makes cannabis or cannabis products appeal to children, including without limitation, advertising which uses

an image of a cartoon character, action figure, balloon, fruit, or toy. The cannabis establishment shall ensure that the advertisement is not modeled after a brand of products primarily consumed by or marketed to children.

REGULATION 13 CANNABIS DISTRIBUTORS

- 13.010 **Requirements for wholesale transportation of cannabis and cannabis products using a distributor..**
- 13.015 **Duties of distributor delivering cannabis or cannabis products; transportation manifest; duties of originating cannabis establishment and receiving cannabis establishment; maintenance of records.**
- 13.020 **Storage area for cannabis and cannabis products; verification of inventory; inspection by Department.**
- 13.025 **The amount that may be transported by distributor; transportation by cannabis establishment agent; restrictions on transportation by vehicle.**
- 13.030 **Transportation between cannabis establishments owned by distributors; use of motor vehicles for transportation; adequate care for perishable cannabis products.**
- 13.035 **Transportation between multiple cannabis establishments; requirements for drivers used by distributors; hours and locations of transportation; reporting of irregularities, motor vehicle crash or break-down of a motor vehicle; use of seed-to-sale tracking system.**
- 13.040 **Transportation by cannabis cultivation facility, cannabis production facility, cannabis independent testing laboratory or cannabis sales facility; applicability of provisions relating to distributors.**

13.010 Requirements for wholesale transportation of cannabis and cannabis products.

1. A cannabis distributor or a tribal cannabis establishment agent, may transport cannabis and cannabis products between a cannabis establishment and another cannabis establishment or between the buildings of a cannabis establishment.
2. A cannabis establishment may transport cannabis or cannabis products to a cannabis sales facility and an independent cannabis consumption lounge or the cannabis establishment may use a licensed cannabis distributor.
3. A cannabis distributor shall not purchase or sell cannabis or cannabis products, or deliver cannabis or cannabis products to a consumer under their distribution license.
4. A cannabis distributor may enter into an agreement or contract with a cannabis establishment for the transport of cannabis or cannabis products. Such an agreement or contract may include, without limitation, provisions relating to insurance coverage, climate control, storage, and theft by a third party or employee fees or charges to act as a distribution facility for a cannabis establishment.
5. A cannabis distributor, and each cannabis establishment agent employed by the cannabis distributor who is involved in the transportation, is responsible for cannabis and cannabis products once the cannabis distributor takes control of the cannabis or cannabis products and leaves the premises of a cannabis establishment.
6. A cannabis distributor shall not allow a cannabis establishment agent employed by the distributor to transport cannabis or cannabis products unless:
 - (a) The cannabis establishment agent carries a copy, for the duration of the transportation, of the transportation manifest generated using the seed-to-sale tracking system pursuant to TCCR 13.030 for the transportation;
 - (b) Each cannabis establishment agent involved in transportation has, in his or her immediate possession, his or her cannabis establishment agent registration card;
 - (c) The cannabis or cannabis products are stored in a sanitary and secure manner in a lockbox or locked cargo area within the vehicle being used for delivery and not visible from outside the vehicle;
 - (d) The vehicle being used for delivery has no advertising, signage, or other markings relating to cannabis; and
 - (e) The cannabis establishment agent transporting cannabis or cannabis products for the cannabis distributor or a tribal cannabis establishment on behalf of a cannabis establishment has a means of communicating with the cannabis establishment.
7. Each cannabis establishment agent transporting cannabis or cannabis products for a cannabis distributor or a tribal cannabis establishment must:
 - (a) Report to a person designated by the cannabis distributor to receive such reports and to the Department any motor vehicle crash that occurs during the transportation within 2 hours after the crash occurs;
 - (b) Report to the Department any unauthorized stop; and
 - (c) Report to a person designated by the cannabis distributor to receive such reports any loss or theft of cannabis or cannabis products that occurs during the transportation immediately after the cannabis establishment agent becomes aware of the loss or theft. A cannabis distributor that receives a report of loss or theft pursuant to this paragraph must immediately report the loss or theft to the appropriate law enforcement agency and to the Department.
8. Each cannabis distributor shall maintain a log of all reports received pursuant to subsection 7 for review by the Board or Department Agents upon request.

9. Any cannabis or cannabis product which is damaged or refused by the receiving cannabis establishment must be transported back to the originating cannabis establishment.

- (a) The return transport will follow all the TCCR regulations for transportation or distribution of cannabis.

13.015 Duties of distributor delivering cannabis or cannabis products; transportation manifest; duties of originating cannabis establishment and receiving cannabis establishment; maintenance of records.

1. Before transporting cannabis or cannabis products pursuant to TCCR 13.010, a cannabis distributor or a tribal cannabis establishment agent transporting for an tribal cannabis establishment shall:

- (a) Ensure that all cannabis and cannabis products are secured at all times during delivery; and
- (b) Maintain a physical or electronic copy of a transportation manifest generated by the cannabis establishment using the seed-to-sale tracking system that contains all the information required by this section in a format approved by the Department.

2. A cannabis distributor or a tribal cannabis establishment agent, may deliver cannabis or cannabis products to more than one cannabis establishment in a single trip if the transportation manifest correctly reflects the specific inventory destined for each specific cannabis establishment and location.

3. Before transferring cannabis or cannabis products to a cannabis distributor, the originating cannabis establishment shall enter the information required to indicate that the cannabis or cannabis products will be transported to the receiving cannabis establishment into the seed-to-sale tracking system. A cannabis establishment shall not list a cannabis distributor as the receiving cannabis establishment.

4. A cannabis distributor shall not alter the information which has been entered into the seed-to-sale tracking system pursuant to subsection 3.

5. If a cannabis distributor or a tribal cannabis establishment agent transporting for a tribal cannabis establishment, is not able to deliver cannabis or cannabis products directly to the receiving cannabis establishment due to normal business operations, the cannabis distributor shall notify the Department and the originating cannabis establishment of the premises where the cannabis or cannabis products will be stored and the anticipated date and time of delivery.

6. A cannabis distributor or a tribal cannabis establishment agent, shall provide a copy of the transportation manifest generated using the seed-to-sale tracking system to the cannabis establishment receiving cannabis or cannabis products. The copy of a transportation manifest provided to a cannabis establishment pursuant to this subsection must be generated separately for each cannabis establishment and must not contain the information of any other cannabis establishment.

7. The transportation manifest generated using the seed-to-sale tracking system must include, without limitation:

- (a) The date and approximate time of the departure;
- (b) The name, location, address and license number of the originating cannabis establishment;
- (c) The name, location, address and license number of the receiving cannabis establishment;
- (d) The name, location, address and license number of the cannabis distributor;

- (e) The name and quantity, by weight and unit, of each product to be delivered to each cannabis establishment;
 - (f) The estimated date and time of arrival;
 - (g) The make, model, license plate number and number of the identification card issued pursuant to TCCR 13.030 of the vehicle used for delivery; and
 - (h) The name, number of the cannabis establishment agent registration card and signature of each cannabis establishment agent performing or accompanying the transportation of the cannabis or cannabis products.
8. In addition to the requirements of this section, the originating and the receiving cannabis establishment shall each ensure that each delivery satisfies the requirements of TCCR 6.080 and 6.082.
9. Before cannabis or cannabis products leave the originating cannabis establishment, the originating cannabis establishment shall adjust its records to reflect the removal of the cannabis or cannabis products in a manner that reflects the information included in the transportation manifest generated using the seed-to-sale tracking system and that can be easily reconciled, by the name and quantity of the cannabis or cannabis products, with the transportation manifest.
10. After receipt of cannabis or cannabis products, the receiving cannabis establishment shall:
- (a) Confirm that the cannabis or cannabis products are as described in the transportation manifest;
 - (b) Adjust its records to reflect the receipt of the cannabis or cannabis products in a manner that reflects the information included in the transportation manifest generated using the seed-to-sale tracking system and that can be easily reconciled, by the name and quantity of the cannabis or cannabis products, with the transportation manifest; and
 - (c) Separately document, in the seed-to-sale tracking system and any other relevant business records, any differences between the quantity of cannabis or cannabis products specified in the transportation manifest and the quantities received.
11. After transferring cannabis or cannabis products to the receiving cannabis establishment, the cannabis distributor shall enter the end time of the trip in the trip plan and ensure that the trip plan, including any changes to the trip plan made pursuant to subsection 5, is accurate.
12. Each cannabis sales facility and cannabis distributor shall maintain all documents required by this section and provide a copy of any such document to the Board or Department Agents for review upon request.

13.020 Storage area for cannabis and cannabis products; verification of inventory; inspection by Department.

- 1. Each cannabis distributor shall maintain a storage area for cannabis and cannabis products which includes at least one temperature-controlled area. The temperature-controlled area shall be maintained in a commercial food grade unit which is kept at a temperature of less than 41°F (5°C) while storing potentially hazardous cannabis products.
- 2. The storage area for cannabis and cannabis products maintained pursuant to subsection 1 must be a separate, enclosed, locked facility. Products unrelated to the business of the cannabis distributor, including, without limitation, products containing alcohol, must not be stored with cannabis or cannabis products. Within the storage area, cannabis or cannabis products may only be stored in a secure, locked device, cabinet, room or motor vehicle

within the storage area which is protected by a lock or locking mechanism that meets at least the security rating established by Underwriters Laboratories for key locks.

3. A cannabis distributor may utilize its storage area as needed for the purposes of sorting cannabis or cannabis products from various originating cannabis establishments into consolidated outbound delivery routes to receiving cannabis establishments.

4. All cannabis or cannabis products stored at a licensed cannabis distributor must be properly documented in the seed-to-sale tracking system.

5. A cannabis distributor shall not store cannabis or cannabis products for more than 31 days without written consent from the appropriate Department Agent.

6. A cannabis distributor shall verify and keep record of the inventory of a motor vehicle after the inventory is off-loaded into storage and before the inventory is on-loaded onto a motor vehicle from storage.

7. A cannabis distributor shall make its vehicles, premises, including, without limitation, its storage area, promptly available to the Board or Department Agents for inspection during normal business hours without notice or promptly upon request from the Board or Department Agents.

13.025 Amount that may be transported by distributor; transportation by cannabis establishment agent; restrictions on transportation by vehicle.

1. A cannabis distributor may transport any amount of cannabis or cannabis products that does not violate the laws or regulations of this State or the limits established by the insurer who provides coverage for the cannabis distributor.

2. A cannabis distributor shall not allow a cannabis establishment agent to transport cannabis or cannabis products unless the cannabis or cannabis products are:

(a) Except as otherwise provided in subsection 3, stored in a lockbox or locked cargo area within the vehicle being used for delivery;

(b) Not visible from outside the vehicle;

(c) Contained in sealed packages and containers which remain unopened during delivery; and

(d) Tagged for the purpose of inventory tracking with a unique identifying seed-to-sale tracking system label prescribed by the Board or Department for the duration of transport.

→ For the purpose of this subsection, the trunk of a vehicle is not considered to be a lockbox or locked cargo area unless the trunk cannot be accessed from within the vehicle and can only be accessed using a key which is different from the key used to access and operate the vehicle.

3. A cannabis distributor may allow a cannabis establishment agent to transport live cannabis plants in a fully enclosed, windowless, locked trailer or in a secured area inside the body of a locked van or truck if the plants are not visible from the outside.

4. A person shall not be present within any vehicle while it is being used for the transportation of cannabis or cannabis products unless the person is a Department licensed cannabis establishment agent authorized for transportation, or for the cannabis distributor providing transportation of the cannabis or cannabis products.

5. If the value of the cannabis and cannabis products being transported by a cannabis distributor in a vehicle, as reported on the transportation manifest as the insured fair market wholesale value, exceeds \$175,000, and the distance traveled between cannabis establishments is greater than 100 miles, the cannabis distributor may allow two or more cannabis establishment agents of the cannabis distributor accompany the vehicle.

6. Each cannabis establishment agent who loads or unloads a vehicle for the transportation of cannabis or cannabis products shall perform the loading or unloading within view of the video surveillance system of a cannabis establishment.

13.030 Transportation between cannabis establishments owned by distributors; use of motor vehicles for transportation; adequate care for perishable cannabis products.

1. A cannabis distributor that also holds a license for a cannabis establishment of another type and that is transporting cannabis or cannabis products between its own cannabis establishments located within the same building, within contiguous buildings, or between buildings located within 500 feet of each other, is not required to use a vehicle to perform the transportation.
2. A cannabis distributor may use any motor vehicle, except those with 2 wheels, that can legally be operated on the highways of this State and that meets the requirements of this section to transport cannabis and cannabis products.
3. Before using a motor vehicle to transport cannabis or cannabis products, a cannabis distributor must obtain the approval of the appropriate Department Agent for the use of the motor vehicle. Upon approving a motor vehicle for use to transport cannabis or cannabis products, the Department will issue an identification card containing such information as the Department Agent determines to be necessary which must be kept inside the motor vehicle at all times.
4. A cannabis distributor shall ensure that each motor vehicle used to transport cannabis or cannabis products:
 - (a) Has no advertising, signage or other markings relating to cannabis; and
 - (b) Is equipped with an audible car alarm.
5. A cannabis distributor shall provide adequate care for perishable cannabis products including, without limitation, refrigeration during transportation, if required. Any method for temperature control used during transportation must be approved by the appropriate Department Agent before use. If a potentially hazardous cannabis product is being transported, the potentially hazardous cannabis product must be maintained at a temperature of less than 41°F (5°C) throughout transportation.
6. Each cannabis distributor shall maintain at least one motor vehicle using a method approved by the appropriate Department Agent for temperature control during transportation.
7. A Department Agent may inspect each motor vehicle used for transportation of cannabis or cannabis products by a cannabis distributor.
8. Before using a motor vehicle to transport cannabis or cannabis products, a cannabis independent testing laboratory must obtain the approval of the appropriate Department Agent for the use of the motor vehicle. Upon approving a motor vehicle for use to transport cannabis or cannabis products, the Department will issue an identification card containing such information as the Department Agent determines to be necessary which must be kept inside the motor vehicle at all times.

13.035 Transportation between multiple cannabis establishments; requirements for drivers used by distributors or transportation by a tribal cannabis establishment agent; hours and locations of transportation; reporting of irregularities, motor vehicle crash or break-down of motor vehicle; use of seed-to-sale tracking system.

1. A cannabis distributor or a tribal cannabis establishment agent, may transport cannabis or cannabis products between multiple cannabis establishments.

2. A cannabis distributor or a tribal cannabis establishment agent shall not transport cannabis or cannabis products unless:
 - (a) During the transportation of cannabis or cannabis products, the driver of a motor vehicle for a cannabis distributor or a tribal cannabis establishment agent, carries in the motor vehicle:
 - (1) Proof of valid insurance coverage in an amount required by the laws of this State;
 - (2) A copy of the license of the cannabis distributor or a tribal cannabis establishment agent,;
 - (3) The cannabis establishment agent registration card or verification of temporary authorization of the driver;
 - (4) The valid driver's license of the driver; and
 - (5) The valid registration for the motor vehicle.
 - (b) All drivers used by the cannabis distributor or a tribal cannabis establishment agent, are bonded in an amount sufficient to cover any claim that could be brought against the driver, or the cannabis distributor discloses to all parties that such drivers are not bonded.
 - (c) The hours in which the cannabis distributor or a tribal cannabis establishment agent provides transportation are reasonable to allow for the delivery of cannabis and cannabis products to cannabis establishments during the operating hours of the cannabis establishments.
 - (d) The transportation is conducted only within the borders of this State.
 - (e) The cannabis establishment agent who transports cannabis or cannabis products only travels to and from cannabis establishments and does not make any unnecessary stops that are not disclosed in the trip plan and transportation manifest. The cannabis establishment agent may make a stop for fuel as necessary and keep a list of designated fuel stops along the route for submission to the Board or Department Agents upon request.
3. A cannabis distributor or a tribal cannabis establishment agent, shall notify the Department using means determined by the Department if a motor vehicle being used for the transportation of cannabis or cannabis products by the cannabis distributor is stopped at a location other than a cannabis establishment or designated fuel stop, is involved in a motor vehicle crash or breaks down resulting in scheduled travel being interrupted.
4. A cannabis distributor or a tribal cannabis establishment agent, shall use the seed-to-sale tracking system approved by the Board for any transportation of cannabis or cannabis products between cannabis establishments that are not co-located.
5. A cannabis distributor or a tribal cannabis establishment agent, must use all required tracking programs to include gps during transports.

13.040 Transportation by cannabis cultivation facility, cannabis production facility, cannabis independent testing laboratory or cannabis sales facility; applicability of provisions relating to distributors.

1. A cannabis cultivation facility or a cannabis production facility may transport cannabis or cannabis products to or from a cannabis cultivation facility, a cannabis production facility, a cannabis sales facility or a cannabis independent testing laboratory.
2. A cannabis independent testing laboratory or a tribal cannabis facility may transport cannabis or cannabis products to or from a cannabis independent testing laboratory for testing.

3. A cannabis sales facility may transport single-use cannabis and cannabis products to an independent, attached or adjacent cannabis consumption lounge, however, pursuant to TCCR 15.100(2), an adult-use cannabis distributor may be required to conduct the transfer.
4. The requirements of TCCR 13.010 for a cannabis distributor or a tribal cannabis establishment agent transporting for a tribal cannabis establishment, apply to a cannabis establishment that transports cannabis or cannabis products pursuant to this section without using a cannabis distributor.

REGULATION 14

LICENSEE WORKPLACE REQUIREMENTS

14.010 Cannabis establishments required to maintain written policies and procedures prohibiting workplace discrimination or harassment.

1. Each licensed cannabis establishment that employs 15 or more employees shall adopt and implement written policies and procedures prohibiting workplace discrimination or harassment of a person based on the person's race, color, religion, sex, sexual orientation, gender identity or expression, age, disability, or national origin, including, without limitation, sexual harassment. Such written policies and procedures must include, without limitation:
 - (a) The procedures and methods available to a person seeking to report an instance of workplace discrimination or harassment; and
 - (b) The procedures the licensed cannabis establishment will follow when investigating a report of workplace discrimination or harassment.
2. The written policies and procedures required by this section shall address and apply to workplace discrimination or harassment, including, without limitation, sexual harassment, committed by:
 - (a) A person within the cannabis establishment, including, without limitation, an owner, manager, employee, or independent contractor; or
 - (b) A person outside the cannabis establishment, including, without limitation, a customer, client, vendor, contractor, consultant, or other person that does business with the organization.
3. The Chair, the Chair's designee, Board Member, or Board Agent may, at any time, inspect the written policies and procedures required pursuant to this section, and all records related thereto of a licensed cannabis establishment.
4. The Chair, or the Chair's designee, may require a licensed cannabis establishment to submit the written policies and procedures adopted and implemented pursuant to subsection 1 for the Chair's, or the Chair's designee's, review. If the Chair, or the Chair's designee, makes an administrative determination that the licensed cannabis establishment's written policies and procedures do not adequately address the requirements set forth in subsection 1, the Chair, or

the Chair's designee, may issue a determination identifying the deficiency and specifying a time certain within which the deficiency must be cured. Any licensed cannabis establishment affected by such an administrative determination may request a hearing from the Board.

REGULATION 15 CANNABIS CONSUMPTION LOUNGE

- 15.010 Requirements for operation; posting of hours of operation.
- 15.015 Duties of cannabis establishment agents before sale to consumers.
- 15.020 Valid proof of identification of age of consumer required.
- 15.025 Prohibition on sale that exceeds the maximum usable quantity of cannabis.
- 15.030 Products required to be offered for sale; restrictions on sale of other products; restrictions on advertising.
- 15.035 Storage and location of products; disclosure of cannabis independent testing laboratory performing quality assurance tests upon request of consumer; approved sources of products for sale; maintenance and availability of certificate of analysis.
- 15.040 On-site requirements to operate a cannabis consumption lounge.
- 15.045 Employee Training and Consumer Education.
- 15.050 Separate room for cannabis smoking, vaping, and dabbing.
- 15.055 Ventilation of the cannabis consumption lounge.
- 15.060 Health notices and additional label disclosures.
- 15.070 Reporting of medical incidents.
- 15.075 Leaving with cannabis.
- 15.080 Impaired driving.
- 15.085 Law enforcement temporary suspension of licensed operations.
- 15.090 Visibility of consumption.
- 15.095 Water service.
- 15.100 Retail cannabis consumption lounge attached or immediately adjacent to a cannabis sales facility.
- 15.105 Ready-to-consume cannabis products.
- 15.110 Surfaces and devices: Sanitation.
- 15.115 Health inspections and permitting.

15.010 Requirements for operation; posting of hours of operation. Each cannabis consumption lounge shall:

1. Ensure that the cannabis consumption lounge is operating and available to sell single-use cannabis products or ready-to-consume cannabis products to consumers during, and only during, the designated hours of operation of the cannabis consumption lounge as provided to the Board by the cannabis consumption lounge and as authorized by the Board;
2. Post, in a place that can be viewed by persons entering the cannabis consumption lounge, the hours of operation during which the lounge will sell single-use cannabis products or ready-to-consume cannabis products; and
3. Not conduct sales one half hour prior to closing unless the cannabis consumption lounge operates 24 hours per day.

15.015 Duties of cannabis establishment agents for a cannabis consumption lounge before sale to consumers. Before a cannabis establishment agent allows access into the facility and prior to selling single-use cannabis products or ready-to-consume cannabis products to a consumer, the cannabis establishment agent shall:

1. Verify the age of the consumer by checking and authenticating a government-issued identification card containing a photograph of the consumer using an identification scanner approved by the appropriate Department Agent to determine the validity and authenticity of any government-issued identification card as well as visually inspect the birthdate on the form of identification presented;
2. Not permit the use or consumption of cannabis by any person displaying any visible signs of overconsumption of any intoxicant;
3. Offer any appropriate consumer education or support materials; and
4. At the time of sale enter the following information into the inventory control system:
 - (a) The amount of single-use cannabis products or ready-to-consume cannabis products sold;
 - (b) The date and time at which the single-use cannabis products or ready-to-consume cannabis products was sold;
 - (c) The number of the cannabis establishment agent registration card of the cannabis establishment agent; and
 - (d) The number of the license for the cannabis consumption lounge.

15.020 Valid proof of identification of age of consumer required.

1. Except as otherwise provided in this subsection, a cannabis consumption lounge shall not allow entry to and shall refuse to sell single-use cannabis products or ready-to-consume cannabis products to any person unless the person produces a form of valid identification showing that the person is 21 years of age or older.
2. Identification presented to satisfy subsection 1 must contain a photograph and the date of birth of the person.
3. Identification presented to satisfy subsection 1 must be a valid and unexpired:
 - (a) A tribal identification card issued by a tribal government which requires proof of the age of the holder of the card for issuance;

- (b) Identification card issued by any state or territory of the United States for the purpose of proof of age of the holder of the card;
- (c) United States military identification card;
- (d) A Merchant Mariner Credential or other similar document issued by the United States Coast Guard;
- (e) A passport issued by, or recognized by, the United States Government or a permanent resident card issued by the United States Citizenship and Immigration Services of the Board of Homeland Security; or
- (f) Driver's license or instruction permit issued by this State or any other state or territory of the United States.

15.025 Prohibition on a single sale that exceeds the maximum usable quantity of cannabis.

1. A cannabis consumption lounge shall not sell to any consumer at one time an amount of single-use cannabis products or ready-to-consume cannabis products which exceeds:
 - (a) 3.5 grams of usable cannabis;
 - (1) If the single-use cannabis product contains more than 1 gram of usable cannabis, it must include a written warning: "Caution- This product is very potent and is not recommended for inexperienced users".
 - (b) 300 milligrams THC for inhalable extracted cannabis products;
 - (1) All single-use cannabis product sold as an inhalable extracted cannabis product must include a warning, "Caution- This product is very potent and is not recommended for inexperienced users".;
 - (c) Topical cannabis products containing not more than 400 milligrams of THC;
 - (d) 100 milligrams of THC for transdermal patches or other adult-use cannabis products not mentioned in this section.
 - (1) If the single-use cannabis product contains more than 10 milligrams of THC, it must include a written warning: "Caution- This product is very potent and is not recommended for inexperienced users". (e) 10 milligrams of THC for an edible, capsule, or tincture.
2. For the purposes of this section, a single sale occurs when a consumer orders cannabis or cannabis products.
 - (a) If a cannabis consumption lounge allows multiple orders, the lounge may process the orders as a single final transaction.
 - (b) The final transaction must be recorded in a manner which details the date, time, and quantity of cannabis or cannabis products transferred to a consumer in each order.

15.030 Products required to be offered for sale; restrictions on sale of other products; restrictions on advertising.

1. Relative to cannabis, CBD, and cannabis related products, a cannabis consumption lounge shall only offer for sale single-use cannabis products, ready-to-consume cannabis products, cannabis paraphernalia, cannabis-related accessories, products containing CBD, and products containing industrial hemp which are related to cannabis.

- (a) Hemp and hemp derived products must be accompanied by a certificate of analysis from a cannabis independent testing laboratory or the appropriate Nevada state testing laboratory, and a copy of the hemp grower's certificate. Such products must be labeled with the amount of THC and state "this product does not contain cannabis" in at least 8-point font.
2. Each cannabis consumption lounge must offer low dose options of cannabis products containing no more than 2mg THC in a cannabis product or 0.5 grams of usable cannabis.
3. Each cannabis consumption lounge shall not allow any single-use cannabis products or ready-to-consume cannabis products to be removed from the lounge once opened, and shall inform the consumer that removing such products from the lounge is illegal.
4. A cannabis consumption lounge shall not sell any product that contains tobacco and/or nicotine, or vape liquid that also contains cannabis, that is not produced by a licensed cannabis production facility.
5. A cannabis consumption lounge shall not sell any product that contains alcohol if the product would require the cannabis consumption lounge to hold a license to sell alcohol unless the cannabis consumption lounge obtains a license to sell alcohol.
7. A cannabis consumption lounge shall not knowingly recommend products to persons who are pregnant or breastfeeding.

15.035 Storage and location of products; disclosure of cannabis independent testing laboratory performing quality assurance tests upon request of consumer; approved sources of products for sale; maintenance and availability of certificate of analysis.

1. A cannabis consumption lounge must store all single-use cannabis products, and ready-to-consume cannabis products behind a counter or other barrier to ensure a consumer does not have direct access to the products. Such products must be stored in a manner that prevents physical, biological, or chemical contamination.
2. Upon the request of a consumer, a cannabis consumption lounge must disclose the name of the cannabis independent testing laboratory that performed the required quality assurance tests and the corresponding certificate of analysis.
3. A cannabis consumption lounge may only sell single-use cannabis products obtained from a State or Tribally licensed adult-use cannabis retail store, cultivation, or production facility
4. A cannabis consumption lounge may procure multi-serving edible cannabis products from a State or Tribally licensed adult-use cannabis retail store, cultivation, or production facility and resell individual servings to consumers.
5. A cannabis consumption lounge may only use cannabis, concentrated cannabis, and cannabis products that have been obtained from a State or Tribally licensed adult-use cannabis retail store, cultivation, or production facility.
6. A cannabis consumption lounge may not sell a product other than single-use cannabis products or ready-to-consume cannabis products that contain any level of THC or CBD without the approval of the appropriate Department Agent. Each cannabis consumption lounge shall maintain a file that contains a certificate of analysis for any such approved product at the cannabis consumption lounge and shall make the file available for review upon request by a Department Agent.

15.040 On-site requirements to operate a cannabis consumption lounge.

1. The cannabis consumption lounge shall comply with any federal and Tribal applicable laws or regulations related to on-site food preparation.
2. Required certification of certain employees.
 - (a) If the cannabis consumption lounge serves food, including edible cannabis products, A Food Handler Safety Training card is required. It identifies the cardholder by name and photo as having completed the required food safety training, and be onsite during all hours of operation in which food is being prepared or served;
 - (1) A Food Handler Safety Training card is not a form of identification, license or certification, and should not be used as proof that the cardholder is free of illness.
 - (2) If the Indian Health Service or other local health department with jurisdiction does not offer this service, employees must obtain a food handler certification from an American National Standards Institute (ANSI) accredited organization.
3. Required logs that must be maintained for a minimum of two years.
 - (a) Consumer illness log for illnesses reported after consumption or overconsumption.
 - (1) Log to include name, date and time of consumption, type of food or cannabis consumed, date and time of illness onset, illness description and contact information.
 - (b) Employee illness log.
 - (1) Log to include, at a minimum, name, dates called out due to illness, symptoms and diagnosis.
4. Communicable illnesses must be reported in accordance with TCCR 6.090.

15.045 Employee Training and Consumer Education.

1. Cannabis consumption lounges shall train their employees about the various products the lounge sells, including the potency of the products, absorption time, and effects of the products, to include at a minimum all of the topics found in TCCR 6.072.
2. Cannabis consumption lounges shall create written plans with instructions on how to address the following scenarios:
 - (a) Health policy that must also be posted in a clearly visible location to employees;
 - (b) Overconsumption;
 - (c) Sexual Assault; and
 - (d) Impaired driver risk mitigation plan.
3. The employee training program shall include at a minimum of eight hours of compensated instruction time for initial training and four hours of compensated supplemental instruction time each year thereafter.

15.050 Separate room for cannabis smoking, vaping, and inhalation. All indoor cannabis smoking or inhalation must be confined to a designated smoking room or have an adequate air exchange and make up system.

1. The room must be completely separated from the rest of the cannabis consumption lounge by solid partitions or glass without openings other than doors or pass-through service windows.

- (a) All smoking-room doors and service windows must be installed with a gasket to provide a seal where the door meets the stop.
- 2. The cannabis consumption lounge must create and mandate work protocols and indoor or outdoor air quality standards that minimize employees' secondhand smoke exposure, including training to employees on the proper use of Personal Protective Equipment (PPE).
 - (a) Minimize the need for employees to enter the smoking room, while still being able to monitor activities within the smoking room.
 - (b) Provide employees that request PPE with adequate PPE such as N95/KN95 masks or respirators to minimize secondhand smoke exposure while in the smoking room.

15.055 Ventilation of the cannabis consumption lounge. A ventilation plan must be submitted, approved, with changes as necessary, and fully implemented prior to issuance of a final license. At a minimum, the ventilation plan must include:

- 1. A separate ventilation system within any designated smoking room capable of 30 complete air changes per hour at a minimum that must be directly exhausted to the outdoors;
- 2. The ventilation system within any smoking room must create a negative air pressure within the room;
- 3. If the cannabis consumption lounge plans to use an outdoor smoking area, the applicant must submit a request to the Department and comply with any requirements the Department may have regarding an outdoor smoking area.
- 4. Atmospheric monitoring of the non-smoking room of the cannabis consumption lounge focusing, at a minimum on an 8-hr average and 15 min peak CO₂ and PM 2.5 concentrations which must include an audible and visual notification system, alerting the facility to any failures.

15.060 Notices and additional disclosures.

- 1. The following notices that must be posted within the cannabis consumption lounge in a conspicuous location.
 - (a) "HEALTH WARNING: Pregnant or breastfeeding women should not use cannabis or cannabis products. Using cannabis during pregnancy or while breastfeeding may be harmful to your baby's development."
 - (1) This health warning must be conspicuously posted in a minimum of 40-point font at the entrance and in every restroom of a cannabis consumption lounge.
 - (b) "HEALTH WARNING: This is a smoking lounge. Occupants will be exposed to secondhand smoke. Secondhand smoke is hazardous to your health."
 - (1) This health warning must be conspicuously posted in a minimum of 72-point font at the consumer entry point to a cannabis consumption lounge and separate smoking room within a cannabis consumption lounge if smoking of cannabis is permitted.
 - (c) "Consumption Area – No One Under 21 Years of Age Allowed."
 - (1) This notice must be conspicuously posted in a minimum of 40-point font on every entry point to a cannabis consumption lounge.

- (d) Cannabis consumption lounges must post, at all times and in a prominent place inside the consumption lounge, a warning that is at a minimum of 40 point font that reads as follows:
 - (1) “Must be 21 or older to enter”;
 - (2) “Cannabis may only be consumed in designated areas out of public view”;
 - (3) “No consumption of, tobacco and/or nicotine products on site”;
 - (4) “We reserve the right to refuse entry or service for any reason including visible intoxication”;
 - (5) “It is against the law to drive while impaired by cannabis” and
 - (6) “Firearms are prohibited”.
- (e) A cannabis consumption lounge shall post one or more signs outside of the licensed premises that indicate loitering is prohibited and consumption of cannabis

15.070 Reporting of medical incidents. Each cannabis consumption lounge shall, within 24 hours of becoming aware, report to the TMAD any medical incident stemming from a consumer’s consumption of cannabis or food that necessitated medical treatment.

15.075 Leaving with cannabis.

1. A cannabis consumption lounge shall not allow a consumer to leave the licensed premises with any opened and unconsumed cannabis in accordance with the requirements of Tribal law.
2. All sold and unconsumed cannabis and cannabis products must be destroyed in accordance with TCCR 10.080(3).
3. Retail cannabis consumption lounges shall create and submit a written plan to the Department for approval that either prohibits or provides a way to store and return any cannabis or cannabis products purchased by a consumer from the adult-use cannabis retail store while the consumer is in the retail cannabis consumption lounge.

15.080 Impaired driving. Each cannabis consumption lounge shall develop a plan to mitigate the risk of impaired driving, to include making information readily available to the consumer about transportation options. As part of this plan, each cannabis consumption lounge shall adopt practices that discourage impaired driving, with consideration of examples, including but not limited to as a 24 hour no tow policy and/or a potential partnership with rideshare to offer discounted rides both to and from the premises to consumers. If the Board or Department learns of an increase in impaired driving stemming from the location of a particular licensee, the Board or Department shall require the licensee to update the cannabis consumption lounge’s impaired driver risk mitigation plan.

15.085 Law enforcement temporary closure of licensed operations. The Board, Department or the head of the local law enforcement agency with jurisdiction over the licensee (or authorized designee) in an emergency, for cause, or upon code violation of a specific act which endangers the public welfare; and finding that such closure is necessary for the immediate preservation of the public peace, health, safety, morals, good order or public welfare, may temporarily close any cannabis consumption lounge for a period not to exceed seventy-two consecutive hours.

15.090 Visibility of consumption.

1. A cannabis consumption lounge shall ensure that the display and consumption of any cannabis is not visible from outside of Tribal lands.
2. A cannabis consumption lounge may have a consumption area outdoors under the following conditions:
 - (a) The cannabis consumption lounge shall ensure that all cannabis is not visible from a public place without the use of optical aids, such as telescopes or binoculars, or aircraft; and
 - (1) The cannabis consumption lounge shall ensure that the consumption area is surrounded by a sight-obscuring wall, fence, or other opaque or translucent barrier that also prevents cannabis products from being transferred to anyone outside the consumption area;

15.095 Water service. Water shall be available to consumers.**15.100 Retail cannabis consumption lounge attached or immediately adjacent to a cannabis sales facility.**

1. Cannabis facilities must create a standard operating procedure to transfer cannabis to or from a retail cannabis consumption lounge. For purposes of this section the following definitions apply:
 - (a) “attached” means that the physical premises of the retail cannabis consumption lounge cannot be removed from the cannabis sales facility without substantial damage to either premise.
 - (b) “Immediately adjacent” means having a common border with another property, including the intersection of property lines at property corners, with no street, building, or other property between and approved by the Board. The Board retains the authority to require a distributor license to transfer cannabis from the cannabis sales facility to the retail consumption lounge if the Board deems it necessary.

15.105 Ready-to-consume cannabis products.

1. Preparation of all ready-to-consume cannabis products must comply with FDA food code and any other applicable local health code; with the exception that cannabis is not considered an adulterant.
2. All ready-to-consume cannabis products, the ingredients, and the procedures to prepare such products must be approved by the appropriate Department Agent prior to preparation and sale to a consumer.
3. All ready-to-consume cannabis products must be individually dosed to ensure accuracy and homogeneity; infusion of bulk ingredients for multiple servings is prohibited.
4. Cannabis consumption lounges:
 - (a) May separate premeasured single-dose concentrated cannabis procured from a cannabis sales facility for the purpose of individually dosing each ready-to-consume cannabis product in its final state.
 - (b) Shall clearly mark ready-to-consume cannabis products with the cannabis dose in the dish when being served to consumers.

- (c) Shall notify the consumer as to which part of the dish is infused.
- (d) Shall include allergen information on the menu.

15.110 Surfaces and devices: Sanitation and cleaning frequency. Each cannabis consumption lounges shall ensure that:

1. The surfaces, utensils, and reusable devices that have direct contact with cannabis products are adequately washed, rinsed and sanitized.
2. After being cleaned, surfaces of equipment, utensils, and reusable devices that have direct contact with cannabis products are sanitized in:
 - (a) Hot water manual operations by immersion for at least 30 seconds with a temperature of 170°F (77°C) or above;
 - (b) Hot water mechanical operations by being cycled through equipment that is set up and achieving a utensil surface temperature of 160°F (71°C) as measured by an irreversible registering temperature indicator; or
 - (c) Chemical manual or mechanical operations, including, without limitation, the application of sanitizing chemicals by immersion, manual swabbing, brushing or pressure spraying methods using a solution as specified on the manufacturer's label use instructions that are approved by the Environmental Protection Agency, by providing an exposure time of at least 30 seconds unless the manufacturer's label use instructions provide otherwise.
3. If the surfaces of utensils or equipment come into contact with potentially hazardous cannabis products and ingredients, the surfaces and utensils are cleaned throughout the day at least once every 4 hours.
4. The surfaces of utensils and equipment that have direct contact with cannabis products and ingredients that are not potentially hazardous are cleaned:
 - (a) At any time when contamination may have occurred; and
 - (b) In equipment, including, without limitation, ice bins and beverage dispensing nozzles, and enclosed components of equipment, such as ice makers, cooking oil storage tanks and distribution lines, beverage and syrup dispensing lines or tubes, coffee bean grinders and water vending equipment:
 - (1) At a frequency specified by the manufacturer; or
 - (2) If the manufacturer does not specify a frequency, at a frequency necessary to prevent the accumulation of soil or mold.

15.115 Health inspections and permitting. health regulatory agencies with jurisdiction over the lounge may require permits and/or conduct inspections related to the services offered at the cannabis consumption lounge.

